



Homeland Security

ACTION

May 23, 2013

MEMORANDUM FOR: Pre-Publication Review

FROM: Brendan LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs

SUBJECT: (b)(4), (b)(6) Pre-Publication Review of (b)(6) Manuscript,
(b)(4), (b)(6)

Action

Forwarded for your review and approval is a manuscript titled (b)(4), (b)(6) written by a former CIA employee and former DHS contractor who now teaches intelligence at SUNY Albany. The manuscript is designed as a text for graduate students and for government training courses.

The manuscript is under concurrent review at CIA.

We are allowing 30-days from date of this memorandum to complete the pre-publication review process so your prompt attention to this matter is appreciated.

Please initial your component name listed below at the time review is complete and forward to the next component on the list. Because review is being done via inter-agency mail you must ascertain the mail stop number for the next reviewing component and note it on the attached envelope.

OCSO _____

I/A _____

OPA _____

OGC _____

Other _____

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(b)(5)

of the Freedom of Information and Privacy Act

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Homeland Security

DATE: July 14, 2014

MEMORANDUM FOR: Michael Russell
Senior Counselor
DHS Adjudicator

FROM: Brendan LaCivita
Deputy Director, Strategic Communications
DHS Office of Public Affairs

SUBJECT: Directive 110-03, Review of Official DHS Information for Public
Release & Instruction 110-03-001, Review of External
Publications

Summary: This Directive and Instruction document provide uniform policy guidance for Department Components when given the opportunity to review materials written by DHS employees for external publication not necessarily related to their official duties.

Need: The Department does not have uniform policy guidance for the review of materials written by DHS employees for external publication not necessarily related to their official duties. Most large federal departments, including the Department of State and the Department of Defense, have a uniform system in place for such employee-written materials. In fact, you will note in the draft Directive several authorities, including executive orders, delegated to federal departments that support review of such materials. It is important to note here the sole need for such review is to prevent the unintentional release of sensitive, FOUO, or classified information by DHS employees when writing materials meant for external publication not necessarily related to their official duties.

Explanation of Delegated Authorities: The DHS Office of Public Affairs has been delegated by the Secretary to oversee review of external communications/materials through both Delegation Authority 2001, and Title 6, USC, "Secretary; functions," §112 (b)(1).

Departmental Coordination: This Directive was cleared by all Components except the Office of the Inspector General (OIG), which claims an overall exemption from DHS Management Directives because they challenge OIG's independent authority status, and the Domestic Nuclear Detection Office (DNDO), which will not change its non-concurrence until its concerns have

been satisfactorily addressed by the Office of the General Counsel. Specifically, DNDO's concerns are:

- The scope of the types of publications needing OPA review is too broad to be implementable or enforceable. **OPA non-concurred and explained the MD clearly states what is/is not covered.**
- OPA should not have oversight over information exchanges between U.S. Government agencies or state, local, tribal, and territorial governments. **OPA non-concurred and referenced section II D, 3 which clearly states information to state, local, tribal, and territorial governments is exempt.**
- DNDO interprets the MD to cover conversations it has with industry partners concerning its programs and that OPA review of such information exchange is inappropriate and unnecessary. **OPA non-concurred and referenced section II D, 3 which clearly states information to industry partners is exempt.**
- The MD raises a constitutional issue in that OPA believes it is empowered to review unofficial information release to the public by employees or former employees as if OPA has lifetime control over individuals' speaking or writing. **OPA non-concurred and deferred action to OGC.**

Recommendation: While OPA was able to reach concurrence with DNDO on several other concerns raised we recommend you approve this Directive, either with or without OIG and DNDO concurrence, because currently there is no uniform Department review process in place for review of employee-written materials not necessarily related to their official duties. We also encourage approval as the policy supports a critical agency function in the review of employee-written materials, and because it supports several authorities, including executive orders, which grant federal agencies authority to review such materials.



Homeland
Security

ACTION

February 12, 2014

MEMORANDUM FOR: Central Intelligence Agency Publication Review Board

FROM: Brendan LaCivita *BL*
Deputy Director of Strategic Communications
Office of Public Affairs
Department of Homeland Security

SUBJECT: Pre-Publication Review of (b)(6) Manuscript,
(b)(4),(b)(6)

Action

We have completed our review of the attached textbook manuscript, (b)(4),(b)(6)
(b)(4),(b)(6) and have the following comments:

(b)(5)

For questions please contact Brendan.Lacivita (b)(6) or telephone (b)(6) (b)(6)



Homeland Security

ACTION

January 29, 2015

MEMORANDUM FOR: Pre-Publication Review

FROM: Brendan I. LaCivita
Communication Operations Editor
Office of Public Affairs (OPA)

SUBJECT: Pre-Publication Review of (b)(6), (b)(7)(C) Hall book Manuscript,

(b)(4)

Action

Provided for your review and approval is an unclassified book manuscript titled (b)(4), (b)(6)

(b)(4), (b)(6)

written by a former Department of Justice employee.

The Department of Defense forwarded the manuscript to DHS OPA for review for possible DHS equities. Concurrent review also is being conducted by DOJ, DOD, and U.S. CYBERCOM. We are allowing 16-working days (February 20) from the date of this memorandum to complete the pre-publication review process so your prompt attention to this matter is appreciated.

Please initial your component name listed below at the time review is complete and forward to the next component on the list. Because review is being done via intra-agency mail you must provide the mail stop number for the next reviewing component and note it on the attached envelope.

OCSO _____

I/A _____

NPPD OPA _____

ICE OPA _____

DHS OPA _____

15-344-7

CLEARANCE REQUEST FOR PUBLIC RELEASE OF DEPARTMENT OF DEFENSE INFORMATION			
(See Instructions on back.)			
(This form is to be used in requesting review and clearance of DoD information proposed for public release in accordance with DoDD 5230.09.)			
TO: (See Note) Chief, Office of Security Review, 1155 Defense Pentagon, Washington, DC 20301-1155 Note: Regular mail address shown above. For drop-off/next day delivery, use: Room 12047, 1777 North Kent Street, Rosslyn, VA 22209-2133			
1. DOCUMENT DESCRIPTION			
a. TYPE Manuscript	b. TITLE (b)(4),(b)(6)		
c. PAGE COUNT 33	d. SUBJECT AREA Public-Private Partnerships, Illicit Networks		
2. AUTHOR/SPEAKER			
a. NAME (Last, First, Middle Initial) (b)(6)	b. RANK Civ	c. TITLE (b)(6)	
d. OFFICE William J. Perry Center for Hemispheric Studies, NDU		e. AGENCY DOD	
3. PRESENTATION/PUBLICATION DATA (Date, Place, Event) This manuscript is intended for publication in Beyond Convergence by the Center for Complex Operations. Please execute a security review of the attached document and return the results to NDU Press. If the review of this manuscript is delayed beyond the "Requested By" date or raises concerns during its review, DOPSR should immediately contact NDU with specific information to advise NDU Press to ensure the contracted publishing schedule can be met on time.			
4. POINT OF CONTACT			
a. NAME (Last, First, Middle Initial) (b)(6)		b. TELEPHONE NO. (Include Area Code) (b)(6)	
5. PRIOR COORDINATION			
a. NAME (Last, First, Middle Initial)	b. OFFICE/AGENCY	c. TELEPHONE NO. (Include Area Code)	
6. REMARKS			
Please return to National Defense University (Attn: (b)(6)) 260 Fifth Ave., Bldg. 64, Room 2504 Fort McNair, Washington, DC 20319 Email: (b)(6) NDU Control No. 15-344-7			
7. RECOMMENDATION OF SUBMITTING OFFICE/AGENCY			
a. THE ATTACHED MATERIAL HAS DEPARTMENT/OFFICE/AGENCY APPROVAL FOR PUBLIC RELEASE (qualifications, if any, are indicated in Remarks section) AND CLEARANCE FOR OPEN PUBLICATION IS RECOMMENDED UNDER PROVISIONS OF DODD 5230.09. I AM AUTHORIZED TO MAKE THIS RECOMMENDATION FOR RELEASE ON BEHALF OF: President, National Defense University			
b. CLEARANCE IS REQUESTED BY 20160110 (YYYYMMDD).			
c. NAME (Last, First, Middle Initial) (b)(6)	d. TITLE Director, NDU Press		
e. OFFICE National Defense University	f. AGENCY DoD		
g. (b)(6)		h. DATE SIGNED (YYYYMMDD) 20151210	

DD FORM 1910, JAN 2006

PREVIOUS EDITION MAY BE USED.

Adobe Professional 8.0

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DEPARTMENT OF DEFENSE
DEFENSE OFFICE OF PREPUBLICATION AND SECURITY REVIEW
1155 DEFENSE PENTAGON
WASHINGTON, DC 20301-1155

December 14, 2015

Ref (b)(6)

MEMORANDUM FOR THE DEPARTMENT OF HOMELAND SECURITY
(ATTN: OFFICE OF SECURITY / BRENDAN LACIVITA)

SUBJECT: (b)(4),(b)(6)

The attached National Defense University manuscript, (b)(4),(b)(6)

(b)(4),(b)(6)

by (b)(6)

was submitted to the

Department of Defense (DoD) for open publication. The manuscript is provided for your concurrence in release as the DoD believes it contains equities under the cognizance of your agency. We request that your review be completed by January 8, 2016, if possible. Please direct your response and any questions to (b)(6) at (b)(6) mail.mil, or

(b)(6)

(b)(6)

M. M. Langerman
Chief

Attachments:
As stated

From: Lacivita, Brendan
To: Carr, Ayanna
Subject: FOIA Records Search Request 2016-HQFO-00294; SUSPENSE March 31, 2016
Date: Friday, April 1, 2016 12:29:28 PM

Email thread for DHS employee (b)(6),(b)(7)(C)

Brendan I. LaCivita
Communication Operations Editor
Office of Public Affairs
Office of the Secretary
U.S. Department of Homeland Security
(b)(6)
www.DHS.gov

From: (b)(6),(b)(7)(C)
Sent: Tuesday, August 25, 2015 6:40 AM
To: Lacivita, Brendan (b)(6)
Subject: RE: Paper for Journal of Homeland Security Affairs

Got it. Thanks for your help. (b)(6)

(b)(6),(b)(7)(C)
U.S. Department of Homeland Security
Office of Intelligence & Analysis | Joint Counterterrorism Assessment Team
(b)(6),(b)(7)(C)
(b)(6),(b)(7)(C) hctc.gov

From: Lacivita, Brendan
Sent: Monday, August 24, 2015 11:21 AM
To: (b)(6),(b)(7)(C)
Subject: RE: Paper for Journal of Homeland Security Affairs

(b)(6),(b)(7)(C) – OGC just cleared so it's good to go.

Thanks for your patience -
Brendan

Brendan I. LaCivita
Communication Operations Editor
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6)
www.DHS.gov

From: (b)(6),(b)(7)(C)

Sent: Monday, August 24, 2015 8:52 AM

To: Lacivita, Brendan <brendan.lacivita@(b)(6),(b)(7)(C)>

Subject: FW: Paper for Journal of Homeland Security Affairs

Brendan-

As fall approaches, I thought I would check in on this review.

(b)(6),(b)(7)(C)

U.S. Department of Homeland Security

Office of Intelligence & Analysis | Joint Counterterrorism Assessment Team

(b)(6),(b)(7)(C)

(b)(6),(b)(7)(C) [\(b\)\(6\),\(b\)\(7\)\(C\)@nctc.gov">nctc.gov](mailto:mailto:<span style=)

From: (b)(6),(b)(7)(C)

Sent: Tuesday, June 02, 2015 6:45 AM

To: Lacivita, Brendan

Subject: RE: Paper for Journal of Homeland Security Affairs

Thanks; I'm told by the editor that they hope to publish before Fall 2015.

(b)(6),(b)(7)(C)

U.S. Department of Homeland Security

Office of Intelligence & Analysis | Joint Counterterrorism Assessment Team

(b)(6),(b)(7)(C)

(b)(6),(b)(7)(C) [\(b\)\(6\),\(b\)\(7\)\(C\)@nctc.gov">nctc.gov](mailto:mailto:<span style=)

From: Lacivita, Brendan

Sent: Monday, June 01, 2015 3:38 PM

To: (b)(6),(b)(7)(C)

Subject: RE: Paper for Journal of Homeland Security Affairs

Ok – I'll forward to the broader group.

What's the publication timeframe?

Brendan I. LaCivita

Communication Operations Editor

Office of Public Affairs

U.S. Department of Homeland Security

(b)(6),(b)(7)(C)

www.DHS.gov

From: (b)(6),(b)(7)(C)

Sent: Friday, May 29, 2015 3:05 PM

To: Lacivita, Brendan

Subject: RE: Paper for Journal of Homeland Security Affairs

Brendan-

To follow up on our discussion:

- -Ferne Mosley approved the disclaimer as currently written.

-The ODNI review was carried out by (b)(6),(b)(7)(C) However they (b)(6),(b)(7)(C) advise, "if there are questions, they should send them to the entire prepublication group, vice an individual."

(b)(6),(b)(7)(C)

U.S. Department of Homeland Security
Office of Intelligence & Analysis | Joint Counterterrorism Assessment Team

(b)(6),(b)(7)(C)

(b)(6),(b)(7)(C) [nctc.gov](mailto:(b)(6),(b)(7)(C)@nctc.gov)

From: Lacivita, Brendan
Sent: Friday, May 29, 2015 1:57 PM
To: (b)(6), (b)(7)(C)
Subject: RE: Paper for Journal of Homeland Security Affairs

Ok can you call me up?

Brendan I. LaCivita
Communication Operations Editor
Office of Public Affairs
U.S. Department of Homeland Security

(b)(6),(b)(7)(C)

www.DHS.gov

From: (b)(6),(b)(7)(C)
Sent: Friday, May 29, 2015 1:29 PM
To: Lacivita, Brendan
Subject: RE: Paper for Journal of Homeland Security Affairs

I would presume it would be in a professional capacity, but you'd be the better judge. I wrote it while an I was on rotation to the University of Maryland as a DHS Presidential Management Fellow.

To add to the discussion, an earlier draft of this product was approved by (b)(6) (b)(6) (see attached) and, as I mentioned in my earlier email, it's been approved by ODNI Pre-publication.

(b)(6),(b)(7)(C)

U.S. Department of Homeland Security
Office of Intelligence & Analysis | Joint Counterterrorism Assessment Team

(b)(6),(b)(7)(C)

(b)(6),(b)(7)(C) [nctc.gov](mailto:(b)(6),(b)(7)(C)@nctc.gov)

From: Lacivita, Brendan
Sent: Friday, May 29, 2015 1:09 PM
To: (b)(6) (b)(7)(C)
Subject: RE: Paper for Journal of Homeland Security Affairs

Hi (b)(6) Was this written in your personal or professional capacity?

Brendan I. LaCivita
Communication Operations Editor
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6),(b)(7)(C)
www.DHS.gov

From: (b)(6) (b)(7)(C)
Sent: Wednesday, May 27, 2015 10:02 AM
To: Lacivita, Brendan
Subject: FW: Paper for Journal of Homeland Security Affairs

Brendan,

I'm working to have the attached paper published in the Journal of Homeland Security and am seeking clearance from your office.

This version has been cleared by the ODNI Prepublication (b)(6),(b)(7)(C) where I am an assignee. Though it's been cleared by ODNI, my DHS management chain suggested that I also clear this through your office. Please advise if this is not necessary.

(b)(6).
(b)(7)(C)

(b)(6),(b)(7)(C)
U.S. Department of Homeland Security
Office of Intelligence & Analysis | Joint Counterterrorism Assessment Team
(b)(6),(b)(7)(C)
(b)(6),(b)(7)(C) nctc.gov

From: (b)(6),(b)(7)(C) nctc.gov
Sent: Wednesday, May 27, 2015 9:56 AM
To: (b)(6) (b)(7)(C)
Subject: Paper for Journal of Homeland Security Affairs

(b)(6),(b)(7)(C)
DHS Assignee
Joint Counterterrorism Assessment Team (JCAT)
LX1, 5S-237

(b)(6),(b)(7)(C)

From: Lacivita, Brendan
To: Carr, Ayanna
Subject: FOIA Records Search Request 2016-HQFO-00294; SUSPENSE March 31, 2016
Date: Friday, April 1, 2016 12:33:27 PM
Attachments: image002.png
image003.png

Further email thread for DHS employee (b)(6),(b)(7)(C)

Brendan I. LaCivita
Communication Operations Editor
Office of Public Affairs
Office of the Secretary
U.S. Department of Homeland Security
(b)(6)
www.DHS.gov

From: Waters, Mike
Sent: Friday, June 5, 2015 12:22 PM
To: Lacivita, Brendan (b)(6)
Subject: RE: Paper for Journal of Homeland Security Affairs

By the way, Brendan, if he were to want to use his current title and position in the Journal, it would not be objectionable, if he follows the following advice:

Generally speaking, the official title and position of a Government author of an article is not allowed to be used in personal writings--even those that overlap the duties of the author or render benefit to the Agency where the employee serves. There are two exceptions to this general rule, they are:

- A. When the title and position are listed in a biography as "one of several biographical details...provided that this title or position is given no more prominence than other significant biographical details;" and,
- B. When the title and position of the employee is used for an article published in a "scientific or professional journal" (and then only with a disclaimer like the one proposed below); B. See 5 C.F.R. § 2635.870(b)(2) and (1) respectively.

With the information I have, I have no ethics objection to the article or the use of the employees name and official title/position in the "byline" -- subject to the following conditions:

1. The matter is related to the DHS mission (otherwise it would not be appropriate for the use of title and position logically speaking);
2. The author is not being compensated for the writing;
3. There is a suitable disclaimer printed in the same general place as the title and position of the author is found in the writing. A disclaimer should be like the following:
The opinions expressed in this article are those of the author and should not be construed as the official position or in any way representing the views of the Department of Homeland

Security or any other Agency of the Federal Government.

4. The article does not disclose any non-public information, law enforcement sensitive, procurement sensitive, classified or Privacy Act protected information gleaned from Federal service. To the extent that there are any cases or matters that are pending in the employees office that have not been made public, it would be a misuse of position for the employee to discuss them in an open forum other than in a "genericized" nature, leaving out all the specifics of such matters.

In short, since this writing is being published in a "scientific or professional journal," that latter exception will apply and the employee may include title and position in the writing, along with a suitable disclaimer.

Sincerely,

Mike

Mike Waters
Deputy AGC for Ethics
DHS-OGC
NAC, Bldg 1, Room 01-021D

Voice: (b)(6),(b)(7)(C)

BB: (b)(6),(b)(7)(C)

Fax: (b)(6) 282-9099

Maintaining the public's trust in the integrity of Department programs by providing ethics advice and counseling services to the DHS workforce



Confidentiality Notice

This communication, along with any attachments, is covered by federal and state law governing electronic communications and may contain sensitive and/or legally privileged information. If the reader of this message is not the intended recipient, you are hereby notified that any dissemination, distribution, use or copying of this message is strictly prohibited. If you have received this in error, please reply immediately to the sender, so the addressing can be corrected, and also delete this message from your inbox and "deleted" messages file. Thank you for your cooperation.

From: Lacivita, Brendan

Sent: Friday, June 05, 2015 11:03 AM
To: Waters, Mike
Subject: RE: Paper for Journal of Homeland Security Affairs

Ok great thanks Mike

Brendan I. LaCivita
Communication Operations Editor
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6)
www.DHS.gov

From: Waters, Mike
Sent: Friday, June 05, 2015 10:29 AM
To: Lacivita, Brendan
Subject: RE: Paper for Journal of Homeland Security Affairs

Ethics has no objections!!!

Sincerely,
Mike

Mike Waters
Deputy AGC for Ethics
DHS-OGC
NAC, Bldg 1, Room 01-021D

Voice: (b)(6)
BB: (b)(6)
Fax: (b)(6) 282-9099

Maintaining the public's trust in the integrity of Department programs by providing ethics advice and counseling services to the DHS workforce



Confidentiality Notice

This communication, along with any attachments, is covered by federal and state law governing electronic

communications and may contain sensitive and/or legally privileged information. If the reader of this message is not the intended recipient, you are hereby notified that any dissemination, distribution, use or copying of this message is strictly prohibited. If you have received this in error, please reply immediately to the sender, so the addressing can be corrected, and also delete this message from your inbox and "deleted" messages file. Thank you for your cooperation.

From: Lacivita, Brendan

Sent: Tuesday, June 02, 2015 10:49 AM

To: Ackiss, Scott; (b) (b)(7)(C) Waters, Mike; Boucher, Leo; (b)(6)

Subject: FW: Paper for Journal of Homeland Security Affairs

Gentlemen – attached for your review is a paper on North American radicalization written by an I/A employee in his personal capacity. He seeks to have the paper published before this fall in the Journal of Homeland Security Affairs. The paper has been cleared by ODNI Prepublication (b)(6) (b)(6) where the author is an assignee.

I let me know if you have any questions.

Thanks –
Brendan

Brendan I. LaCivita
Communication Operations Editor
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6)
www.DHS.gov

From: Lacivita, Brendan
To: Carr, Ayanna
Subject: FOIA Records Search Request 2016-HQFO-00294; SUSPENSE March 31, 2016
Date: Friday, April 1, 2016 12:34:47 PM

Further email thread for DHS employee (b)(6)

Brendan I. LaCivita
Communication Operations Editor
Office of Public Affairs
Office of the Secretary
U.S. Department of Homeland Security
(b)(6)
www.DHS.gov

From: (b)(6)
Sent: Thursday, June 4, 2015 4:06 PM
To: Lacivita, Brendan (b)(6) Ackiss, Scott (b)(6)
Waters, Mike (b)(6) Boucher, Leo (b)(6) (b)(7)(C) (b)(7)
(b)(6)
Subject: RE: Paper for Journal of Homeland Security Affairs

Brendan,

I&A Security Management Branch has no objections to the publication of this paper.

r/
Ken

From: Lacivita, Brendan
Sent: Tuesday, June 02, 2015 10:49 AM
To: Ackiss, Scott; (b)(6) (b)(7)(C) Waters, Mike; Boucher, Leo; (b)(7) (b)(7)
Subject: FW: Paper for Journal of Homeland Security Affairs

Gentlemen – attached for your review is a paper on North American radicalization written by an I/A employee in his personal capacity. He seeks to have the paper published before this fall in the Journal of Homeland Security Affairs. The paper has been cleared by ODNI Prepublication (b)(6) (b)(6) where the author is an assignee.

Let me know if you have any questions.

Thanks –
Brendan

Brendan I. LaCivita
Communication Operations Editor

Office of Public Affairs

U.S. Department of Homeland Security

(b)(6)

www.DHS.gov

From: Lacivita, Brendan
To: Carr, Ayanna
Subject: FOIA Records Search Request 2016-HQFO-00294; SUSPENSE March 31, 2016
Date: Friday, April 1, 2016 12:32:15 PM

Further email thread for DHS employee (b)(6)

Brendan I. LaCivita
Communication Operations Editor
Office of Public Affairs
Office of the Secretary
U.S. Department of Homeland Security
(b)(6)
www.DHS.gov

From: Ackiss, Scott
Sent: Monday, July 20, 2015 3:04 PM
To: Lacivita, Brendan (b)(6)
Subject: RE: Paper for Journal of Homeland Security Affairs

No OCSO objections.

From: Lacivita, Brendan
Sent: Tuesday, June 2, 2015 10:49 AM
To: Ackiss, Scott (b)(6) (b)(6) (b)(6) Waters,
Mike (b)(6) Boucher, Leo (b)(6) (b)(7) (b)(7)
(b)(6)
Subject: FW: Paper for Journal of Homeland Security Affairs

Gentlemen – attached for your review is a paper on North American radicalization written by an I/A employee in his personal capacity. He seeks to have the paper published before this fall in the Journal of Homeland Security Affairs. The paper has been cleared by ODNI Prepublication (b)(6) (b)(6) where the author is an assignee.

Let me know if you have any questions.

Thanks –
Brendan

Brendan I. LaCivita
Communication Operations Editor
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Lacivita, Brendan
To: Carr, Ayanna
Subject: FOIA Records Search Request 2016-HQFO-00294; SUSPENSE March 31, 2016
Date: Friday, April 1, 2016 12:31:09 PM

Another email thread for DHS employee (b)(6)

Brendan I. LaCivita
Communication Operations Editor
Office of Public Affairs
Office of the Secretary
U.S. Department of Homeland Security

(b)(6)
www.DHS.gov

From: Boucher, Leo
Sent: Monday, August 24, 2015 10:48 AM
To: Lacivita, Brendan (b)(6)
Subject: RE: Paper for Journal of Homeland Security Affairs

No concerns from ad law.

Chip Boucher
Assistant General Counsel, Administrative Law Branch
General Law Division
Office of the General Counsel
Department of Homeland Security
Washington, DC 20528
Telephone: (b)(6)

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From: Lacivita, Brendan
Sent: Monday, August 24, 2015 10:24 AM
To: Boucher, Leo
Subject: RE: Paper for Journal of Homeland Security Affairs
Importance: High

Chip – are you ok with this paper? I/A is looking to get it published soon.

Thanks –
Brendan

Brendan I. LaCivita

Communication Operations Editor
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Lacivita, Brendan

Sent: Tuesday, June 2, 2015 10:49 AM

To: Ackiss, Scott (b)(6) (b)(6) (b)(6) Waters,
Mike (b)(6) Boucher, Leo (b)(6) (b)(7)(f) (b)(7)(f)
(b)(6)

Subject: FW: Paper for Journal of Homeland Security Affairs

Gentlemen – attached for your review is a paper on North American radicalization written by an I/A employee in his personal capacity. He seeks to have the paper published before this fall in the Journal of Homeland Security Affairs. The paper has been cleared by ODNI Prepublication (b)(6) (b)(6) where the author is an assignee.

Let me know if you have any questions.

Thanks –
Brendan

Brendan I. LaCivita
Communication Operations Editor
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6)
www.DHS.gov

From: Lacivita, Brendan
To: Carr, Ayanna
Subject: FOIA Records Search Request 2016-HQFO-00294; SUSPENSE March 31, 2016
Date: Friday, April 1, 2016 11:55:21 AM
Attachments: The Country Club Jihad 16Feb12revflm.docx

Below is an email thread for a paper written by an I/A employee. DHS approval/clearance was provided on February 17, 2012.

Brendan I. LaCivita
Communication Operations Editor
Office of Public Affairs
Office of the Secretary
U.S. Department of Homeland Security
(b)(6)
www.DHS.gov

From: (b)(6),(b)(7)(C)
Sent: Friday, February 17, 2012 2:00 PM
To: (b)(6) (b)(6)
Cc: Lacivita, Brendan (b)(6) (b)(6)
(b)(6) Sepeta, (b)(6) (b)(6) Mosley, Ferne
(b)(6) (b)(7)(C) (b)(6) (b)(6) Constantine,
George (b)(6)
Subject: FW: Library submission
Importance: High

(b)(6),(b)(7)(C)

You're good to go with submitting the piece with the disclaimer provided by OGC Ethics as per attached. Best.

(b)(6),(b)(7)(C)
Director of Communications
for Intelligence and Analysis
Office of Public Affairs
U.S. Department of Homeland Security
Washington, D.C. 20528

(b)(6)
(b)(6) (fax)
(b)(6) (cell)
(b)(6)

From: Mosley, Ferne
Sent: Thursday, February 16, 2012 4:13 PM
To: (b)(6)
Cc: (b)(6)

Subject: RE: Library submission

(b)(6) I have reviewed the disclaimer language and confirm that it meets with the ethics requirements relating to writing in a personal capacity for a scientific/professional journal. I made a minor grammatical edit to the disclaimer.

Ferne

Ferne L. Mosley

Attorney-Advisor/Deputy Ethics Official
U.S. Department of Homeland Security
Office of the General Counsel

(b)(6) (desk)
(b)(6) (fax)

ferne.mosley@ice.dhs.gov (b)(6)

Office location:

Nebraska Ave Complex, Building 1, Suite 01-021
Washington, DC

Mailing address:

Department of Homeland Security
245 Murray Lane, SW Mail Stop 0485
Washington, DC 20528

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From: (b)(7)(C) (b)(6)

Sent: Thursday, February 16, 2012 3:14 PM

To: (b)(6)

Cc: Mosley, Ferne

Subject: RE: Library submission

Hi (b)(6)

Thanks for the e-mail. From my review of the document and the correspondence below, it appears that (b)(6) is writing this article in his personal capacity. Assuming that is the case, the article will require review by the OGC's Ethics Division. I've cc'd my colleague, Ms. Ferne Mosley, on my reply, as she has graciously agreed to review the document from that perspective. Ms. Mosley can provide the definitive guidance that you need.

Best Regards,

(b)(6)

(b)(6)

Attorney-Advisor (Intelligence)
United States Department of Homeland Security
Office of the General Counsel

(b)(6)

SIE III)
cell)

From: (b)(6)
Sent: Thursday, February 16, 2012 2:56 PM
To: (b)(6)
Subject: Fw: Library submission

(b)(6)

Fyi, and pls advise. Thanks.

(b)(6)

Director of Communications
for Intelligence and Analysis
Office of Public Affairs
U.S. Department of Homeland Security
Washington, D.C. 20528

(b)(6)

((fax)
(cell)

(b)(6)

(b)(6)

From: (b)(6)
Sent: Thursday, February 16, 2012 02:27 PM
To: (b)(6); (b)(6); Constantine, George; D'Antonio, (b)(7)(C)
Cc: OGC ES Intel
Subject: RE: Library submission

(b)(6)

et al:

Attached is the paper with a disclaimer, which I gathered via google search, on the first page. Please let me know if this meets all requirements so that I can submit it to a journal.

Tks,

(b)(6)

Senior Intelligence Analyst
U.S. Department of Homeland Security

Office of Intelligence & Analysis | A&P Office of the Research Director

(b)(6)
(b)(6)

NAC Building 19, 02-201-26

From: (b)(6)

Sent: Thursday, February 16, 2012 11:27 AM

To: (b)(6) Constantine, George; D'Antonio, (b)(7)(C)

Cc: OGC ES Intel

Subject: RE: Library submission

Importance: High

All:

I'll ask (b)(6) to resend the piece, and then for all on this email chain to sign off that they're good to go with it being submitted under the terms (b)(6) outlined below. Thanks.

(b)(6)
(b)(6)

Director of Communications
for Intelligence and Analysis
Office of Public Affairs
U.S. Department of Homeland Security
Washington, D.C. 20528

(b)(6)

(fax)

(b)(6)

(cell)

(b)(6)

From: (b)(6) (b)(6)

Sent: Thursday, February 16, 2012 11:23 AM

To: (b)(6) Constantine, George

Cc: OGC ES Intel

Subject: RE: Library submission

It's my understanding that I'll submit the article as a Presidential Management Fellow assigned to the University of MD Consortium for the Study of Terrorism and Responses to Terrorism (no mention of DHS)

(b)(6)

Senior Intelligence Analyst

U.S. Department of Homeland Security

Office of Intelligence & Analysis | A&P Office of the Research Director

(b)(6)

NAC Building 19, 02 201 26

From: (b)(6) (b)(6) (b)(6)
Sent: Thursday, February 16, 2012 11:20 AM
To: (b)(6) (b)(6) Constantine, George
Cc: OGC ES Intel
Subject: RE: Library submission
Importance: High

(b)(6)

Have we resolved that (b)(6) will submit this as a student in whatever program he's pursuing at UM vs a DHS employee? Thanks.

(b)(6) (b)(6) (b)(6)
Director of Communications
for Intelligence and Analysis
Office of Public Affairs
U.S. Department of Homeland Security
Washington, D.C. 20528

(b)(6)

(b)(6) (fax)

(b)(6) (cell)

(b)(6)

From: (b)(6) (b)(6)
Sent: Thursday, February 16, 2012 9:42 AM
To: (b)(6) (b)(6) (b)(6)
Subject: RE: Library submission

(b)(6)

Reference this request, could you provide me the stock text for the "work is not attributed to DIIS" disclaimer? Would read something like, "the views expressed in this paper are not those of the US Gov't [...]"

(b)(6) (b)(6) (b)(6)
Senior Intelligence Analyst
U.S. Department of Homeland Security
Office of Intelligence & Analysis | A&P Office of the Research Director
(b)(6) | (b)(6) | (b)(6)
(b)(6) 44 /-4062 Desk | /66-02 /6 NSIS
NAC Building 19, 02-201-26

From: (b)(6) (b)(6)
Sent: Friday, December 09, 2011 4:04 PM
To: (b)(6) (b)(6)

Cc: Constantine, George
Subject: Library submission

(b)(6)

I would like to submit the attached article to the Small Wars Journal for publication.

-Could you tell me I need to do to gain approval from DHS?

Thanks,

(b)(6) (b)(6) (b)(6)

Senior Intelligence Analyst

U.S. Department of Homeland Security

Office of Intelligence & Analysis | A&P Office of the Research Director

(b)(6)

(b)(6)

(b)(6)

(b)(6) 447-4062 Desk | 766-0276 NSTS

NAC Building 19, 02-201-26

From: Lacivita, Brendan
To: Carr, Ayanna
Cc: Howard, Tammy
Subject: FOIA Records Search Request 2016-HQFO-00294; SUSPENSE March 31, 2016
Date: Friday, April 1, 2016 11:45:31 AM

Additional (b)(6) email thread below.

Brendan I. LaCivita
Communication Operations Editor
Office of Public Affairs
Office of the Secretary
U.S. Department of Homeland Security
(b)(6)
www.DHS.gov

From: (b)(6) <CTR>
Sent: Monday, December 9, 2013 4:40 PM
To: Lacivita, Brendan (b)(6)
Cc: (b)(6) (b)(6)
Subject: RE: Pre-Publication Review

Hi Brendan,
No, the piece was written before I came onboard here at DHS as a contractor for Pherson Associates.
Thanks,

(b)(6)
Tradecraft Advisor
Pherson Associates, LLC, in support of
Analytic Tradecraft Program, Customer Assurance Branch
Production Management Division
Office of Intelligence and Analysis
(b)(6)

From: Lacivita, Brendan
Sent: Thursday, December 05, 2013 11:16 AM
To: (b)(6) <CTR>
Cc: 'Randy Pherson'
Subject: RE: Pre-Publication Review

(b)(6) – just to be clear, you wrote this in your professional capacity for DHS, correct?

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6)

www.DHS.gov

From: (b)(6) <CTR>
Sent: Wednesday, December 04, 2013 9:43 AM
To: Lacivita, Brendan
Cc: 'Randy Pherson'
Subject: RE: Pre-Publication Review

Good morning Brendan,

Please let me know the status of this pre-publication review.

Thank you!

Regards,

(b)(6)
Tradecraft Advisor
Pherson Associates, LLC.. in support of
Analytic Tradecraft Program, Customer Assurance Branch
Production Management Division
Office of Intelligence and Analysis
(b)(6)

From: (b)(6) <CTR>
Sent: Monday, September 16, 2013 8:37 AM
To: Lacivita, Brendan
Cc: (b)(6); Pendleton, Bryan; Allgood, Sharon
Subject: RE: Pre-Publication Review

Brendan-

Please see attached comments by Sharon Allgood per your request.

Regards,

(b)(6)
Tradecraft Advisor
Pherson Associates, LLC.. in support of
Analytic Tradecraft Program, Customer Assurance Branch
Production Management Division
Office of Intelligence and Analysis
(b)(6)

From: Lacivita, Brendan
Sent: Thursday, September 05, 2013 1:51 PM
To: (b)(6) <CTR>
Cc: 'Randy Pherson'; Pendleton, Bryan; Allgood, Sharon
Subject: RE: Pre-Publication Review

Ok – it needs to be reviewed/cleared by the appropriate program office. Once it clears there then forward to me for broader Department review.

Thanks -

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6)
www.DHS.gov

From: (b)(7) (b) <CTR>
Sent: Thursday, September 05, 2013 1:42 PM
To: Lacivita, Brendan
Cc: (b)(6) Pendleton, Bryan; Allgood, Sharon
Subject: RE: Pre-Publication Review

Hi Brendan,

No it has not—I was instructed by Security to contact you for review. Please let me know the correct process.

Regards,

(b)(7) (b)(7)
TradeCraft Advisor
Pherson Associates, LLC, in support of
Analytic TradeCraft Program, Customer Assurance Branch
Production Management Division
Office of Intelligence and Analysis
(b)(6)

From: Lacivita, Brendan
Sent: Wednesday, September 04, 2013 4:15 PM
To: (b)(7) (b) <CTR>
Cc: (b)(6); Cameron, Bruce; Pendleton, Bryan
Subject: RE: Pre-Publication Review

(b)(7) – has the manuscript been cleared by your program office?

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6)
www.DHS.gov

From: (b)(6) <CTR>
Sent: Thursday, August 22, 2013 10:03 AM
To: Lacivita, Brendan
Cc: (b)(6)
Subject: Pre-Publication Review

DHS Pre-Publication Review Board:

Attached to this message is a case study I have written to be published by CQ Press/SAGE Publication in Second Edition of *Cases in Intelligence Analysis: Structured Analytic Techniques in Action*. All the information is taken from unclassified sources as documented in the list of endnotes. We are seeking your approval before we submit the chapter to our publisher. The editors of the book are (b)(6) and (b)(6)

I am submitting the manuscript to the DHS Pre-Publications Review Board because Mr. (b)(6) and I currently are working under contract to DHS I&A in support of the Analytic Tradecraft Program, Production Management Division. (b)(6) and (b)(6) will also be submitting the chapter to the (b)(6) because they are former (b)(6) employees.

Please let me know if you need any additional information. Thank you in advance for your timely review.

Regards,

(b)(6)

Tradecraft Advisor
Pherson Associates, LLC, in support of
Analytic Tradecraft Program, Customer Assurance Branch
Production Management Division
Office of Intelligence and Analysis
(b)(6)

From: Lacivita, Brendan
To: Carr, Ayanna
Cc: Howard, Tammy
Subject: FOIA Records Search Request 2016-HQFO-00294; SUSPENSE March 31, 2016
Date: Friday, April 1, 2016 11:44:08 AM
Attachments: image001.png

Additional (b)(6),(b)(7)(C) email thread below.

Brendan I. LaCivita
Communication Operations Editor
Office of Public Affairs
Office of the Secretary
U.S. Department of Homeland Security

(b)(6)

www.DHS.gov

From: Waters, Mike
Sent: Wednesday, December 11, 2013 11:51 AM
To: Lacivita, Brendan (b)(6)
Subject: RE: Pre-Publication Review

No, sir--other than to relay to the contractor that they should not use the DHS Name, Title, Initials or Seal related to their input!!!

Sincerely,

Mike

Mike Waters
Deputy AGC for Ethics
DHS-OGC
NAC, Bldg 1, Room 01-021D

Voice: (b)(6)
BB: (b)(6)
Fax: (b)(6) 282-9099

Maintaining the public's trust in the integrity of Department programs by providing ethics advice and counseling services to the DHS workforce



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From: Lacivita, Brendan
Sent: Wednesday, December 11, 2013 11:47 AM
To: Waters, Mike; (b) (b)(7)(C) Boucher, Leo; Young, John; Lott, Thomas
Subject: RE: Pre-Publication Review

Mike – no need for further Ethics action?

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security

(b)(6)

www.DHS.gov

From: Waters, Mike
Sent: Wednesday, December 11, 2013 11:31 AM
To: Lacivita, Brendan; (b)(6) Boucher, Leo; Young, John; Lott, Thomas
Subject: RE: Pre-Publication Review

Since the material contained in the publication was cleared by the program office, and the material was drafted before DHS involvement in the program--I see no need for further action!

Sincerely,

Mike

Mike Waters
Deputy AGC for Ethics
DHS-OGC
NAC, Bldg 1, Room 01-021D

Voice: (b)(6)
BB: (b)(6)

Fax: (b)(6) 282-9099

Maintaining the public's trust in the integrity of Department programs by providing ethics advice and counseling services to the DHS workforce



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From: Lacivita, Brendan
Sent: Monday, December 09, 2013 4:44 PM
To: (b)(6) (b)(7)(C) Boucher, Leo; Young, John; Lott, Thomas; Waters, Mike
Subject: RE: Pre-Publication Review
Importance: High

Folks – just learned today that the case study was written *before* the contractor became a DHS contract employee.

I'm unsure how that may or may not impact our review. Perhaps Mike in Ethics can opine?

Thanks –
Brendan

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security

(b)(6)

www.DHS.gov

From: Lacivita, Brendan
Sent: Thursday, December 05, 2013 11:34 AM
To: (b)(6) (b)(7)(C) Boucher, Leo; Young, John; Lott, Thomas
Subject: FW: Pre-Publication Review

Gentlemen – attached for your review is a case study written by an I/A contractor in her work

supporting the Analytic Tradecraft Program in the Production Management Division. The copy has been cleared by (b)(6) in the program office.

Additional details about the case study and where it will publish are provided in the email below.

Let me know if you have any questions.

Thanks –
Brendan

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6)
www.DHS.gov

From: (b)(6) <CTR>
Sent: Thursday, August 22, 2013 10:03 AM
To: Lacivita, Brendan
Cc: (b)(6)
Subject: Pre-Publication Review

DHS Pre-Publication Review Board:

Attached to this message is a case study I have written to be published by CQ Press/SAGE Publication in Second Edition of *Cases in Intelligence Analysis: Structured Analytic Techniques in Action*. All the information is taken from unclassified sources as documented in the list of endnotes. We are seeking your approval before we submit the chapter to our publisher. The editors of the book are (b)(6)

I am submitting the manuscript to the DHS Pre-Publications Review Board because Mr. (b)(6) and I currently are working under contract to DHS I&A in support of the Analytic Tradecraft Program, Production Management Division. (b)(6) and (b)(6) will also be submitting the chapter to the (b)(6) because they are former (b)(6) employees.

Please let me know if you need any additional information. Thank you in advance for your timely review.

Regards,

(b)(6),(b)(7)(C)

PERSONAL ADVISOR
Pherson Associates, LLC, in support of
Analytic Tradecraft Program, Customer Assurance Branch

Production Management Division
Office of Intelligence and Analysis

(b)(6),(b)(7)(C)

From: Lacivita, Brendan
To: Carr, Ayanna
Cc: Howard, Tammy
Subject: FOIA Records Search Request 2016-HQFO-00294; SUSPENSE March 31, 2016
Date: Friday, April 1, 2016 11:43:01 AM
Attachments: image001.png

Additional (b)(6),(b)(7)
(C) email thread below.

Brendan I. LaCivita
Communication Operations Editor
Office of Public Affairs
Office of the Secretary
U.S. Department of Homeland Security
(b)(6)
www.DHS.gov

From: Lacivita, Brendan
Sent: Wednesday, December 11, 2013 12:10 PM
To: Lott, Thomas (b)(6)
Subject: RE: Pre-Publication Review

Ok – thanks (b)(6)

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6)
www.DHS.gov

From: Lott, Thomas
Sent: Wednesday, December 11, 2013 12:08 PM
To: Lacivita, Brendan
Subject: RE: Pre-Publication Review

Brendan,

SSPD has no additional comments.

(b)(7)

Thomas D. Lott
Chief, Special Security Programs Division
Office of the Chief Security Officer
Department of Homeland Security

Office: (b)(6)
Blackba
Email: (b)(6)
tdlott (b)(6),(b)(7)(C)

From: Lacivita, Brendan
Sent: Wednesday, December 11, 2013 11:47 AM
To: Waters, Mike; (b)(6),(b)(7)(C) Boucher, Leo; Young, John; Lott, Thomas
Subject: RE: Pre-Publication Review

Mike – no need for further Ethics action?

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6)
www.DHS.gov

From: Waters, Mike
Sent: Wednesday, December 11, 2013 11:31 AM
To: Lacivita, Brendan; (b)(6),(b)(7)(C) Boucher, Leo; Young, John; Lott, Thomas
Subject: RE: Pre-Publication Review

Since the material contained in the publication was cleared by the program office, and the material was drafted before DHS involvement in the program--I see no need for further action!

Sincerely,
Mike

Mike Waters
Deputy AGC for Ethics
DHS-OGC
NAC, Bldg 1, Room 01-021D

Voice: (b)(6)
BB: (b)(6)
Fax: (b)(6) 282-9099

Maintaining the public's trust in the integrity of Department programs by providing ethics advice and counseling services to the DHS workforce



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From: Lacivita, Brendan
Sent: Monday, December 09, 2013 4:44 PM
To: (b) (6); (b) (7)(C); Boucher, Leo; Young, John; Lott, Thomas; Waters, Mike
Subject: RE: Pre-Publication Review
Importance: High

Folks – just learned today that the case study was written *before* the contractor became a DHS contract employee.

I'm unsure how that may or may not impact our review. Perhaps Mike in Ethics can opine?

Thanks –
Brendan

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b) (6)
www.DHS.gov

From: Lacivita, Brendan
Sent: Thursday, December 05, 2013 11:34 AM
To: (b) (6); (b) (7)(C); Boucher, Leo; Young, John; Lott, Thomas
Subject: FW: Pre-Publication Review

Gentlemen – attached for your review is a case study written by an I/A contractor in her work supporting the Analytic Tradecraft Program in the Production Management Division. The copy has been cleared by Sharon Allgood in the program office.

Additional details about the case study and where it will publish are provided in the email below.

Let me know if you have any questions.

Thanks –
Brendan

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6)
www.DHS.gov

From: (b)(6),(b)(7)(C) CTR>
Sent: Thursday, August 22, 2013 10:03 AM
To: Lacivita, Brendan
Cc: 'Randy Pherson'
Subject: Pre-Publication Review

DHS Pre-Publication Review Board:

Attached to this message is a case study I have written to be published by CQ Press/SAGE Publication in Second Edition of *Cases in Intelligence Analysis: Structured Analytic Techniques in Action*. All the information is taken from unclassified sources as documented in the list of endnotes. We are seeking your approval before we submit the chapter to our publisher. The editors of the book are (b)(6),(b)(7)(C)

I am submitting the manuscript to the DHS Pre-Publications Review Board because Mr. (b)(6),(b)(7)(C) and I currently are working under contract to DHS I&A in support of the Analytic Tradecraft Program, Production Management Division. (b)(6),(b)(7)(C) will also be submitting the chapter to the (b)(6),(b)(7)(C) because they are former (b)(6),(b)(7)(C) employees.

Please let me know if you need any additional information. Thank you in advance for your timely review.

Regards,

(b)(6),(b)(7)(C)
Tradecraft Advisor
Pherson Associates, LLC, in support of
Analytic Tradecraft Program, Customer Assurance Branch
Production Management Division
Office of Intelligence and Analysis
(b)(6),(b)(7)(C)

From: Lacivita, Brendan
To: Carr, Ayanna
Cc: Howard, Tammy
Subject: FOIA Records Search Request 2016-HQFO-00294, SUSPENSE March 31, 2016
Date: Friday, April 1, 2016 11:41:38 AM
Attachments: image001.png

Additional (b)(6),(b)(7)(C) email thread below.

Brendan I. LaCivita
Communication Operations Editor
Office of Public Affairs
Office of the Secretary
U.S. Department of Homeland Security
(b)(6)
www.DHS.gov

From: Lacivita, Brendan
Sent: Wednesday, December 11, 2013 1:37 PM
To: Young, John (b)(6); Waters, Mike (b)(6); (b)(6)
(b)(7)(C); (b)(6); Boucher, Leo (b)(6); Lott, Thomas
(b)(6)
Subject: RE: Pre-Publication Review

Ok – thanks John

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6)
www.DHS.gov

From: Young, John
Sent: Wednesday, December 11, 2013 12:58 PM
To: Lacivita, Brendan; Waters, Mike; (b)(6),(b)(7)(C); Boucher, Leo; Lott, Thomas
Subject: RE: Pre-Publication Review

I have no issues and defer to the subject matter experts.

John

John J. Young
Chief, Administrative Security Division
DHS Office of the Chief Security Officer
(b)(6) 447-5337

(b)(6) Cell)

john.young@ (b)(6)

If you have any comments, concerns, suggestions, or kudos about any OCSO individual, process or program click here [OCSO Suggestion e-Box](#) and let us know.

From: Lacivita, Brendan

Sent: Wednesday, December 11, 2013 12:07 PM

To: Waters, Mike; (b)(6),(b)(7)(C) Boucher, Leo; Young, John; Lott, Thomas

Subject: RE: Pre-Publication Review

Thanks Mike. As discussed, I'd still like for I/A, OGC, and OCSO to review for any release of proprietary/sensitive/FOUO information, unless they feel it's unnecessary.

Thanks –
Brendan

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security

(b)(6)
www.DHS.gov

From: Waters, Mike

Sent: Wednesday, December 11, 2013 11:31 AM

To: Lacivita, Brendan; (b)(6) (b)(7)(C) Boucher, Leo; Young, John; Lott, Thomas

Subject: RE: Pre-Publication Review

Since the material contained in the publication was cleared by the program office, and the material was drafted before DHS involvement in the program--I see no need for further action!

Sincerely,

Mike

Mike Waters
Deputy AGC for Ethics
DHS-OGC
NAC, Bldg 1, Room 01-021D

Voice (b)(6)
BB: (b)(6)
Fax: (b)(6) 282-9099

Maintaining the public's trust in the integrity of Department programs by providing ethics advice and counseling services to the DHS workforce



Confidentiality Notice

This communication, along with any attachments, is covered by federal and state law governing electronic communications and may contain sensitive and/or legally privileged information. If the reader of this message is not the intended recipient, you are hereby notified that any dissemination, distribution, use or copying of this message is strictly prohibited. If you have received this in error, please reply immediately to the sender, so the addressing can be corrected, and also delete this message from your inbox and "deleted" messages file. Thank you for your cooperation.

From: Lacivita, Brendan
Sent: Monday, December 09, 2013 4:44 PM
To: (b) (b)(7)(C) Boucher, Leo; Young, John; Lott, Thomas; Waters, Mike
Subject: RE: Pre-Publication Review
Importance: High

Folks – just learned today that the case study was written *before* the contractor became a DHS contract employee.

I'm unsure how that may or may not impact our review. Perhaps Mike in Ethics can opine?

Thanks –
Brendan

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6)
www.DHS.gov

From: Lacivita, Brendan
Sent: Thursday, December 05, 2013 11:34 AM
To: (b)(6) (b)(7)(C) Boucher, Leo; Young, John; Lott, Thomas
Subject: FW: Pre-Publication Review

Gentlemen – attached for your review is a case study written by an I/A contractor in her work supporting the Analytic Tradecraft Program in the Production Management Division. The copy has been cleared by Sharon Allgood in the program office.

Additional details about the case study and where it will publish are provided in the email below.

Let me know if you have any questions.

Thanks –
Brendan

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6)
www.DHS.gov

From: (b)(6),(b)(7)(C) <CTR>
Sent: Thursday, August 22, 2013 10:03 AM
To: Lacivita, Brendan
Cc: (b)(6)
Subject: Pre-Publication Review

DHS Pre-Publication Review Board:

Attached to this message is a case study I have written to be published by CQ Press/SAGE Publication in Second Edition of *Cases in Intelligence Analysis: Structured Analytic Techniques in Action*. All the information is taken from unclassified sources as documented in the list of endnotes. We are seeking your approval before we submit the chapter to our publisher. The editors of the book are (b)(6)

I am submitting the manuscript to the DHS Pre-Publications Review Board because Mr. (b)(6) and I currently are working under contract to DHS I&A in support of the Analytic Tradecraft Program, Production Management Division. (b)(6) will also be submitting the chapter to the (b)(6),(b)(7)(C) because they are former (b)(6),(b)(7)(C) employees.

Please let me know if you need any additional information. Thank you in advance for your timely review.

Regards,

(b)(6),(b)(7)(C)
Tradecraft Advisor
Pherson Associates, LLC, in support of
Analytic Tradecraft Program, Customer Assurance Branch
Production Management Division
Office of Intelligence and Analysis
(b)(6),(b)(7)(C)

From: Lacivita, Brendan
To: Carr, Ayanna
Cc: Howard, Tammy
Subject: FOIA Records Search Request 2016-HQFO-00294, SUSPENSE March 31, 2016
Date: Friday, April 1, 2016 11:40:04 AM
Attachments: image001.png

Additional (b)(6),(b)(7) pre-publication review emails below.

Brendan I. LaCivita
Communication Operations Editor
Office of Public Affairs
Office of the Secretary
U.S. Department of Homeland Security

(b)(6)

www.DHS.gov

From: Lacivita, Brendan

Sent: Wednesday, December 11, 2013 1:38 PM

To: (b)(6),(b)(7)(C) (b)(6) Waters, Mike (b)(6)
Boucher, Leo (b)(6) Young, John (b)(6) Lott, Thomas
(b)(6)

Subject: RE: Pre-Publication Review

Ok – thank you (b)(6),(b)(7)(C)

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security

(b)(6)
(b)(6)

www.DHS.gov

From: (b)(6) (b)(7)(C)

Sent: Wednesday, December 11, 2013 1:10 PM

To: Lacivita, Brendan; Waters, Mike; Boucher, Leo; Young, John; Lott, Thomas

Subject: RE: Pre-Publication Review

I&A has no issues with publication.

(b)(6),
(b)(7)
(C)

From: Lacivita, Brendan

Sent: Wednesday, December 11, 2013 12:07 PM

To: Waters, Mike; (b)(6) (b)(7)(C) Boucher, Leo; Young, John; Lott, Thomas

Subject: RE: Pre-Publication Review

Thanks Mike. As discussed, I'd still like for I/A, OGC, and OCSO to review for any release of proprietary/sensitive/FOUO information, unless they feel it's unnecessary.

Thanks –
Brendan

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security

(b)(6) [Redacted]
[Redacted]
www.DHS.gov

From: Waters, Mike
Sent: Wednesday, December 11, 2013 11:31 AM
To: Lacivita, Brendan; (b)(6); (b)(7)(C); Boucher, Leo; Young, John; Lott, Thomas
Subject: RE: Pre-Publication Review

Since the material contained in the publication was cleared by the program office, and the material was drafted before DHS involvement in the program--I see no need for further action!

Sincerely,
Mike

Mike Waters
Deputy AGC for Ethics
DHS-OGC
NAC, Bldg 1, Room 01-021D

(b)(6),(b)(7)(C) Voic: (b)(6)
BB: (b)(6)
Fax: (b)(6) 282-9099

Maintaining the public's trust in the integrity of Department programs by providing ethics advice and counseling services to the DHS workforce



Confidentiality Notice

This communication, along with any attachments, is covered by federal and state law governing electronic communications and may contain sensitive and/or legally privileged information. If the reader of this

message is not the intended recipient, you are hereby notified that any dissemination, distribution, use or copying of this message is strictly prohibited. If you have received this in error, please reply immediately to the sender, so the addressing can be corrected, and also delete this message from your inbox and "deleted" messages file. Thank you for your cooperation.

From: Lacivita, Brendan
Sent: Monday, December 09, 2013 4:44 PM
To: (b)(6) (b)(7)(C) Boucher, Leo; Young, John; Lott, Thomas; Waters, Mike
Subject: RE: Pre-Publication Review
Importance: High

Folks – just learned today that the case study was written *before* the contractor became a DHS contract employee.

I'm unsure how that may or may not impact our review. Perhaps Mike in Ethics can opine?

Thanks –
Brendan

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6)
www.DHS.gov

From: Lacivita, Brendan
Sent: Thursday, December 05, 2013 11:34 AM
To: (b)(6) (b)(7)(C) Boucher, Leo; Young, John; Lott, Thomas
Subject: FW: Pre-Publication Review

Gentlemen – attached for your review is a case study written by an I/A contractor in her work supporting the Analytic Tradecraft Program in the Production Management Division. The copy has been cleared by Sharon Allgood in the program office.

Additional details about the case study and where it will publish are provided in the email below.

Let me know if you have any questions.

Thanks –
Brendan

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6)

www.DHS.gov

From: (b)(6),(b)(7)(C) <CTR>
Sent: Thursday, August 22, 2013 10:03 AM
To: Lacivita, Brendan
Cc: 'Randy Pherson'
Subject: Pre-Publication Review

DHS Pre-Publication Review Board:

Attached to this message is a case study I have written to be published by CQ Press/SAGE Publication in Second Edition of *Cases in Intelligence Analysis: Structured Analytic Techniques in Action*. All the information is taken from unclassified sources as documented in the list of endnotes. We are seeking your approval before we submit the chapter to our publisher. The editors of the book are (b)(6)

I am submitting the manuscript to the DHS Pre-Publications Review Board because Mr. (b)(6) and I currently are working under contract to DHS I&A in support of the Analytic Tradecraft Program, Production Management Division. (b)(6) will also be submitting the chapter to the (b)(6) because they are former (b)(6) employees.

Please let me know if you need any additional information. Thank you in advance for your timely review.

Regards,

(b)(6),(b)(7)(C)

Tradecraft Advisor

Pherson Associates, LLC, in support of
Analytic Tradecraft Program, Customer Assurance Branch
Production Management Division
Office of Intelligence and Analysis

(b)(6)

From: Lacivita, Brendan
To: Carr, Ayanna
Cc: Howard, Tammy
Subject: FOIA Records Search Request 2016-HQFO-00294; SUSPENSE March 31, 2016
Date: Friday, April 1, 2016 11:38:06 AM

Below is the email thread outside OPA for the Alysa Aten review.

Brendan I. LaCivita
Communication Operations Editor
Office of Public Affairs
Office of the Secretary
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Lacivita, Brendan
Sent: Monday, December 30, 2013 2:27 PM
To: Boucher, Leo (b)(6)
Subject: RE: Pre-Publication Review

Ok – they all were good.

Thanks Chip.

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Boucher, Leo
Sent: Monday, December 30, 2013 10:18 AM
To: Lacivita, Brendan
Subject: RE: Pre-Publication Review

Hi Brendan
If there's no SME or security concerns, I have none.

Chip Boucher
Assistant General Counsel, Administrative Law Branch
General Law Division
Office of the General Counsel
Department of Homeland Security
Washington, DC 20528
Telephone: (b)(6) Cell: (b)(6)

This communication, along with any attachments, is covered by federal and state law governing electronic communications and may contain confidential and legally privileged information. If you are not the intended recipient, any dissemination, distribution, use or copying of this message and any attachment is strictly prohibited. If you received this message in error, please reply immediately and delete the message. Thank you.

From: Lacivita, Brendan
Sent: Wednesday, December 18, 2013 11:53 AM
To: Boucher, Leo
Subject: FW: Pre-Publication Review
Importance: High

Chip – have you had an opportunity to review the attached? It's cleared I/A, OCSO, and Ethics.

Thanks –
Brendan

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Lacivita, Brendan
Sent: Thursday, December 05, 2013 11:34 AM
To: (b)(6) (b)(7)(C) Boucher, Leo; Young, John; Lott, Thomas
Subject: FW: Pre-Publication Review

Gentlemen – attached for your review is a case study written by an I/A contractor in her work supporting the Analytic Tradecraft Program in the Production Management Division. The copy has been cleared by Sharon Allgood in the program office.

Additional details about the case study and where it will publish are provided in the email below.

Let me know if you have any questions.

Thanks –
Brendan

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)

www.DHS.gov

From: (b)(6),(b)(7)(C) <CTR>
Sent: Thursday, August 22, 2013 10:03 AM
To: Lacivita, Brendan
Cc: 'Randy Pherson'
Subject: Pre-Publication Review

DHS Pre-Publication Review Board:

Attached to this message is a case study I have written to be published by CQ Press/SAGE Publication in Second Edition of *Cases in Intelligence Analysis: Structured Analytic Techniques in Action*. All the information is taken from unclassified sources as documented in the list of endnotes. We are seeking your approval before we submit the chapter to our publisher. The editors of the book are (b)(6)

I am submitting the manuscript to the DHS Pre-Publications Review Board because Mr. (b)(6) and I currently are working under contract to DHS I&A in support of the Analytic Tradecraft Program, Production Management Division. (b)(6) will also be submitting the chapter to the (b)(6),(b)(7)(C) because they are former (b)(6), (b)(7)(C) employees.

Please let me know if you need any additional information. Thank you in advance for your timely review.

Regards,

(b)(6),(b)(7)(C)

Tradecraft Advisor

Pherson Associates, LLC, in support of
Analytic Tradecraft Program, Customer Assurance Branch
Production Management Division
Office of Intelligence and Analysis
(b)(6)

From: Lacivita, Brendan
To: Carr, Ayanna
Cc: Howard, Tammy
Subject: FOIA Records Search Request 2016-HQFO-00294; SUSPENSE March 31, 2016
Date: Friday, April 1, 2016 11:34:55 AM
Attachments: Instructor Materials- Case of Iraq's Aluminum Tubes v8.docx
The Case of Iraq's Aluminum Tubes v8.docx

Below is the approval to employee (b)(6)

Brendan I. LaCivita
Communication Operations Editor
Office of Public Affairs
Office of the Secretary
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Lacivita, Brendan
Sent: Monday, December 30, 2013 3:29 PM
To: (b)(6),(b)(7)(C) <(b)(6),(b)(7)(C)@hq.dhs.gov>
Subject: FW: Pre-Publication Review
Importance: High

(b)(6) the attached has been reviewed (for unintentional release of FOUO, sensitive, or classified information only) and has been cleared by OPA, I/A, OGC, and OCSO.

I appreciate your patience!

Brendan

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Lacivita, Brendan
To: Carr, Ayanna
Cc: Howard, Tammy
Subject: FOIA Records Search Request 2016-HQFO-00294; SUSPENSE March 31, 2016
Date: Friday, April 1, 2016 11:32:48 AM

Below is one email thread for a pre-publication review case of a DHS employee – (b)(6),(b)(7)(C) The case study was cleared by DIIS on 12/30/13.

Brendan I. LaCivita
Communication Operations Editor
Office of Public Affairs
Office of the Secretary
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Lacivita, Brendan
Sent: Monday, December 30, 2013 3:48 PM
To: Jensen, Robert (b)(6)
Subject: RE: Pre-Publication Review

Ok – I'll keep you posted.

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Jensen, Robert
Sent: Monday, December 30, 2013 3:40 PM
To: Lacivita, Brendan
Subject: RE: Pre-Publication Review

Ahh, that's right. Okay. Let's see what comes of that. Thanks.

From: Lacivita, Brendan
Sent: Monday, December 30, 2013 3:36 PM
To: Jensen, Robert
Subject: RE: Pre-Publication Review

We have a process in place and we're very close to having the MD/Instruction document finalized – that's the one for which I wrote the memo to Mike Russell in OGC. The Instruction provides timelines/deadlines, and it was decided (unsure by whom and why) not to use the ExecSec process for review. Once we get the MD and the Instruction disseminated the process should improve.

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Jensen, Robert
Sent: Monday, December 30, 2013 3:31 PM
To: Lacivita, Brendan
Subject: RE: Pre-Publication Review

Let's review the process and come up with reasonable timelines and put suspenses on the reviews. Perhaps sending through OPA Exec Sec process might "encourage" more rapid review/response.

From: Lacivita, Brendan
Sent: Monday, December 30, 2013 3:30 PM
To: Jensen, Robert
Subject: RE: Pre-Publication Review

Sometimes I get these cleared in a couple weeks and other times it can take months. Unfortunately it's rather unpredictable.

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Jensen, Robert
Sent: Monday, December 30, 2013 3:28 PM
To: Lacivita, Brendan
Subject: RE: Pre-Publication Review

Okay thanks. I was just wondering since it seems like a long time.

From: Lacivita, Brendan
Sent: Monday, December 30, 2013 3:26 PM
To: Jensen, Robert
Subject: RE: Pre-Publication Review

It came to me in August but there was some back/forth on getting it cleared by the author's supervisor before I submitted it to OGC et al for review.

Brendan I. LaCivita

Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security

(b)(6) (b)(6)

www.DHS.gov

From: Jensen, Robert
Sent: Monday, December 30, 2013 3:09 PM
To: Lacivita, Brendan
Subject: RE: Pre-Publication Review

I have reviewed the two attachments and see that the references to the unclassified/sanitized NIE are clearly stated as such. The technique outlined is well known and not classified. There are no direct DHS-related references. And based on clearance from the program office, OCSO, OGC and I/A also clear this for OPA.

Is the long time period between its submission to you (Aug 22, 2013) and today due to the process of it being cleared by all the other offices? If so, this seems a bit long considering the size of the product being reviewed and the fact it really has nothing to do with DHS. What were the hold ups? Is there a way we can improved and speed up the process?

Bob J.

From: Lacivita, Brendan
Sent: Monday, December 30, 2013 2:32 PM
To: Jensen, Robert
Subject: FW: Pre-Publication Review

Bob – attached for your (OPA) review is a case study written by an I/A contractor in her work supporting the Analytic Tradecraft Program in the Production Management Division. It has cleared the program office, OCSO, OGC and I/A. Additional details about the case study and where it will publish are provided in the email below.

Thanks –
Brendan

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security

(b)(6) (b)(6)

www.DHS.gov

From: Aten, Alysa <CTR>
Sent: Thursday, August 22, 2013 10:03 AM
To: Lacivita, Brendan
Cc: 'Randy Pherson'
Subject: Pre-Publication Review

DHS Pre-Publication Review Board:

Attached to this message is a case study I have written to be published by CQ Press/SAGE Publication in Second Edition of *Cases in Intelligence Analysis: Structured Analytic Techniques in Action*. All the information is taken from unclassified sources as documented in the list of endnotes. We are seeking your approval before we submit the chapter to our publisher. The editors of the book are (b)(6)

I am submitting the manuscript to the DHS Pre-Publications Review Board because Mr. (b)(6),(b)(7)(C) and I currently are working under contract to DHS I&A in support of the Analytic Tradecraft Program, Production Management Division (b)(6) will also be submitting the chapter to the (b)(6),(b)(7)(C) because they are former (b)(6) employees.

Please let me know if you need any additional information. Thank you in advance for your timely review.

Regards,

(b)(6),(b)(7)(C)

Tradecraft Advisor

Pherson Associates, LLC, in support of
Analytic Tradecraft Program, Customer Assurance Branch
Production Management Division
Office of Intelligence and Analysis
(b)(6)

From: Lacivita, Brendan
To: Carr, Ayanna
Subject: FOIA Records Search Request 2016-HQFO-00294; SUSPENSE March 31, 2016
Date: Friday, April 1, 2016 12:12:47 PM

Below is an email thread for DHS employee (b)(6) (b)(6)

Brendan I. LaCivita
Communication Operations Editor
Office of Public Affairs
Office of the Secretary
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: (b)(7)(C) (b)(7)
Sent: Tuesday, April 14, 2015 2:42 PM
To: Lacivita, Brendan (b)(6)
Subject: RE: DHS Pre-Publication Review -Crack 99

No problem

From: Lacivita, Brendan
Sent: Tuesday, April 14, 2015 2:41 PM
To: Ribeiro, Pedro; (b)(6), (b)(6), (b)(7)(C), (b)(7)(C)
Cc: Montgomery, Brandon
Subject: RE: DHS Pre-Publication Review -Crack 99

Thanks folks

Brendan I. LaCivita
Communication Operations Editor
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Ribeiro, Pedro (b)(6)
Sent: Tuesday, April 14, 2015 1:58 PM
To: (b)(6), (b)(6) C; Lacivita, Brendan; (b)(7)(C), (b)(7)(C)
Cc: Montgomery, Brandon
Subject: RE: DHS Pre-Publication Review -Crack 99

ICE OPA has no issues with the manuscript.

From: (b)(6), (b)(6) C
Sent: Friday, April 10, 2015 2:00 PM
To: Lacivita, Brendan; (b)(7)(C), (b)(7)(C)

Cc: Ribeiro, Pedro
Subject: RE: DHS Pre-Publication Review -Crack 99

Looping in Pedro as final clearance comes through his shop.

(b)

From: Lacivita, Brendan
Sent: Friday, April 10, 2015 1:19 PM
To: (b)(7)(C) (b)(7) (b)(6), (b) C
Subject: RE: DHS Pre-Publication Review

Gentlemen – has ICE/HSI completed its review of the manuscript?

Thanks –
Brendan

Brendan I. LaCivita
Communication Operations Editor
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Lacivita, Brendan
Sent: Tuesday, April 07, 2015 4:16 PM
To: (b)(7)(C) (b)(7)
Subject: RE: DHS Pre-Publication Review

Hi (b)(7) NPPD has cleared. Is it still with your folks?

Thanks –
Brendan

Brendan I. LaCivita
Communication Operations Editor
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: (b)(6),(b)(7)(C)
Sent: Tuesday, March 03, 2015 3:18 PM
To: Lacivita, Brendan
Subject: RE: DHS Pre-Publication Review

ok

From: Lacivita, Brendan
Sent: Tuesday, March 03, 2015 2:33 PM
To: (b)(7)(C) (b)(7) (b)(6), (b) C
Cc: Barrett, Stephen
Subject: RE: DHS Pre-Publication Review

Ok – that’s what I thought. And I just spoke with (b) who’s going to keep me in the ICE/HSI loop.

For your awareness, the manuscript has cleared I/A and OCSO, and currently is with NPPD.
Ironically, ICE is on the document tracking list for review so it eventually would have found its way to you.

Stephen with NPPD – once your review is complete please send the manuscript back to me as ICE/HSI is completing its review.

Thank you gentlemen –

Brendan

Brendan I. LaCivita
Communication Operations Editor
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: (b)(7)(C) (b)(C)
Sent: Tuesday, March 03, 2015 2:22 PM
To: Lacivita, Brendan; (b)(6), (b) C
Subject: RE: DHS Pre-Publication Review

Brendan,

To my understanding no one at DHS has cleared the document as of yet. Looping in my boss (b) (b) for comment.

(b)(6)

From: Lacivita, Brendan
Sent: Tuesday, March 03, 2015 2:18 PM
To: (b)(6),(b) (b)(6) (NSD)
Cc: (b)(7)(C) (b)(7) A (NSD); (b)(7)(C) (b)(C)
Subject: RE: DHS Pre-Publication Review

Ok great – thanks (b)(6).

(b)(7) who exactly from DHS cleared this manuscript? I manage that process for the entire Department and currently have several SMEs reviewing it.

Thanks –
Brendan

Brendan I. LaCivita
Communication Operations Editor
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: (b)(6),(b)(7)(C)
Sent: Tuesday, March 03, 2015 2:13 PM
To: Lacivita, Brendan
Cc: (b)(7)(C); (b)(7)(C); (b)(6),(b)(7)(C)
Subject: RE: DHS Pre-Publication Review

Hi Brendan:

Through attorneys in our Counterespionage Section, we have been in touch with (b)(6),(b)(7)(C) regarding (b)(6),(b)(7)(C) manuscript. I have copied (b)(6) here. We were not aware of DOD having referred the manuscript to you. Happy to discuss further if need be.

(b)(6),
(b)(6)

(b)(6),(b)(7)(C)
(b)(6) (b)(6),(b)(7)(C)

USDOJ/NSD/Office of Law and Policy
Records and FOIA
600 E Street NW, Room 10/02
Washington, DC 20005

(b)(6)

From: Lacivita, Brendan (b)(6)
Sent: Tuesday, March 03, 2015 2:08 PM
To: (b)(6),(b)(7)(C); (b)(6) (NSD)
Subject: DHS Pre-Publication Review

Hi (b)(6), (b)(6),(b)(7)(C) at DOD provided me your name and contact information.

I manage the pre-publication review process for DHS and was concerned when (b)(6) told me you had received DHS clearance on the manuscript titled, (b)(4) (b)(4) because clearance was not handled by my office, and to ensure the appropriate SMEs were given the opportunity to review, will you please provide me the name of the individual

from DHS who provided the clearance you requested?

Thanks –
Brendan

Brendan I. LaCivita
Communication Operations Editor
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Lacivita, Brendan
To: Carr, Ayanna
Subject: FOIA Records Search Request 2016-HQFO-00294; SUSPENSE March 31, 2016
Date: Friday, April 1, 2016 12:16:04 PM

Below is the final DHS clearance for (b)(6),(b)(7)(C) - a DOD employee. He is not a DHS employee as previously noted.

Brendan I. LaCivita
Communication Operations Editor
Office of Public Affairs
Office of the Secretary
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

-----Original Message-----

From: Lacivita, Brendan
Sent: Tuesday, April 14, 2015 11:22 AM
To: (b)(7)(C) (C) (b)(7)(C)
Subject: RE: possible new manuscript from DoD Prepublication and Security Review

Hi (b)(6) - DHS has completed its review of the (b)(4) manuscript without any comments.

I'll put in the mail tomorrow.

Best,
Brendan

Brendan I. LaCivita
Communication Operations Editor
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

-----Original Message-----

From: (b)(6),(b)(7)(C) email.mil
Sent: Wednesday, January 07, 2015 9:59 AM
To: Lacivita, Brendan
Subject: possible new manuscript from DoD Prepublication and Security Review

Mr. Lacivita- Good Morning! I'm the AO working on the review of manuscript written by a former DOJ employee.

It's about the (b)(4)
(b)(4) DHS's involvement in the case is discussed a bit. Would your office be interested in reviewing the document for DHS equities to ensure any material not suited for public release gets identified and removed prior to publication? Please let me know. I can be reached via email or at the contact info below. Thanks!

Regards,

(b)(6),(b)(7)(C)

Action Officer
Defense Office of Prepublication and Security Review
2A534 Pentagon
Email: (b)(7)(C)

Tel:

(b)(6),(b)(7)(C)

Unclassified fax: 703-614-4956

From: Lacivita, Brendan
To: Carr, Ayanna
Subject: FOIA Records Search Request 2016-HQFO-00294; SUSPENSE March 31, 2016
Date: Friday, April 1, 2016 12:45:23 PM

Additional email thread for DOD employee (b)(6) Hall.

Brendan I. LaCivita
Communication Operations Editor
Office of Public Affairs
Office of the Secretary
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: (b)(6) Hall [(b)(6)@hotmail.com]
Sent: Wednesday, May 6, 2015 8:59 PM
To: (b)(6),(b)(7)(C) (b)(6),(b)(7)(C)
Cc: (b)(6),(b)(7)(C) (b)(7)(C) (b)(7)(C)) US
(b)(7)(C) (b)(7)(C) Lacivita, Brendan
(b)(6)
Subject: RE: Manuscript

Thank you (b)(6).
Dave

From: (b)(6),(b)(7)(C)
To: (b)(6)@hotmail.com
CC: (b)(7)(C) (b)(7)(C) (b)(6)
Subject: RE: Manuscript
Date: Wed, 6 May 2015 17:53:36 +0000

(b)(6)

We received a copy of the DHS internal memo accounting for their clearance of your manuscript. As we initially described to you, under 28 CFR 17.18, we review submitted manuscripts for National Security Information and work with other U.S. Government agencies whose equities may be implicated in submissions. Ultimately, that review included DOD, DOJ/EOUSA, DOJ/NSD, and DHS.

Given your discussions with DHS and with us, and your willingness to modify your manuscript as requested, the manuscript is cleared for publication as modified.

Should you make substantive modifications that require further review, we would prefer to receive a red line copy. No need to re-submit for non-substantive line edits.

Best of luck,

(b)(6),(b)(7)(C)

USDOJ/NSD/Office of Law and Policy
Records and FOIA
600 E Street NW, Room 10702
Washington, DC 20005

(b)(6)

From: (b)(6)@hotmail.com]
Sent: Wednesday, December 03, 2014 10:34 AM
To: (b)(6),(b)(7)(C) (NSD)
Cc: (b)(6) (NSD)
Subject: RE: Manuscript

(b)(6)

Thank you for your email. A couple of facts that I hope are simplifying: (1) there was no classified information involved in the prosecution of (b)(6) and (2) all sources (in answer to your question) are cited in the footnotes.

Please contact me with any questions.

Thanks

(b)(6)

From: (b)(6)
To: (b)(6)@hotmail.com
CC: (b)(6),(b)(7)(C)
Subject: Manuscript
Date: Wed, 3 Dec 2014 15:24:26 +0000
Dear (b)(6)

Anita Singh, the Chief of Staff for the National Security Division, forwarded your manuscript for my section's review. As you may know, 28 CFR 17.18 gives the National Security Division the responsibility for reviewing your manuscript for any classified information. Please read that provision if you haven't already. (b)(6),(b)(7)(C) on my staff, and I will read your manuscript, and we will send you any questions we might have. In addition and because of your former position in the DOJ, we will forward what you have written to the Executive Office of the United States Attorney. We will also ask our Counterespionage Section experts to read it. Lastly, we will send you the name and e-mail address of an ethics contact, either in EOUSA or in the Department's professional responsibility office. One or both can review your manuscript for any ongoing attorney client

obligations. One question: are all the sources you used spelled out in your footnotes? We did not see a bibliography attached. We look forward to working with you and will do our best to address any issues we might have as quickly as we can, especially in the midst of the upcoming Christmas and New Year's holidays. Prepublication review is only one of our many responsibilities. Best

(b)(7)(C)

From: Lacivita, Brendan
To: Carr, Ayanna
Subject: FOIA Records Search Request 2016-HQFO-00294; SUSPENSE March 31, 2016
Date: Friday, April 1, 2016 12:37:55 PM

Email thread for DHS employee Gary (b)(7)(C)

Brendan I. LaCivita
Communication Operations Editor
Office of Public Affairs
Office of the Secretary
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Lacivita, Brendan
Sent: Tuesday, September 15, 2015 12:52 PM
To: (b)(7)(C) (b)(7) (b)(6) (b)(6),(b)(7)(C) (b)(6)
Subject: RE: Paper for Review

Ok great – thank you both.

(b)(6) – NPPD did clear. I always confirm component clearance before submitting to the broader group for review.

Thanks –
Brendan

Brendan I. LaCivita
Communication Operations Editor
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: (b)(7)(C) (b)(7)
Sent: Tuesday, September 8, 2015 8:47 AM
To: (b)(6),(b)(7)(C) (b)(6) Lacivita, Brendan (b)(6)
Keats, Leo (b)(6)
Subject: Paper for Review

No objections from SSPD.

v/r

//DJ//

From: Ackiss, Scott
Sent: Tuesday, September 08, 2015 8:43 AM
To: Lacivita, Brendan; (b)(7)(C) (b)(7)(C) Keats, Leo
Subject: RE: Paper for Review

No objections if NPPD cleared.

Scott Ackiss
Chief, Administrative Security Division
Office of the Chief Security Officer
Department of Homeland Security
(b)(6) (b)(6)

From: Lacivita, Brendan
Sent: Thursday, September 3, 2015 3:29 PM
To: Ackiss, Scott <Scott.Ackiss (b)(6) (b)(7)(C) (b)(7)(C) <doyle.jones (b)(6) Keats, Leo
<leo.keats (b)(6)>
Subject: Paper for Review

Gentlemen – attached for your review is a paper on cyber identification written by an NPPD employee. The paper was written for the employee's doctoral degree at Morgan State University. NPPD has cleared.

The paper was written in a personal capacity and will be presented in a personal capacity as a candidate from MSU at a conference next month <http://ieworldconference.org/>.

I'd appreciate a quicker than normal turnaround to accommodate the employee's request.

Thanks –
Brendan

Brendan I. LaCivita
Communication Operations Editor
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: (b)(7)(C) Garfield
Sent: Tuesday, August 11, 2015 12:44 PM
To: Hopkins, Robert <Robert.Hopkins (b)(6) Jamrozy, Stephen

<stephen.jamrozy (b)(6)>; Taran, Gabriel <Gabriel.Taran (b)(6)>; Lacivita, Brendan
<brendan.lacivita (b)(6)>

Subject: Requesting a paper for public release

Hello,

I am a Doctoral candidate at Morgan State University in Baltimore. I wrote the attached paper and I am requesting it be reviewed for public release at a conference.

Please review at your earlier opportunity...

Thank you.

(b)(6) C/EH

Test and Evaluation Technical Lead

Department of Homeland Security

NPPD/CSC/FNR

Desk: (b)(6) (b)(6)

From: Lacivita, Brendan
To: Carr, Ayanna
Subject: FOIA Records Search Request 2016-HQFO-00294; SUSPENSE March 31, 2016
Date: Friday, April 1, 2016 12:38:58 PM

Final approval for (b)(6) request.

Brendan I. LaCivita
Communication Operations Editor
Office of Public Affairs
Office of the Secretary
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Lacivita, Brendan
Sent: Tuesday, September 15, 2015 12:55 PM
To: (b)(7)((b)(6) (b)(6)
Subject: RE: Requesting a paper for public release

(b)(6) - this is good to go.

Thanks –

Brendan I. LaCivita
Communication Operations Editor
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: (b)(7)((b)(6)
Sent: Thursday, September 3, 2015 3:53 PM
To: Lacivita, Brendan (b)(6)
Subject: Re: Requesting a paper for public release

Thanks

From: Lacivita, Brendan
Sent: Thursday, September 03, 2015 03:20 PM
To: (b)(7) (b)(6) Hopkins, Robert; Jamrozy, Stephen; Taran, Gabriel
Subject: RE: Requesting a paper for public release

Ok – because it's written and presented in a personal capacity DHS only will review for any inadvertent release of sensitive/FOUO information. I'll circulate it now.

Thanks (b)(6),(b)(7)(C)
Brendan

Brendan I. LaCivita
Communication Operations Editor
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: (b)(6),(b)(7)(C)
Sent: Monday, August 24, 2015 11:01 AM
To: Lacivita, Brendan (b)(6) Hopkins, Robert
(b)(6) Jamrozy, Stephen (b)(6) Taran, Gabriel
(b)(6)
Subject: RE: Requesting a paper for public release

Brendan,

The paper was written for my Doctoral degree at Morgan State University. My supervisor did review the paper – (b)(6)

I am presenting it at a conference in Florida conference (<http://ieworldconference.org/>) in October if approved for release.

I am not presenting the paper in my professional capacity, but as a candidate from Morgan State University.

Thanks
(b)(6),(b)(7)(C)

From: Lacivita, Brendan
Sent: Friday, August 21, 2015 9:53 AM
To: (b)(6),(b)(7)(C) Hopkins, Robert; Jamrozy, Stephen; Taran, Gabriel
Subject: RE: Requesting a paper for public release

(b)(6), (b)(7)(C) was the paper written in a personal capacity? Have your NPPD supervisors reviewed/cleared the paper? How is it being publicly released – published? Is your participation in the conference in a professional capacity?

Thanks -

Brendan I. LaCivita
Communication Operations Editor
Office of Public Affairs
U.S. Department of Homeland Security

(b)(6) (b)(6)

www.DHS.gov

From: (b)(6),(b)(7)(C)

Sent: Tuesday, August 11, 2015 12:44 PM

To: Hopkins, Robert <Robert.Hopkins (b)(6)>, Jamrozy, Stephen
<stephen.jamrozy (b)(6)>, Taran, Gabriel <Gabriel.Taran (b)(6)>; Lacivita, Brendan
(b)(6)

Subject: Requesting a paper for public release

Hello,

I am a Doctoral candidate at Morgan State University in Baltimore. I wrote the attached paper and I am requesting it be reviewed for public release at a conference.

Please review at your earlier opportunity...

Thank you.

(b)(6) (b)(7) /EH

Test and Evaluation Technical Lead

Department of Homeland Security

NPPD/CSC/FNR

Desk: (b)(6) (b)(6)

From: Lacivita, Brendan
To: Carr, Ayanna
Subject: FOIA Records Search Request 2016-HQFO-00294; SUSPENSE MARCH 31, 2016
Date: Friday, April 1, 2016 12:52:12 PM
Attachments: image001.png
image002.png

Email thread for DHS employee (b)(6)

Brendan I. LaCivita
Communication Operations Editor
Office of Public Affairs
Office of the Secretary
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Lacivita, Brendan
Sent: Friday, March 18, 2016 2:23 PM
To: (b)(7)(C) (b)(7)(C) (b)(6) Lynch, Steven (b)(6)
Subject: RE: Journal Article for Review

Ok – thanks (b)(7)(C)

Steve – let me know if you have any questions.

Thanks –
Brendan

Brendan I. LaCivita
Communication Operations Editor
Office of Public Affairs
Office of the Secretary
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: (b)(7)(C) (b)(7)(C)
Sent: Friday, March 18, 2016 1:13 PM
To: Lynch, Steven (b)(6)
Cc: Lacivita, Brendan (b)(6)
Subject: Journal Article for Review

Steve – for you – Brendan– Mr. Lynch has replaced me as the Chief of SSPD.

Thanks in advance....

v/r

//DJ//



(b)(7) (b)(7)

(b)(6)
(b)(6)

(b)(6)

Security Matters. Always.

From: Lacivita, Brendan
Sent: Friday, March 18, 2016 12:32 PM
To: Ackiss, Scott; (b)(7) (b)(7)(C) (b)(7)(C) (b)(7)
Subject: FW: Journal Article for Review

Gentlemen – attached for your review is an article on risk management to homeland security written by an NPPD employee for the journal *Homeland Security Affairs*. The article was written in his personal capacity using open-source information but I'd like for you to review for any unintentional release of sensitive, proprietary, or FOUO information. The time frame for publication is the next couple weeks.

Thanks –
Brendan

Brendan I. LaCivita
Communication Operations Editor
Office of Public Affairs
Office of the Secretary
U.S. Department of Homeland Security

(b)(6) (b)(6)

www.DHS.gov

From: (b)(6),(b)(7) Jacob
Sent: Thursday, March 17, 2016 11:00 AM
To: Lacivita, Brendan (b)(6) Jamrozy, Stephen
(b)(6) (b)(6)
Subject: RE: Journal Article

Steve, Brendan.

Thanks very much. No I am not being compensated, and no, this was not written in my official capacity. The article is an outgrowth of the research and thesis work I conducted while attending the Naval Postgraduate School. I have attached the draft article.

The publication timeframe is, I believe, for this month or next—whichever is the next edition of *Homeland Security Affairs*.

(b)(6) (b)(6),(b)(7)
DHS | NPPD | Office of Infrastructure Protection
(b)(6),(b)(7)(C)
(b)(6) (desk)
(b)(6) (mobile)

From: Lacivita, Brendan
Sent: Thursday, March 17, 2016 10:57 AM
To: Jamrozy, Stephen; (b)(6),(b)(7) (b)(6)
Subject: RE: Journal Article

Thanks Steve.

(b)(6) - did you write the article in your official or personal capacity? If official, has your supervisor/division leadership cleared it?

Also, send me the article so I can send to I/A and OCSO for any unintentional release of sensitive, FOUO, proprietary, or classified information.

And, what's the publication timeframe?

Thanks –
Brendan

Brendan I. LaCivita
Communication Operations Editor
Office of Public Affairs
Office of the Secretary
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Jamrozy, Stephen

Sent: Thursday, March 17, 2016 10:51 AM

To: (b)(6),(b)(7) (b)(6) (b)(6)

Cc: Lacivita, Brendan (b)(6) (b)(6)

Subject: RE: Journal Article

Are you being compensated? The ethics concern has to do with whether an employee is being compensated for writing that is "related to his or her official duties." The disclaimer is OK with me, but I defer to OPA on that. I have copied Mr. Lacivita for awareness and any additional guidance he deems appropriate.

Thanks.

Regards,

Steve Jamrozy

Stephen A. Jamrozy

Attorney Advisor and Deputy Ethics Official

DHS Office of General Counsel

(b)(6) 447-4268

(b)(6) 510-3109 (mobile)

(b)(6) 282-9099 (Fax)

(b)(6) (b)(6)

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From: (b)(6),(b)(7) (b)(6)

Sent: Thursday, March 17, 2016 10:37 AM

To: Jamrozy, Stephen (b)(6) (b)(6)

Subject: Journal Article

Stephen,

I'm publishing a journal article in Homeland Security Affairs. Here's my bio and disclaimer. Does this look good to you?

Disclaimer: *The views expressed here are solely the authors' and do not reflect the view of the Department of Homeland Security or the National Protection and Programs Directorate.*

(b)(6) (b)(6),(b)(7) is a senior analyst at the Department of Homeland Security's National Protection and Programs Directorate. He has a background in insurance claims investigation, catastrophe and crisis response, post-disaster forensics, risk management, infrastructure security, and doctrine development. He holds an M.A In Security Studies from the Naval Postgraduate School (2015) and a B.A in English from Grove City College (2004). He is the book reviews editor for hs-community.org and his writing has appeared in the Wall Street Journal and other publications.

(b)(6) (b)(6),(b)(7)

DHS | NPPD | Office of Infrastructure Protection

(b)(6)

(b)(6) desk)

(b)(6) (mobile)

From: Lacivita, Brendan
To: Carr, Ayanna
Subject: FOIA Records Search Request 2016-HQFO-00294; SUSPENSE March 31, 2016
Date: Friday, April 1, 2016 12:53:18 PM

Additional email thread for DHS employee (b)(6)

Brendan I. LaCivita
Communication Operations Editor
Office of Public Affairs
Office of the Secretary
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Lacivita, Brendan
Sent: Friday, March 25, 2016 12:47 PM

To: Ackiss, Scott (b)(6) (b)(7)(C) (b)(6) (b)(7)
(b)(7) (b)(6)

Subject: RE: Journal Article for Review

Ok I'll double check with TSA.

Thanks Scott -

Brendan

Brendan I. LaCivita
Communication Operations Editor
Office of Public Affairs
Office of the Secretary
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Ackiss, Scott

Sent: Friday, March 25, 2016 12:37 PM

To: Lacivita, Brendan (b)(6) (b)(7)(C)
(b)(6) (b)(7)(C) (b)(7) (b)(6)

Subject: RE: Journal Article for Review

No objections here provided ISA weighed in to confirm the info provided on their operations was ok for release.

From: Lacivita, Brendan

Sent: Friday, March 18, 2016 12:32 PM

To: Ackiss, Scott (b)(6) (b) (b)(7)(C) (b)(6) (b)(7)(C)
(b)(7) (b)(6)

Subject: FW: Journal Article for Review

Gentlemen – attached for your review is an article on risk management to homeland security written by an NPPD employee for the journal *Homeland Security Affairs*. The article was written in his personal capacity using open-source information but I'd like for you to review for any unintentional release of sensitive, proprietary, or FOUO information. The time frame for publication is the next couple weeks.

Thanks –
Brendan

Brendan I. LaCivita
Communication Operations Editor
Office of Public Affairs
Office of the Secretary
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: (b)(6).(b)(7) Jacob
Sent: Thursday, March 17, 2016 11:00 AM
To: Lacivita, Brendan (b)(6) Jamroz, Stephen
(b)(6)
Subject: RE: Journal Article

Steve, Brendan,

Thanks very much. No I am not being compensated, and no, this was not written in my official capacity. The article is an outgrowth of the research and thesis work I conducted while attending the Naval Postgraduate School. I have attached the draft article.

The publication timeframe is, I believe, for this month or next—whichever is the next edition of *Homeland Security Affairs*.

(b)(6) (b)(6).(b)(7)
DHS | NPPD | Office of Infrastructure Protection
(b)(6).(b)(7)(C)
(b)(6) (desk)
(b)(6) (mobile)

From: Lacivita, Brendan
Sent: Thursday, March 17, 2016 10:57 AM
To: Jamroz, Stephen; (b)(6).(b)(7) (b)(6)

Subject: RE: Journal Article

Thanks Steve.

(b)(1) - did you write the article in your official or personal capacity? If official, has your supervisor/division leadership cleared it?

Also, send me the article so I can send to I/A and OCSO for any unintentional release of sensitive, FOUO, proprietary, or classified information.

And, what's the publication timeframe?

Thanks –
Brendan

Brendan L. LaCivita
Communication Operations Editor
Office of Public Affairs
Office of the Secretary
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Jamrozy, Stephen

Sent: Thursday, March 17, 2016 10:51 AM

To: (b)(6),(b)(7) (b)(6) (b)(6)

Cc: Lacivita, Brendan (b)(6) (b)(6)

Subject: RE: Journal Article

Are you being compensated? The ethics concern has to do with whether an employee is being compensated for writing that is "related to his or her official duties." The disclaimer is OK with me, but I defer to OPA on that . I have copied Mr. Lacivita for awareness and any additional guidance he deems appropriate.

Thanks.

Regards,

Steve Jamrozy
Stephen A. Jamrozy
Attorney Advisor and Deputy Ethics Official
DHS Office of General Counsel

(b)(6) (b)(6)
(b)(6) (b)(6) mobile)
(b)(6) (b)(6) Fax)

(b)(6)

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From: (b)(6),(b)(7) (b)(6).

Sent: Thursday, March 17, 2016 10:37 AM

To: Jamrozy, Stephen <stephen.jamrozy (b)(6)>

Subject: Journal Article

Stephen,

I'm publishing a journal article in Homeland Security Affairs. Here's my bio and disclaimer. Does this look good to you?

Disclaimer: *The views expressed here are solely the authors' and do not reflect the view of the Department of Homeland Security or the National Protection and Programs Directorate.*

(b)(6) is a senior analyst at the Department of Homeland Security's National Protection and Programs Directorate. He has a background in insurance claims investigation, catastrophe and crisis response, post-disaster forensics, risk management, infrastructure security, and doctrine development. He holds an M.A In Security Studies from the Naval Postgraduate School (2015) and a B.A in English from Grove City College (2004). He is the book reviews editor for hs-community.org and his writing has appeared in the Wall Street Journal and other publications.

(b)(6) (b)(6),(b)(7)

DHS | NPPD | Office of Infrastructure Protection

(b)(6),(b)(7)(C)

(b)(6) desk)

(b)(6) (mobile)

From: Lacivita, Brendan
To: Carr, Ayanna
Subject: FOIA Records Search Request 2016-HQFO-00294; SUSPENSE March 31, 2016
Date: Friday, April 1, 2016 12:54:18 PM
Attachments: (b)(6),(b)(7)(C) The Fortress Problem HSAI 3-17-2016(clean with comments).docx

Additional email thread for DHS employee (b)(6)

Brendan I. LaCivita
Communication Operations Editor
Office of Public Affairs
Office of the Secretary
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Lacivita, Brendan
Sent: Friday, March 25, 2016 12:52 PM
To: (b)(6) <(b)(6)@tsa.dhs.gov> <(b)(6)@tsa.dhs.gov>
Subject: FW: Journal Article for Review

Hi (b)(6) see emails below and the attachment. Can you please facilitate TSA review? It's already cleared DHS I/A and OCSO.

Thanks –
Brendan

Brendan I. LaCivita
Communication Operations Editor
Office of Public Affairs
Office of the Secretary
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Ackiss, Scott
Sent: Friday, March 25, 2016 12:37 PM
To: Lacivita, Brendan (b)(6) (b)(6) (b)(7)(C)
(b)(6) (b)(7) (b)(7) (b)(6)
Subject: RE: Journal Article for Review

No objections here provided ISA weighed in to confirm the info provided on their operations was ok for release.

From: Lacivita, Brendan

Sent: Friday, March 18, 2016 12:32 PM

To: Ackiss, Scott (b)(6) (b)(6) (b)(6) (b)(7)(C)
(b)(7) (b)(6)

Subject: FW: Journal Article for Review

Gentlemen – attached for your review is an article on risk management to homeland security written by an NPPD employee for the journal *Homeland Security Affairs*. The article was written in his personal capacity using open-source information but I'd like for you to review for any unintentional release of sensitive, proprietary, or FOUO information. The time frame for publication is the next couple weeks.

Thanks –
Brendan

Brendan I. LaCivita
Communication Operations Editor
Office of Public Affairs
Office of the Secretary
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: (b)(6),(b)(7)(C)
Sent: Thursday, March 17, 2016 11:00 AM
To: Lacivita, Brendan (b)(6) Jamroz, Stephen
(b)(6)
Subject: RE: Journal Article

Steve, Brendan,

Thanks very much. No I am not being compensated, and no, this was not written in my official capacity. The article is an outgrowth of the research and thesis work I conducted while attending the Naval Postgraduate School. I have attached the draft article.

The publication timeframe is, I believe, for this month or next—whichever is the next edition of *Homeland Security Affairs*.

(b)(6) (b)(7)(C)
DHS|NPPD|Office of Infrastructure Protection
Jacob Anderson (b)(6)
(b)(6) (desk)
(b)(6) (mobile)

From: Lacivita, Brendan
Sent: Thursday, March 17, 2016 10:57 AM
To: Jamrozy, Stephen; (b)(6),(b)(7) (P)
Subject: RE: Journal Article

Thanks Steve.

(b)(6) Did you write the article in your official or personal capacity? If official, has your supervisor/division leadership cleared it?

Also, send me the article so I can send to I/A and OCSO for any unintentional release of sensitive, FOUO, proprietary, or classified information.

And, what's the publication timeframe?

Thanks –
Brendan

Brendan I. LaCivita
Communication Operations Editor
Office of Public Affairs
Office of the Secretary
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Jamrozy, Stephen
Sent: Thursday, March 17, 2016 10:51 AM
To: (b)(6),(b)(7) (P); (b)(6)
Cc: Lacivita, Brendan <brendan.lacivi>
Subject: RE: Journal Article

Are you being compensated? The ethics concern has to do with whether an employee is being compensated for writing that is “related to his or her official duties.” The disclaimer is OK with me, but I defer to OPA on that . I have copied Mr. Lacivita for awareness and any additional guidance he deems appropriate.

Thanks.

Regards,

Steve Jamrozy
Stephen A. Jamrozy
Attorney Advisor and Deputy Ethics Official
DHS Office of General Counsel

(b)(6) (b)(6)
(b)(6) mobile)
(b)(6) Fax)
stephen.jamrozy (b)(6)

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From: (b)(6),(b)(7) (b)(6), (b)(7)(C)
Sent: Thursday, March 17, 2016 10:37 AM
To: Jamrozy, Stephen (b)(6)
Subject: Journal Article

Stephen,

I'm publishing a journal article in Homeland Security Affairs. Here's my bio and disclaimer. Does this look good to you?

Disclaimer: *The views expressed here are solely the authors' and do not reflect the view of the Department of Homeland Security or the National Protection and Programs Directorate.*

(b)(6) (b)(6),(b)(7) is a senior analyst at the Department of Homeland Security's National Protection and Programs Directorate. He has a background in insurance claims investigation, catastrophe and crisis response, post-disaster forensics, risk management, infrastructure security, and doctrine development. He holds an M.A In Security Studies from the Naval Postgraduate School (2015) and a B.A in English from Grove City College (2004). He is the book reviews editor for hs-community.org and his writing has appeared in the Wall Street Journal and other publications.

(b)(6) (b)(6),(b)(7)
DHS | NPPD | Office of Infrastructure Protection
(b)(6),(b)(7)(C)
(b)(6) (desk)
(b)(6) (mobile)

From: Lacivita, Brendan
To: Carr, Ayanna
Subject: FOIA Records Search Request 2016-HQFO-00294; SUSPENSE MARCH 31, 2016
Date: Friday, April 1, 2016 12:52:12 PM
Attachments: image001.png
image002.png

Email thread for DHS employee (b)(6)

Brendan I. LaCivita
Communication Operations Editor
Office of Public Affairs
Office of the Secretary
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Lacivita, Brendan
Sent: Friday, March 18, 2016 2:23 PM
To: (b)(7)(C) (b)(7)(C) (b)(6) Lynch, Steven (b)(6)
Subject: RE: Journal Article for Review

Ok – thanks (b)(7)(C)

Steve – let me know if you have any questions.

Thanks –
Brendan

Brendan I. LaCivita
Communication Operations Editor
Office of Public Affairs
Office of the Secretary
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: (b)(7)(C) (b)(7)(C)
Sent: Friday, March 18, 2016 1:13 PM
To: Lynch, Steven (b)(6)
Cc: Lacivita, Brendan (b)(6)
Subject: Journal Article for Review

Steve – for you – Brendan– Mr. Lynch has replaced me as the Chief of SSPD.

Thanks in advance....

v/r

//DJ//



(b)(7) (b)(7)

(b)(6)
(b)(6)

(b)(6)

Security Matters. Always.

From: Lacivita, Brendan
Sent: Friday, March 18, 2016 12:32 PM
To: Ackiss, Scott; (b)(7)(C) (b)(7)(C) (b)(7)(C)
Subject: FW: Journal Article for Review

Gentlemen – attached for your review is an article on risk management to homeland security written by an NPPD employee for the journal *Homeland Security Affairs*. The article was written in his personal capacity using open-source information but I'd like for you to review for any unintentional release of sensitive, proprietary, or FOUO information. The time frame for publication is the next couple weeks.

Thanks –
Brendan

Brendan I. LaCivita
Communication Operations Editor
Office of Public Affairs
Office of the Secretary
U.S. Department of Homeland Security

(b)(6) (b)(6)

www.DHS.gov

From: (b)(6),(b)(7)(C)
Sent: Thursday, March 17, 2016 11:00 AM
To: Lacivita, Brendan (b)(6) Jamrozy, Stephen
<stephen.jamrozy (b)(6)>
Subject: RE: Journal Article

Steve, Brendan.

Thanks very much. No I am not being compensated, and no, this was not written in my official capacity. The article is an outgrowth of the research and thesis work I conducted while attending the Naval Postgraduate School. I have attached the draft article.

The publication timeframe is, I believe, for this month or next—whichever is the next edition of *Homeland Security Affairs*.

(b)(6) (b)(6),(b)(7)(C)
DHS | NPPD | Office of Infrastructure Protection
(b)(6),(b)(7)(C)
(b)(6) (desk)
(b)(6) (mobile)

From: Lacivita, Brendan
Sent: Thursday, March 17, 2016 10:57 AM
To: Jamrozy, Stephen; (b)(6),(b)(7)(C) (b)(6),(b)(7)(C)
Subject: RE: Journal Article

Thanks Steve.

(b)(6) - did you write the article in your official or personal capacity? If official, has your supervisor/division leadership cleared it?

Also, send me the article so I can send to I/A and OCSO for any unintentional release of sensitive, FOUO, proprietary, or classified information.

And, what's the publication timeframe?

Thanks –
Brendan

Brendan I. LaCivita
Communication Operations Editor
Office of Public Affairs
Office of the Secretary
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Jamrozy, Stephen

Sent: Thursday, March 17, 2016 10:51 AM

To: (b)(6),(b)(7) Jacob (b)(6)

Cc: Lacivita, Brendan <brendan.lacivita (b)(6)>

Subject: RE: Journal Article

Are you being compensated? The ethics concern has to do with whether an employee is being compensated for writing that is "related to his or her official duties." The disclaimer is OK with me, but I defer to OPA on that. I have copied Mr. Lacivita for awareness and any additional guidance he deems appropriate.

Thanks.

Regards,

Steve Jamrozy

Stephen A. Jamrozy

Attorney Advisor and Deputy Ethics Official

DHS Office of General Counsel

(b)(6) (b)(6)
(b)(6) mobile)
(b)(6) Fax)

stephen.jamrozy (b)(6)

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From: (b)(6),(b)(7) (b)(6),(b)(7)

Sent: Thursday, March 17, 2016 10:37 AM

To: Jamrozy, Stephen <stephen.jamrozy (b)(6)>

Subject: Journal Article

Stephen,

I'm publishing a journal article in Homeland Security Affairs. Here's my bio and disclaimer. Does this look good to you?

Disclaimer: The views expressed here are solely the authors' and do not reflect the view of the Department of Homeland Security or the National Protection and Programs Directorate.

(b)(6) is a senior analyst at the Department of Homeland Security's National Protection and Programs Directorate. He has a background in insurance claims investigation, catastrophe and crisis response, post-disaster forensics, risk management, infrastructure security, and doctrine development. He holds an M.A In Security Studies from the Naval Postgraduate School (2015) and a B.A in English from Grove City College (2004). He is the book reviews editor for hs-community.org and his writing has appeared in the Wall Street Journal and other publications.

(b)(7) (b)(6)(b)(7)

DHS | NPPD | Office of Infrastructure Protection

(b)(6)

(b)(6) (desk)

(b)(6) (mobile)

From: Lacivita, Brendan
To: Carr, Ayanna
Subject: FOIA Records Search Request 2016-HQFO-00294; SUSPENSE March 31, 2016
Date: Friday, April 1, 2016 12:53:18 PM

Additional email thread for DHS employee (b)(6) (b)(7)(C)

Brendan I. LaCivita
Communication Operations Editor
Office of Public Affairs
Office of the Secretary
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Lacivita, Brendan
Sent: Friday, March 25, 2016 12:47 PM
To: Ackiss, Scott (b)(6) (b)(7)(C) (b)(6) (b)(7)
(b)(7) (b)(6)
Subject: RE: Journal Article for Review

Ok I'll double check with TSA.

Thanks Scott -

Brendan

Brendan I. LaCivita
Communication Operations Editor
Office of Public Affairs
Office of the Secretary
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Ackiss, Scott
Sent: Friday, March 25, 2016 12:37 PM
To: Lacivita, Brendan (b)(6) (b)(7)(C)
(b)(6) (b)(7)(C) (b)(7) (b)(6)
Subject: RE: Journal Article for Review

No objections here provided ISA weighed in to confirm the info provided on their operations was ok for release.

From: Lacivita, Brendan

Sent: Friday, March 18, 2016 12:32 PM

To: Ackiss, Scott (b)(6) (b) (b)(7)(C) (b)(6) (b)(7)(C)
(b)(7) (b)(6)

Subject: FW: Journal Article for Review

Gentlemen – attached for your review is an article on risk management to homeland security written by an NPPD employee for the journal *Homeland Security Affairs*. The article was written in his personal capacity using open-source information but I'd like for you to review for any unintentional release of sensitive, proprietary, or FOUO information. The time frame for publication is the next couple weeks.

Thanks –
Brendan

Brendan L. LaCivita
Communication Operations Editor
Office of Public Affairs
Office of the Secretary
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: (b)(6),(b)(7) Jacob
Sent: Thursday, March 17, 2016 11:00 AM
To: Lacivita, Brendan (b)(6) Jamroz, Stephen
(b)(6)
Subject: RE: Journal Article

Steve, Brendan,

Thanks very much. No I am not being compensated, and no, this was not written in my official capacity. The article is an outgrowth of the research and thesis work I conducted while attending the Naval Postgraduate School. I have attached the draft article.

The publication timeframe is, I believe, for this month or next—whichever is the next edition of *Homeland Security Affairs*.

(b)(6) (b)(6),(b)(7)
DHS | NPPD | Office of Infrastructure Protection
(b)(6),(b)(7)(C)
(b)(6) (desk)
(b)(6) (mobile)

From: Lacivita, Brendan
Sent: Thursday, March 17, 2016 10:57 AM
To: Jamroz, Stephen; (b)(6),(b)(7) (b)(6),(b)(7)(C)

Subject: RE: Journal Article

Thanks Steve.

(b)(7) - did you write the article in your official or personal capacity? If official, has your supervisor/division leadership cleared it?

Also, send me the article so I can send to I/A and OCSO for any unintentional release of sensitive, FOUO, proprietary, or classified information.

And, what's the publication timeframe?

Thanks –
Brendan

Brendan L. LaCivita
Communication Operations Editor
Office of Public Affairs
Office of the Secretary
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Jamrozy, Stephen
Sent: Thursday, March 17, 2016 10:51 AM
To: (b)(6),(b)(7) (b)(6),(b)(7)(C) (b)(6)
Cc: Lacivita, Brendan <brendan.lacivita@ice.dhs.gov> (b)(6)
Subject: RE: Journal Article

Are you being compensated? The ethics concern has to do with whether an employee is being compensated for writing that is "related to his or her official duties." The disclaimer is OK with me, but I defer to OPA on that. I have copied Mr. Lacivita for awareness and any additional guidance he deems appropriate.

Thanks.

Regards,

Steve Jamrozy
Stephen A. Jamrozy
Attorney Advisor and Deputy Ethics Official
DHS Office of General Counsel

(b)(6) (b)(6)
(b)(6) mobile)
(b)(6) Fax)

(b)(6)

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From: (b)(6),(b)(7) Jacob

Sent: Thursday, March 17, 2016 10:37 AM

To: Jamrozy, Stephen <stephen.jamrozy (b)(6)>

Subject: Journal Article

Stephen,

I'm publishing a journal article in Homeland Security Affairs. Here's my bio and disclaimer. Does this look good to you?

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(b)(6) is a senior analyst at the Department of Homeland Security's National Protection and Programs Directorate. He has a background in insurance claims investigation, catastrophe and crisis response, post-disaster forensics, risk management, infrastructure security, and doctrine development. He holds an M.A In Security Studies from the Naval Postgraduate School (2015) and a B.A in English from Grove City College (2004). He is the book reviews editor for hs-community.org and his writing has appeared in the Wall Street Journal and other publications.

(b)(6) (b)(6),(b)(7)

DHS | NPPD | Office of Infrastructure Protection

(b)(6),(b)(7)(C)

(b)(6) desk)

(b)(6) (mobile)

From: Lacivita, Brendan
To: Carr, Ayanna
Subject: FOIA Records Search Request 2016-HQFO-00294; SUSPENSE March 31, 2016
Date: Friday, April 1, 2016 12:54:18 PM
Attachments: (b)(6); (b)(4) clean with comments).docx

Additional email thread for DHS employee (b)(1) (b)(6),(b)(7)

Brendan I. LaCivita
Communication Operations Editor
Office of Public Affairs
Office of the Secretary
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Lacivita, Brendan
Sent: Friday, March 25, 2016 12:52 PM
To: (b)(6) <(b)(6)@tsa.dhs.gov> <(b)(6)@tsa.dhs.gov>
Subject: FW: Journal Article for Review

Hi (b)(6) - see emails below and the attachment. Can you please facilitate TSA review? It's already cleared DHS I/A and OCSO.

Thanks –
Brendan

Brendan I. LaCivita
Communication Operations Editor
Office of Public Affairs
Office of the Secretary
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Ackiss, Scott
Sent: Friday, March 25, 2016 12:37 PM
To: Lacivita, Brendan (b)(6) (b)(6) (b)(7)(C)
(b)(6) (b)(7) (b)(7) (b)(6)
Subject: RE: Journal Article for Review

No objections here provided ISA weighed in to confirm the info provided on their operations was ok for release.

From: Lacivita, Brendan

Sent: Friday, March 18, 2016 12:32 PM

To: Ackiss, Scott (b)(6) (b) (b)(7)(C) (b)(6) (b)(7)(C)
(b)(7) (b)(6)

Subject: FW: Journal Article for Review

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Thanks –
Brendan

Brendan I. LaCivita
Communication Operations Editor
Office of Public Affairs
Office of the Secretary
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: (b)(6),(b)(7) Jacob
Sent: Thursday, March 17, 2016 11:00 AM
To: Lacivita, Brendan (b)(6) Jamroz, Stephen
(b)(6)
Subject: RE: Journal Article

Steve, Brendan,

Thanks very much. No I am not being compensated, and no, this was not written in my official capacity. The article is an outgrowth of the research and thesis work I conducted while attending the Naval Postgraduate School. I have attached the draft article.

The publication timeframe is, I believe, for this month or next—whichever is the next edition of *Homeland Security Affairs*.

(b)(6) (b)(6),(b)(7)
DHS | NPPD | Office of Infrastructure Protection
Jacob Anderson (b)(6)
(b)(6) desk)
(b)(6) (mobile)

From: Lacivita, Brendan
Sent: Thursday, March 17, 2016 10:57 AM
To: Jamrozy, Stephen; (b)(6),(b)(7)(C)
Subject: RE: Journal Article

Thanks Steve.

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Also, send me the article so I can send to I/A and OCSO for any unintentional release of sensitive, FOUO, proprietary, or classified information.

And, what's the publication timeframe?

Thanks –
Brendan

Brendan I. LaCivita
Communication Operations Editor
Office of Public Affairs
Office of the Secretary
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Jamrozy, Stephen
Sent: Thursday, March 17, 2016 10:51 AM
To: (b)(6),(b)(7)(C) (b)(6),(b)(7)(C) (b)(6)
Cc: Lacivita, Brendan <brendan.lacivita@ice.dhs.gov>
Subject: RE: Journal Article

Are you being compensated? The ethics concern has to do with whether an employee is being compensated for writing that is “related to his or her official duties.” The disclaimer is OK with me, but I defer to OPA on that . I have copied Mr. Lacivita for awareness and any additional guidance he deems appropriate.

Thanks.

Regards,

Steve Jamrozy
Stephen A. Jamrozy
Attorney Advisor and Deputy Ethics Official
DHS Office of General Counsel

(b)(6) (b)(6)
(b)(6) (b)(6) (mobile)
(b)(6) (b)(6) (Fax)
stephen.jamrozy (b)(6)

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Sent: Thursday, March 17, 2016 10:37 AM
To: Jamrozy, Stephen (b)(6)
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(b)(6) is a senior analyst at the Department of Homeland Security's National Protection and Programs Directorate. He has a background in insurance claims investigation, catastrophe and crisis response, post-disaster forensics, risk management, infrastructure security, and doctrine development. He holds an M.A In Security Studies from the Naval Postgraduate School (2015) and a B.A in English from Grove City College (2004). He is the book reviews editor for hs-community.org and his writing has appeared in the Wall Street Journal and other publications.

(b)(6) (b)(6),(b)(7)
DHS | NPPD | Office of Infrastructure Protection
(b)(6),(b)(7)(C)
(b)(6) (desk)
(b)(6) (mobile)

From: Lacivita, Brendan
To: Carr, Ayanna
Subject: FOIA Records Search Request 2016-HQFO-00294; SUSPENSE March 31, 2016
Date: Friday, April 1, 2016 12:20:50 PM

Below is an email thread for DHS employee Mike Davidson.

Brendan I. LaCivita
Communication Operations Editor
Office of Public Affairs
Office of the Secretary
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Davidson, Michael
Sent: Monday, May 11, 2015 6:02 PM
To: Williams, Helen (b)(6) Lacivita, Brendan
(b)(6)
Cc: Swartz, Neal (b)(6) Heller, Susan (b)(6)
Henderson, Roy (b)(6) Montgomery, Brandon
(b)(6)
Subject: RE: Publication Review

Helen
Can we do a simultaneous review by Neal, and I/A and OCSO?
Thank you
Mike

Michael J. Davidson
Assistant General Counsel for Appropriation and Fiscal Law
Office of the General Counsel, General Law
U.S. Department of Homeland Security
(w) (b)(6)
(c) (b)(6)

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From: Williams, Helen
Sent: Monday, May 11, 2015 2:21 PM

To: Lacivita, Brendan; Davidson, Michael
Cc: Swartz, Neal; Heller, Susan; Henderson, Roy; Montgomery, Brandon
Subject: RE: Publication Review

Michael/Brendan

I will forward once you have received Neal approval.

R/

Helen Williams

Branch Chief-MGMT Security Support
Special Security Programs Division
Office of the Chief Security Officer
US Department of Homeland Security

(b)(6) (Unclassified)
(b)(6) (b)(6) JWICS)
Desk (b)(6) (b)(6)

"Faith is the assurance of things hoped for, the conviction of things not seen" (Hebrews 11:1-2)

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From: Lacivita, Brendan
Sent: Monday, May 11, 2015 2:17 PM
To: Davidson, Michael; Swartz, Neal; Heller, Susan; Williams, Helen; Henderson, Roy; Hackley, Lashelle; Montgomery, Brandon
Subject: RE: Publication Review

In that case it needs to go to I/A and OCSO. I'll coordinate that review.

Thanks for letting me know -

Brendan I. LaCivita
Communication Operations Editor
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Davidson, Michael

Sent: Monday, May 11, 2015 2:15 PM
To: Lacivita, Brendan; Swartz, Neal; Heller, Susan; Williams, Helen; Henderson, Roy; Hackley, Lashelle; Montgomery, Brandon
Subject: RE: Publication Review

Since (b)(6) I was told everything I submit for publication must be reviewed
FYI
Mike

Michael J. Davidson
Assistant General Counsel for Appropriation and Fiscal Law
Office of the General Counsel, General Law
U.S. Department of Homeland Security
(w) (b)(6)
(c) (b)(6)

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From: Lacivita, Brendan
Sent: Monday, May 11, 2015 2:14 PM
To: Davidson, Michael; Swartz, Neal; Heller, Susan; Williams, Helen; Henderson, Roy; Hackley, Lashelle; Montgomery, Brandon
Subject: RE: Publication Review

Ok. I don't see any need for OPA to review other than to coordinate any necessary intra Department review, and since it's open-source that review really is limited, so, if there's no sensitive/proprietary information it should be good to go once Neal reviews.

Thanks –
Brendan

Brendan L. LaCivita
Communication Operations Editor
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Davidson, Michael
Sent: Monday, May 11, 2015 2:06 PM
To: Lacivita, Brendan; Swartz, Neal; Heller, Susan; Williams, Helen; Henderson, Roy; Hackley, Lashelle; Montgomery, Brandon

Subject: RE: Publication Review

Yes. This is in my personal capacity.
I also sent it to Neal for his review.
FYI
Mike

Michael J. Davidson
Assistant General Counsel for Appropriation and Fiscal Law
Office of the General Counsel, General Law
U.S. Department of Homeland Security
(w) (b)(6)
(c) (b)(6)

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From: Lacivita, Brendan
Sent: Monday, May 11, 2015 2:05 PM
To: Davidson, Michael; Swartz, Neal; Heller, Susan; Montgomery, Brandon A; Williams, Helen; Henderson, Roy; Hackley, Lashelle
Subject: RE: Publication Review

Hi Mike – I'm assuming this was written in your personal capacity? Has anyone in Gen Law reviewed the article?

Thanks –
Brendan

Brendan I. LaCivita
Communication Operations Editor
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Davidson, Michael
Sent: Monday, May 11, 2015 1:17 PM
To: Swartz, Neal; Heller, Susan; Montgomery, Brandon A; Lacivita, Brendan; Williams, Helen; Henderson, Roy; Hackley, Lashelle
Subject: Publication Review

Attached is an article that I intend to submit for publication, without compensation. The article discusses 31 U.S.C. § 1558, a statute that extends the availability of funds during a protest

Tentatively I intend to seek publication in Contract Management Magazine, a monthly publication of the National Contract Management Association, or a similar professional publication. All material is from publicly available sources.

Attached is my proposed bio. I will request a disclaimer stating that the views expressed in the article are my own do not necessarily represent the views of the agency or the United States.

Your timely review is appreciated.

Please let me know if you have any questions

Thank you
Mike Davidson

Michael J. Davidson
Assistant General Counsel for Appropriation and Fiscal Law
Office of the General Counsel, General Law
U.S. Department of Homeland Security
(w) (b)(6)
(c) (b)(6)

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From: Montgomery, Brandon A
Sent: Monday, August 11, 2014 11:25 AM
To: Davidson, Michael; Cole, Justin; Johnson, Betty J; Bennett, Danielle
Cc: Sawyer, Randolph W
Subject: RE: Publication Review

Green light to proceed, per Gilian.
Brandon

From: Davidson, Michael
Sent: Monday, August 11, 2014 9:44 AM
To: Montgomery, Brandon A; Cole, Justin; Johnson, Betty J; Bennett, Danielle
Cc: Sawyer, Randolph W
Subject: Publication Review
Importance: High

Attached is an article that (b)(6) from ICF and I intend to submit for publication, without compensation. The article discusses the use of suspension and debarment as a tool to combat human trafficking. Tentatively we intend to seek publication in The Procurement Lawyer, a periodic publication of the American Bar Association's Public Contract Law section, or a similar professional publication. All footnoted material is from publicly available sources. The number of ICE actions (page 8) was gathered by (b)(6). Your timely review is appreciated.

Please let us know if you have any questions

Please acknowledge receipt. If there is someone else in PAO we should be contacting, please let us know

Thank you

Mike Davidson

Michael J. Davidson

Assistant General Counsel for Appropriation and Fiscal Law

Office of the General Counsel, General Law

U.S. Department of Homeland Security

(w) (b)(6)

(c) (b)(6)

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From: Lacivita, Brendan
To: Carr, Ayanna
Subject: FOIA Records Search Request 2016-HQFO-00294; SUSPENSE March 31, 2016
Date: Friday, April 1, 2016 12:22:02 PM
Attachments: RE Publication Review.msg

Final clearance for DHS employee (b)(6)

Brendan I. LaCivita
Communication Operations Editor
Office of Public Affairs
Office of the Secretary
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Davidson, Michael
Sent: Monday, July 20, 2015 2:27 PM
To: Lacivita, Brendan (b)(6) Williams, Helen
(b)(6)
Subject: RE: Publication Review

Yes, see attached

Michael J. Davidson
Assistant General Counsel for Appropriation and Fiscal Law
Office of the General Counsel, General Law
U.S. Department of Homeland Security
(w) (b)(6)
(c) (b)(6)

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From: Lacivita, Brendan
Sent: Monday, July 20, 2015 2:24 PM
To: Davidson, Michael; Williams, Helen
Subject: RE: Publication Review

Mike – was this ever cleared?

Brendan I. LaCivita

Communication Operations Editor
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Davidson, Michael
Sent: Monday, May 11, 2015 6:20 PM
To: Lacivita, Brendan; Williams, Helen
Subject: FW: Publication Review

FYI

Michael J. Davidson
Assistant General Counsel for Appropriation and Fiscal Law
Office of the General Counsel, General Law
U.S. Department of Homeland Security
(w) (b)(6)
(c) (b)(6)

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From: Heller, Susan
Sent: Monday, May 11, 2015 6:18 PM
To: Davidson, Michael
Cc: Swartz, Neal
Subject: FW: Publication Review

Mike there is no ethics objection to the attached article or bio and disclaimer. The disclaimer is required when your government position is one of your biographical details. Please note that you cannot permit the publisher to give your DHS title or position any more prominence than the other details of your bio, nor can they use your DHS title and position to express or imply government endorsement. You may accept reprints of the publication containing your article.

Please let me know if you have any questions.
Susan

Susan Heller
Associate General Counsel for Ethics
Ethics Division, OGC
Voice: (b)(6) (b)(6)

NAC, Bldg. 1, Room 01-021A
245 Murray Lane, SW, MS 0485
Washington, DC 20528-0485

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The above communication and attachments are covered by Federal and state laws and regulations governing electronic communication. The communication and attachments may contain confidential or privacy protected information that is legally privileged or operationally sensitive and remains the property of the United States Government. If you are not an addressee or it is apparent that you have received this communication in error, you are hereby notified that any dissemination, distribution, copying, or other use of this message is strictly prohibited. Regardless of how you received the information contained in this communication and accompanying attachments, any use by you must be for official purposes only and misuse may subject you to Federal prosecution. If you have received this communication in error, you should immediately notify the sender of this circumstance and delete or destroy this communication and all attachments.

From: Davidson, Michael
Sent: Monday, May 11, 2015 1:17 PM
To: Swartz, Neal; Heller, Susan; Montgomery, Brandon A; Lacivita, Brendan; Williams, Helen; Henderson, Roy; Hackley, Lashelle
Subject: Publication Review

Attached is an article that I intend to submit for publication, without compensation. The article discusses 31 U.S.C. § 1558, a statute that extends the availability of funds during a protest. Tentatively I intend to seek publication in Contract Management Magazine, a monthly publication of the National Contract Management Association, or a similar professional publication. All material is from publicly available sources.

Attached is my proposed bio. I will request a disclaimer stating that the views expressed in the article are my own do not necessarily represent the views of the agency or the United States.

Your timely review is appreciated.

Please let me know if you have any questions

Thank you
Mike Davidson

Michael J. Davidson
Assistant General Counsel for Appropriation and Fiscal Law
Office of the General Counsel, General Law
U.S. Department of Homeland Security

(w) (b)(6)
(c) (b)(6)

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From: Montgomery, Brandon A
Sent: Monday, August 11, 2014 11:25 AM
To: Davidson, Michael; Cole, Justin; Johnson, Betty J; Bennett, Danielle
Cc: Sawyer, Randolph W
Subject: RE: Publication Review

Green light to proceed, per Gi lian.
Brandon

From: Davidson, Michael
Sent: Monday, August 11, 2014 9:44 AM
To: Montgomery, Brandon A; Cole, Justin; Johnson, Betty J; Bennett, Danielle
Cc: Sawyer, Randolph W
Subject: Publication Review
Importance: High

Attached is an article that (b)(6) from ICE and I intend to submit for publication, without compensation. The article discusses the use of suspension and debarment as a tool to combat human trafficking. Tentatively we intend to seek publication in The Procurement Lawyer, a periodic publication of the American Bar Association's Public Contract Law section, or a similar professional publication. All footnoted material is from publicly available sources. The number of ICE actions (page 8) was gathered by (b)(6). Your timely review is appreciated.
Please let us know if you have any questions
Please acknowledge receipt. If there is someone else in PAO we should be contacting, please let us know
Thank you
Mike Davidson

Michael J. Davidson
Assistant General Counsel for Appropriation and Fiscal Law
Office of the General Counsel, General Law
U.S. Department of Homeland Security
(w) (b)(6)
(c) (b)(6)

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message is strictly prohibited. If you received this message in error, please reply immediately to the sender and delete this message

From: Williams, Helen
To: Davidson, Michael
Cc: Henderson, Roy
Subject: RE: Publication Review
Date: Wednesday, May 27, 2015 8:19:39 AM

Good Morning Michael

It appears that OPA and I&A have cleared your pre-publication review documents.

R/

Helen Williams

Branch Chief-MGMT Security Support
Special Security Programs Division
Office of the Chief Security Officer
US Department of Homeland Security

(b)(6) (Unclassified)

(b)(6) (b)(6) JWICS)

Desk (b)(6) 447-4091

"Faith is the assurance of things hoped for, the conviction of things not seen" (Hebrews 11:1-2)

WARNING: This email may contain **FOR OFFICIAL USE ONLY (FOUO) OR PRIVACY DATA**. It may contain information exempt from public release under the Freedom of Information Act (5 U.S.C. 552). the information contained herein must be controlled, stored, handled, transmitted, distributed, and disposed of in accordance with DHS policy relating to FOUO/PII information and is not to be released to the public or other personnel who do not have a valid "need-to-know" without prior approval of an authorized DHS official.

From: Davidson, Michael
Sent: Thursday, May 21, 2015 5:05 PM
To: Williams, Helen
Subject: RE: Publication Review

Ok, thank you

Michael J. Davidson
Assistant General Counsel for Appropriation and Fiscal Law
Office of the General Counsel, General Law
U.S. Department of Homeland Security

(w) (b)(6)

(c) (b)(6)

This communication, along with any attachments, is covered by federal and state law governing electronic communications and may contain confidential and legally privileged information. If the reader of this message is not the intended recipient, you are hereby notified that any dissemination, distribution, use or copying of this message is strictly prohibited. If you received this message in error, please reply immediately to the sender and delete this message

From: Williams, Helen
Sent: Thursday, May 21, 2015 4:35 PM
To: Davidson, Michael
Subject: Re: Publication Review

Michael

I received a reply from I&A waiting on one additional. Helen

From: Davidson, Michael
Sent: Thursday, May 21, 2015 04:30 PM Eastern Standard Time
To: Williams, Helen
Subject: RE: Publication Review

Thanks Helen

Is there a projected completion date?

Mike

Michael J. Davidson
Assistant General Counsel for Appropriation and Fiscal Law
Office of the General Counsel, General Law
U.S. Department of Homeland Security
(w) (b)(6)
(c) (b)(6)

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From: Williams, Helen
Sent: Thursday, May 21, 2015 10:52 AM
To: Davidson, Michael
Subject: Re: Publication Review

Good Morning Michael

It's pending waiting on a reply. Helen

From: Davidson, Michael
Sent: Thursday, May 21, 2015 09:22 AM Eastern Standard Time
To: Williams, Helen
Subject: RE: Publication Review

Hi Helen
Just following up.
Thx
Mike

Michael J. Davidson
Assistant General Counsel for Appropriation and Fiscal Law
Office of the General Counsel, General Law
U.S. Department of Homeland Security
(w) (b)(6)
(c) (b)(6)

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From: Williams, Helen
Sent: Tuesday, May 12, 2015 9:18 AM
To: Davidson, Michael
Cc: Swartz, Neal; Lacivita, Brendan; Henderson, Roy
Subject: FW: Publication Review

Good Morning Michael

The attached documents will be forward to OCSO/SSPD and IA for review.

R/

Helen Williams
Branch Chief-MGMT Security Support
Special Security Programs Division
Office of the Chief Security Officer
US Department of Homeland Security

(b)(6) (Unclassified)
HWilliams (b)(6) WICS)
Desk (b)(6) (b)(6)

"Faith is the assurance of things hoped for, the conviction of things not seen" (Hebrews 11:1-2)

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From: Davidson, Michael
Sent: Monday, May 11, 2015 6:20 PM
To: Lacivita, Brendan; Williams, Helen
Subject: FW: Publication Review

FYI

Michael J. Davidson
Assistant General Counsel for Appropriation and Fiscal Law
Office of the General Counsel, General Law
U.S. Department of Homeland Security
(w) (b)(6)
(c) (b)(6)

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From: Heller, Susan
Sent: Monday, May 11, 2015 6:18 PM
To: Davidson, Michael
Cc: Swartz, Neal
Subject: FW: Publication Review

Mike there is no ethics objection to the attached article or bio and disclaimer. The disclaimer is required when your government position is one of your biographical details. Please note that you cannot permit the publisher to give your DHS title or position any more prominence than the other details of your bio, nor can they use your DHS title and position to express or imply government endorsement. You may accept reprints of the publication containing your article.

Please let me know if you have any questions.

Susan

Susan Heller
Associate General Counsel for Ethics
Ethics Division, OGC
Voice: (b)(6) (b)(6)

NAC, Bldg. 1, Room 01-021A
245 Murray Lane, SW, MS 0485
Washington, DC 20528-0485

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From: Davidson, Michael
Sent: Monday, May 11, 2015 1:17 PM
To: Swartz, Neal; Heller, Susan; Montgomery, Brandon A; Lacivita, Brendan; Williams, Helen; Henderson, Roy; Hackley, Lashelle
Subject: Publication Review

Attached is an article that I intend to submit for publication, without compensation. The article discusses 31 U.S.C. § 1558, a statute that extends the availability of funds during a protest. Tentatively I intend to seek publication in Contract Management Magazine, a monthly publication of the National Contract Management Association, or a similar professional publication. All material is from publicly available sources.

Attached is my proposed bio. I will request a disclaimer stating that the views expressed in the article are my own do not necessarily represent the views of the agency or the United States.

Your timely review is appreciated.

Please let me know if you have any questions

Thank you
Mike Davidson

Michael J. Davidson
Assistant General Counsel for Appropriation and Fiscal Law
Office of the General Counsel, General Law
U.S. Department of Homeland Security
(w) (b)(6)
(c) (b)(6)

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From: Montgomery, Brandon A
Sent: Monday, August 11, 2014 11:25 AM
To: Davidson, Michael; Cole, Justin; Johnson, Betty J; Bennett, Danielle
Cc: Sawyer, Randolph W
Subject: RE: Publication Review

Green light to proceed, per Gi lian.
Brandon

From: Davidson, Michael
Sent: Monday, August 11, 2014 9:44 AM
To: Montgomery, Brandon A; Cole, Justin; Johnson, Betty J; Bennett, Danielle
Cc: Sawyer, Randolph W
Subject: Publication Review
Importance: High

Attached is an article that (b)(6) from ICE and I intend to submit for publication, without compensation. The article discusses the use of suspension and debarment as a tool to combat human trafficking. Tentatively we intend to seek publication in The Procurement Lawyer, a periodic publication of the American Bar Association's Public Contract Law section, or a similar professional publication. All footnoted material is from publicly available sources. The number of ICE actions (page 8) was gathered by (b)(6). Your timely review is appreciated.

Please let us know if you have any questions.

Please acknowledge receipt. If there is someone else in PAO we should be contacting, please let us know.

Thank you
Mike Davidson

Michael J. Davidson
Assistant General Counsel for Appropriation and Fiscal Law
Office of the General Counsel, General Law

U.S. Department of Homeland Security

(w) (b)(6)

(c) (b)(6)

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From: Lacivita, Brendan
To: Carr, Ayanna
Subject: FOIA Records Search Request 2016-HQFO-00294; SUSPENSE March 31, 2016
Date: Friday, April 1, 2016 12:23:45 PM
Attachments: HOMELAND SECURITY AS A THEORY OF ACTION MH 4-22-15 (FINAL Clean).docx

Final clearance for DHS employee (b)(6)

Brendan I. LaCivita
Communication Operations Editor
Office of Public Affairs
Office of the Secretary
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Lacivita, Brendan
Sent: Monday, July 27, 2015 2:19 PM
To: Huston, Michael (b)(6)
Subject: FW: HOMELAND SECURITY AS A THEORY OF ACTION MH 4-22-15 (FINAL Clean).docx

Hi Mike – the attached is good to go with one edit (see below).

Apologies for the delay.

Thanks -
Brendan

Brendan I. LaCivita
Communication Operations Editor
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Boucher, Leo
Sent: Monday, July 20, 2015 11:10 AM
To: Lacivita, Brendan (b)(6)
Subject: HOMELAND SECURITY AS A THEORY OF ACTION MH 4-22-15 (FINAL Clean).docx

Brendan

Here is a revised final; I have 1 track change on the 1st page, FN 1.

Chip Boucher
Assistant General Counsel, Administrative Law Branch

General Law Division
Office of the General Counsel
Department of Homeland Security
Washington, DC 20528

Telephone: (b)(6) (b)(6); Cell: (b)(6) (b)(6)

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From: Lacivita, Brendan
To: Carr, Ayanna
Subject: FOIA Records Search Request 2016-HQFO-00294; SUSPENSE March 31, 2016
Date: Friday, April 1, 2016 12:26:59 PM

Another email thread for DHS employee (b)(6)

Brendan I. LaCivita
Communication Operations Editor
Office of Public Affairs
Office of the Secretary
U.S. Department of Homeland Security

(b)(6) (b)(6)

www.DHS.gov

From: Lacivita, Brendan
Sent: Monday, July 20, 2015 2:31 PM
To: (b)(7)(C) (b)(7) (b)(6)
Subject: RE: Article for Review

No problem (b)(7) – thanks

Brendan I. LaCivita
Communication Operations Editor
Office of Public Affairs
U.S. Department of Homeland Security

(b)(6) (b)(6)

www.DHS.gov

From: (b)(7)(C) (b)(7)
Sent: Monday, July 20, 2015 2:17 PM
To: Lacivita, Brendan
Subject: Article for Review

Brendan – regret delay in responding to your request - and I have no issues with subject article.
Thanks in advance...

v/r

//DJ//

From: Lacivita, Brendan
Sent: Monday, July 20, 2015 2:14 PM
To: (b)(7) (b)(7) Ackiss, Scott; (b)(C) (b)(7)(C)
Subject: RE: Article for Review
Importance: High

Gentlemen – I need to wrap up this review. I just heard back from OGC. Do any of you have any concerns with this article?

Thanks –
Brendan

Brendan I. LaCivita
Communication Operations Editor
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: DHS Speakers' Bureau
Sent: Wednesday, May 27, 2015 10:51 AM
To: (b)(7)(C) (b)(7) Ackiss, Scott; Boucher, Leo; (b)(7)(C) (b)(7)(C)
Subject: Article for Review

Gentlemen – attached for your review is an article on security at the Mexican border written by two DHS PLCY employees in their personal capacity. I am requesting your review for any inadvertent release of sensitive/proprietary/FOUO information.

The article will publish this summer by the Wilson Center.

Thanks –
Brendan

Brendan I. LaCivita
Communication Operations Editor
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Lacivita, Brendan
To: Carr, Ayanna
Subject: FOIA Records Search Request 2016-HQFO-00294; SUSPENSE March 31, 2016
Date: Friday, April 1, 2016 12:25:28 PM

Email thread for DHS employee (b)(6)

Brendan I. LaCivita
Communication Operations Editor
Office of Public Affairs
Office of the Secretary
U.S. Department of Homeland Security

(b)(6) (b)(6)

www.DHS.gov

From: Lacivita, Brendan
Sent: Monday, July 27, 2015 2:12 PM
To: (b)(6) (b)(7)(C) (b)(6)
Subject: RE: Article for Review

Ok great – thanks (b)(6)

Brendan

Brendan I. LaCivita
Communication Operations Editor
Office of Public Affairs
U.S. Department of Homeland Security

(b)(6) (b)(6)

www.DHS.gov

From: (b)(6) (b)(7)(C)
Sent: Monday, July 27, 2015 1:54 PM
To: Lacivita, Brendan (b)(6)
Subject: RE: Article for Review

Brendan,

No concerns. I&A clears for publication.

r/

(b)(6)

From: Lacivita, Brendan
Sent: Monday, July 27, 2015 12:33 PM
To: (b)(6) (b)(7)(C)

Subject: FW: Article for Review

(b)(6) - here you go.

Brendan I. LaCivita
Communication Operations Editor
Office of Public Affairs
U.S. Department of Homeland Security

(b)(6) (b)(6)

www.DHS.gov

From: DHS Speakers' Bureau

Sent: Wednesday, May 27, 2015 10:51 AM

To: (b)(7)(C) (b)(7)(C) (b)(7)(C) Ackiss, Scott (b)(6) (b)(6); Boucher, Leo
(b)(6) (b)(6) (b) (b)(7)(C) (b)(7)(C)

Subject: Article for Review

Gentlemen – attached for your review is an article on security at the Mexican border written by two DHS PLCY employees in their personal capacity. I am requesting your review for any inadvertent release of sensitive/proprietary/FOUO information.

The article will publish this summer by the Wilson Center.

Thanks –
Brendan

Brendan I. LaCivita
Communication Operations Editor
Office of Public Affairs
U.S. Department of Homeland Security

(b)(6) (b)(6)

www.DHS.gov

From: Lacivita, Brendan
To: Carr, Ayanna
Subject: FOIA Records Search Request 2016-HQFO-00294; SUSPENSE March 31, 2016
Date: Friday, April 1, 2016 12:42:17 PM

Email thread for DHS employee Rob Roller

Brendan I. LaCivita
Communication Operations Editor
Office of Public Affairs
Office of the Secretary
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Lacivita, Brendan
Sent: Tuesday, July 7, 2015 3:54 PM
To: Roller, Robert (b)(6)
Subject: RE: Pre-Publication Review Requested for Infectious Disease Essay

Bob – OPA clears.

Brendan I. LaCivita
Communication Operations Editor
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Roller, Robert
Sent: Tuesday, July 07, 2015 3:22 PM
To: Lacivita, Brendan
Subject: RE: Pre-Publication Review Requested for Infectious Disease Essay

Excellent. Thank you kindly.

From: Lacivita, Brendan
Sent: Tuesday, July 07, 2015 3:22 PM
To: Roller, Robert
Subject: RE: Pre-Publication Review Requested for Infectious Disease Essay

Ok – that’s the distinction I needed. Because it was written on behalf of DHS several sub-components do not need to review.

Let me see who your OPA liaison is and I’ll get it to them for review.

Thanks

Brendan I. LaCivita
Communication Operations Editor
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Roller, Robert
Sent: Tuesday, July 07, 2015 3:18 PM
To: Lacivita, Brendan
Subject: RE: Pre-Publication Review Requested for Infectious Disease Essay

Brendan,

I wrote it in a professional capacity. The intent of the paper is to memorialize the best practices identified by our planning team over the past several years.

From: Lacivita, Brendan
Sent: Tuesday, July 07, 2015 3:11 PM
To: Roller, Robert
Subject: RE: Pre-Publication Review Requested for Infectious Disease Essay

Bob – did you write it in a personal or professional capacity?

Brendan I. LaCivita
Communication Operations Editor
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Roller, Robert
Sent: Tuesday, July 07, 2015 10:36 AM
To: Lacivita, Brendan
Subject: RE: Pre-Publication Review Requested for Infectious Disease Essay

Brendan,

I returned to the US yesterday, and I wanted to follow up on the infectious disease essay.

When you have time, can you let me know what I should do to speed its clearance for publication?

Thanks in advance,
Bob

From: Roller, Robert
Sent: Tuesday, June 23, 2015 9:58 AM
To: Lacivita, Brendan
Subject: Re: Pre-Publication Review Requested for Infectious Disease Essay

Brendan,

Im TDY, and my comms are terrible here -- sorry for the delay.

I intend to submit the paper to the Naval Postgraduate School. There is no critical timeline. Chris is the most senior person to review the paper thus far.

What can i do to speed its publication?

-Bob

Robert J. Roller
Section Chief
Strategy, Plans, Analysis and Risk / Plans
Office of Policy
BB: (b)(6)

From: Lacivita, Brendan
Sent: Friday, June 12, 2015 08:40 PM
To: Roller, Robert
Subject: FW: Pre-Publication Review Requested for Infectious Disease Essay

Hi Rob – was this article written in a professional or personal capacity? Where is it publishing, and what's the timeframe? Who else in PICY has reviewed/cleared besides Christian?

Thanks –
Brendan

Brendan I. LaCivita
Communication Operations Editor
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Roller, Robert
Sent: Monday, June 08, 2015 3:23 PM
To: Heath, Stanley
Cc: Pais, Anthony; Abbott, Christian

Subject: Pre-Publication Review Requested for Infectious Disease Essay

Stan,

The last item on my to-do list before departing for Germany is to start the coordination process for an infectious disease article I wrote for publication, and I'd like your help if possible.

When we were part of OPS, I was used the attached OPS publications guidelines to get two articles published. PLCY does not have written guidelines, but I intend to adapt the OPS guidelines for use. This includes review by OPA.

Can you or someone else in your office review the attached paper for publication?

Thanks in advance,
Bob

Robert J. Roller
Section Chief
Strategy, Plans, Analysis and Risk/Plans
Office of Policy
US Department of Homeland Security

W: (b)(6)

BB: (b)(6)

(b)(6)	(b)(6)
--------	--------

From: Abbott, Christian
Sent: Monday, June 08, 2015 2:37 PM
To: Roller, Robert; Pais, Anthony
Subject: RE: Infectious Disease Essay

HB,

1. Has OPA had a chance to review it? If I understand SOP, they need to bless any document that is going outside DHS for public consumption.
2. Consider this approved from me, and get it to OPA (if you have already done that and have gotten OPA approval, then send it forward for publication).
3. Only thing I saw is you have mixed fonts between the narrative and the table (Times Roman & Arial). Other than that, the article is good to go from my perspective.

v/r

Christian A. Abbott
Principal Director
Strategy, Plans, Analysis, and Risk/Plans
Office of Policy
Department of Homeland Security

(b)(6) (desk)

(b)(6) (mobile)

(b)(6)

Page 177 of 389

Withheld pursuant to exemption

(b)(5)

of the Freedom of Information and Privacy Act

Page 178 of 389

Withheld pursuant to exemption

(b)(5)

of the Freedom of Information and Privacy Act

Page 179 of 389

Withheld pursuant to exemption

(b)(5)

of the Freedom of Information and Privacy Act

Page 180 of 389

Withheld pursuant to exemption

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Page 181 of 389

Withheld pursuant to exemption

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of the Freedom of Information and Privacy Act

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Withheld pursuant to exemption

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of the Freedom of Information and Privacy Act

Page 211 of 389

Withheld pursuant to exemption

(b)(5)

of the Freedom of Information and Privacy Act

From: Lacivita, Brendan
To: (b)(6),(b)(7)(C)
Subject: RE: Office of Security Inquiry
Date: Thursday, December 20, 2012 2:57:00 PM

(b)(6) – that is fine. We will proceed with the hard-copy review, but please note this review will take a bit longer due to the related logistics.

I'll keep you posted on the progress.

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: (b)(6) (b)(6)
Sent: Tuesday, December 18, 2012 11:04 PM
To: Lacivita, Brendan
Subject: Re: Office of Security Inquiry

Hi Brendan,

I'm nervous about sending an electronic copy. After all, this is intellectual property that I will shortly be in the business of selling. I know how easily electronic files can be forwarded, they are backed up to the multiple locations, etc. At least with a hard copy someone has to go through the trouble of scanning it for copies to proliferate.

Is DHS prepared to accept responsibility for control of the file in perpetuity if I were to send it? There would be substantial liability if the file were misplaced. After all, you have the hardcopy and you can see we're talking about a math textbook. It's hard for me to see the value for DHS in accepting that liability in exchange for just the benefit of having an electronic copy that is easier to pass around.

What if I just passed you an electronic copy of the table of contents? Then if the electronic file were somehow misplaced, there wouldn't be any harm done.

I'm sorry to be paranoid, but I have invested many years of effort into this product and I need to be sure that I am protected.

Thanks,

(b)(6)

On Tue, Dec 18, 2012 at 12:12 PM, Lacivita, Brendan (b)(6) wrote:

(b)(6) – I've placed the hard copy manuscript in the review process but really need an electronic copy so we can expedite review. Can you please send me that by tomorrow morning?

Thanks –

Brendan

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: (b)(6) (b)(6),(b)(7)(C)
Sent: Tuesday, December 11, 2012 8:34 PM
To: Lacivita, Brendan

Cc: Office of Security; (b)(7)(C) (b)(7)(C) Young, John
Subject: Re: Office of Security Inquiry

Ok, I FedEx'd it tonight. It should arrive at the mail center Thursday morning. Please let me know when it has made its way to you.

Thanks,

(b)(6),(b)(7)(C)

On Tue, Dec 11, 2012 at 9:30 AM, Lacivita, Brendan (b)(6) wrote:
Hi (b)(6) – you can send it to me – 245 Murray Lane, Washington, DC 20528.

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: (b)(6) (b)(6),(b)(7)(C)
Sent: Sunday, December 09, 2012 10:57 AM
To: Lacivita, Brendan
Cc: Office of Security; (b)(7)(C) (b)(7)(C) Young, John

Subject: Re: Office of Security Inquiry

I would much prefer to send a physical copy. Who and where should I address it to?

Thanks,

(b)(6)

On Fri, Dec 7, 2012 at 10:06 AM, Lacivita, Brendan (b)(6) wrote:
Hi (b)(6) – is there any way to email the manuscript?

Michelle - It can take weeks for my office to receive a mailed package. Could it be mailed to your office instead? I can take the lead in its review once you receive it.

Let us know –

Thanks

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: (b)(6) (b)(6)
Sent: Thursday, December 06, 2012 8:21 PM
To: Lacivita, Brendan
Cc: Office of Security; (b)(7)(C) (b)(7)(C)

Subject: Re: Office of Security Inquiry

Ok, I'm happy to send it there. Can you send me the direct email address for Michelle, so I can work out with her how to transfer the manuscript?

Thanks,

(b)(6)

On Mon, Dec 3, 2012 at 4:55 PM, Lacivita, Brendan (b)(6) wrote:
Send it to Michelle in OCSO

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: (b)(6) (b)(6)
Sent: Monday, December 03, 2012 4:25 PM
To: Lacivita, Brendan
Cc: Office of Security

Subject: Re: Office of Security Inquiry

Ok, the manuscript is ready for security review. Where should I send it?

Thanks,

(b)(6)

On Tue, Nov 6, 2012 at 1:57 PM, Lacivita, Brendan (b)(6) wrote:
(b)(6) – just continue working with Michelle in OCSO (copied). She can provide an appropriate

mailing address, etc., and will coordinate review with the appropriate DHS components.

Thanks –

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: (b)(6) (b)(6),(b)(7)(C)
Sent: Tuesday, November 06, 2012 11:24 AM

To: Lacivita, Brendan
Subject: Re: Office of Security Inquiry

Hi Brendan, I am still working on the manuscript and will be submitting a draft to my publisher in early December. I anticipate submitting it for DHS's security review around that time. If you could let me know where to send it, that would be helpful.

Thanks,

(b)(6)

On Mon, Nov 5, 2012 at 10:35 AM, Lacivita, Brendan (b)(6) wrote:
(b)(6) – any update on this? Did OCSO review/clear?

Thanks -

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: (b)(6)
Sent: Monday, October 08, 2012 8:55 PM
To: Lacivita, Brendan

Subject: Rc: Office of Security Inquiry

Hi Brendan,
Can you explain why OPA is involved in this process? I am not currently employed by DHS, so the only review required is by security. Plus, this is in regard to a math textbook.

Thanks,

(b)(6)

On Fri, Oct 5, 2012 at 2:01 PM, Lacivita, Brendan (b)(6) wrote:

(b)(6) just communicate directly with me.

Thanks

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

■
From: Office of Security
Sent: Friday, October 05, 2012 1:15 PM
To: (b)(6) (b)(6)
Cc: Office of Security; Lacivita, Brendan; Burch, Teresa

Subject: RE: Office of Security Inquiry

Good Afternoon (b)(6)

Our apologies, we have been contacted by Office of Public Affairs (OPA) and they notified us that the previous information given was incorrect. You will need to contact the OPA (contact information is below); the book draft does require an OPA review who will in turn coordinate within the Department to get the review process started.

Phone number: (b)(6)

F-mail address: publicaffairs@hq.dhs.gov

Please let us know if there are any questions in relation to this.

Thank you for your patience in this matter,

Michelle

Security Customer Service Center
Office of the Chief Security Officer
US Department of Homeland Security, Headquarters
Office: (b)(6) (b)(6)
OfficeofSecurity@HQ.DHS.GOV

From: Office of Security
Sent: Thursday, October 04, 2012 11:25 AM
To: (b)(6) (b)(6)
Cc: Office of Security
Subject: RE: Office of Security Inquiry

Good Morning (b)(6)

We have forwarded your message to the Office of General Counsel (OGC). In the past, this type of information was received by Public Affairs since they dealt with public publications that may have an impact on DHS as an agency. However, when Public Affairs was contacted they informed us to

textbook I am publishing. I have not yet received a response. Please advise on how I should proceed.

Thanks very much,

(b)(6)

Hello,

I am a recently separated DHS employee; my last day of employment was July 14, 2012. While I was employed by DHS, I signed a contract to publish an introductory textbook on analytics. Analytics is a subject I was an expert in before I was hired, and none of the content of the book pertains to homeland security, so the ethics office cleared my signing the contract.

The book will be finished in January of 2013. While employed I held a TS/SCI clearance, so I recognize that I have an obligation to submit the manuscript for pre-publication review, even though there is no homeland security content in the book.

What is the procedure for submitting the manuscript for review? How long does review typically take?

Please call me if you have any questions at (b)(6)

Thanks for your help!

(b)(6)

On Sun, Sep 16, 2012 at 9:21 PM, Office of Security (b)(6) wrote:
Thank you for contacting the Office of the Chief Security Officer (OCSO) Customer Service Center. Your e-mail submission has been received. Please allow two business days for a response. For time-sensitive requests, please contact us at (b)(6)
Our core hours of operation are 8:00 a.m. to 4:00 p.m., Monday through Friday (except Federal holidays). For your convenience, you may visit us at our 7th & D St. location in Room 3025. DHS Employees and on-site contractors may visit the OCSO [Intranet Site](#) for answers to common security-related questions.

If your email concerns a matter described below, please take the action indicated:

- Life Threatening Emergencies: Call 911; then notify the Federal Protective Service (FPS) at 1-877-437-7411.
- Non-Life Threatening Emergencies: Call the Federal Protective Service (FPS) at 1-877-437-7411.
- SCI related inquiries: Contact your Special Security Officer (SSO).
- Nebraska Avenue Complex security-related emergencies and suspicious activity: Contact the the NAC Security Desk at (b)(6)
- To report Suspicious Activities: Send an e-mail to suspicious.activity@dhs.gov and/or call our phone number at (b)(6)

Thank you for allowing us the opportunity to assist you.

Security Customer Service Center

Office of the Chief Security Officer

US Department of Homeland Security, Headquarters

Office: (b)(6) 447-5010

Fax: (b)(6) 358-2025

OfficeofSecurity@HQ.DHS.GOV

contact OGC in relation to this.

Please let us know if there are any questions in relation to this.

Thank you,
Michelle

Security Customer Service Center
Office of the Chief Security Officer
US Department of Homeland Security, Headquarters
Office: (b)(6) (b)(6)
OfficeofSecurity@HQ.DHS.GOV

From: (b)(6) (b)(6)
Sent: Wednesday, October 03, 2012 9:21 PM
To: Office of Security
Subject: Re: Office of Security Inquiry

Hi Michelle,
I'm not sure I understand. I'm not a currently employed by DHS, so there is no need for a review by public affairs. The only reviews required are by security and ethics, and the ethics review already took place in January. Why does the book need to go to public affairs?
Thanks,
(b)(6)

On Tue, Oct 2, 2012 at 8:49 AM, Office of Security (b)(6) wrote:
Good Morning (b)(6)

Please contact the DHS Office of Public Affairs in relation to this. We have the below contact information for them.

Phone number: (b)(6)
E-mail address: publicaffairs@hq.dhs.gov

Thank you,
Michelle

Security Customer Service Center
Office of the Chief Security Officer
US Department of Homeland Security, Headquarters
Office: (b)(6) (b)(6)
Fax: (b)(6) 358-2025
OfficeofSecurity@HQ.DHS.GOV

From: (b)(6) (b)(6)
Sent: Monday, October 01, 2012 8:56 PM
To: Office of Security
Subject: Re: Office of Security Inquiry

Hello,

On September 16, I sent the email below requesting assistance with regard to pre-publication review of a

From: Boucher, Leo
To: Lacivita, Brendan; Waters, Mike; Lott, Thomas; Young, John; (b)(6) (b)(7)(C)
Subject: RE: Pre-Pub Review - Dan's Textbook Chapter (UNCLASSIFIED)
Date: Tuesday, November 12, 2013 7:52:24 AM

Ad law reviewed and has no comments.

Chip Boucher
Assistant General Counsel, Administrative Law Branch
General Law Division
Office of the General Counsel
Department of Homeland Security
Washington, DC 20528
Telephone: (b)(6) (b)(6) Cell: (b)(6) (b)(6)

This communication, along with any attachments, is covered by federal and state law governing electronic communications and may contain confidential and legally privileged information. If you are not the intended recipient, any dissemination, distribution, use or copying of this message and any attachment is strictly prohibited. If you received this message in error, please reply immediately and delete the message. Thank you.

From: Lacivita, Brendan
Sent: Thursday, October 24, 2013 2:49 PM
To: Waters, Mike; Lott, Thomas; Young, John; (b)(6) (b)(7)(C) Boucher, Leo
Subject: Pre-Pub Review - Dan's Textbook Chapter (UNCLASSIFIED)

Gentlemen - attached for your review is an unclassified draft chapter for a book in which Dan Gerstein from S/T contributed. Dan's contributions were done in a **personal** capacity so please review from the appropriate context. Further details about the book are provided in the email thread below.

Mike - S/T would like to know if it's OK for Dan to use his DHS title in the author credits.

Thanks -
Brendan

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

-----Original Message-----

From: Abbott, Catherine
Sent: Friday, October 18, 2013 9:48 AM
To: Lacivita, Brendan

Subject: FW: Dan's Textbook Chapter (UNCLASSIFIED)

Brendan,

I had sent this over before the shut down, but I'm afraid it might have gotten lost in the shuffle. Will you please give me a call when you have a moment. Thanks. Cathy

Catherine Ellen Abbott

Director, Office of Corporate Communications DHS Science and Technology Directorate

W: (b)(6) BB (b)(6)

-----Original Message-----

From: McGeorge, Laurel

Sent: Thursday, September 26, 2013 11:25 AM

To: Abbott, Catherine

Subject: Dan's Textbook Chapter (UNCLASSIFIED)

Hey Cathy,

From an OPA perspective, can you take a look at the attached and let me know if there is any issue with Dan listing his DHS S&T title as the one of the authors for Chapter 1 of the book titled Medical Aspects of Biological Warfare 2nd Edition (or any issues period). Chapter 1 is attached.

I have filled out the attached pages 15-20 in the PDF AU Guide for (b)(6) and just need his signature before he submits the attached paperwork, but want to be sure we have all the correct information and are following protocol.

Many thanks!

Laurel McGeorge

Executive Assistant for the Deputy Under Secretary Science and Technology Directorate Department of Homeland Security

(b)(6)

10-215

-----Original Message-----

From: Gerstein, Daniel

Sent: Wednesday, September 25, 2013 7:29 AM

To: McGeorge, Laurel

Subject: FW: Textbook Chapter (UNCLASSIFIED)

Dan

Daniel M. Gerstein, PhD

Under Secretary (Acting), Science & Technology Directorate U.S. Department of Homeland Security,
Washington, D.C. 20528

W: (b)(6)

C: (b)(6)

-----Original Message-----

From: (b)(7)(C) (b)(7)(C) CIV USARMY DOD JPEOCBD (US)

(b)(7)(C)

Sent: Tuesday, September 24, 2013 1:39 PM

To: Gerstein, Daniel

Subject: RE: Textbook Chapter (UNCLASSIFIED)

Classification: UNCLASSIFIED

Caveats: NONE

(b)(6)

Attached is the current draft that's going to JPEO for security review/clearance. Please return pdf file of completed/signed author's forms (p 15-20 of attached document). Thank you again for your key contributions!

V/R,

(b)(6)

(b)(7)(C)

MD

Chief Medical Officer

Medical Countermeasure Systems (MCS)

10109 Gridley Rd

Bldg 314, 2nd floor

Fort Belvoir, VA 22060-5865

Tel (b)(6)

DSN (b)(6)

(b)(7)(C)

-----Original Message-----

From: (b)(7)(C) V USARMY DOD JPEOCBD (US)
Sent: Tuesday, September 17, 2013 10:29 AM
To: 'Gerstein, Daniel'
Subject: RE: Textbook Chapter

Dan,

At this juncture, we're good to go. Thank you for your offer-let's wait until after the coordinations and reviews are done. I sincerely appreciate your participation.

Best regards,

(b)(6)

-----Original Message-----

From: Gerstein, Daniel [mailto:(b)(6)]
Sent: Tuesday, September 17, 2013 10:21 AM
To: (b)(6) CIV USARMY DOD JPEOCBD (US); (b)(6)
Subject: Re: Textbook Chapter

So do you need any more from me in terms of writing?

----- Original Message -----

From: (b)(6) W CIV USARMY DOD JPEOCBD (US)
(b)(7)(C)
Sent: Tuesday, September 17, 2013 10:07 AM
To: Daniel Gerstein (b)(6)
Cc: Gerstein, Daniel
Subject: RE: Textbook Chapter

Dan,

Jim (b)(7)(C) has sent in his additions, and (b)(6) has promised his additions by the end of this week-I've been through the draft again, made some changes, and am very pleased by how it reads. I think that the issues raised by biotechnology are addressed in the discussion of DURC.

Will send out the final draft to you, Jim and (b) early next week, and to MCS

and JPEO for coordination and security review; after that it will go to USAMRIID for two external reviews and final edits...there will be opportunities for further revision.

Thank you for participating! The inclusion of info on US program, BWC and policy items has vastly improved the quality of this chapter.

Best regards,

(b)(6)
(b)(7)(C) MD

Chief Medical Officer
Medical Countermeasure Systems (MCS)
10109 Gridley Rd
Bldg 314, 2nd floor
Fort Belvoir, VA 22060-5865

Tel (b)(6)
DSN (b)(6)
(b)(7)(C)

-----Original Message-----

From: Daniel Gerstein (mailto:(b)(6) (b)(6))

Sent: Monday, September 02, 2013 7:29 AM

To: (b)(7)(C) CIV USARMY DOD JPEOCBD (US); Dan Gerstein

Subject: Textbook Chapter

(b)(6)

See attached ...

Feels like we need to look at pulling out some of the detail. Also, not sure that the stuff at the end has been edited.

Are there others areas you want me to look at? I did not add anything on biotechnology although I was tempted.

Dan

Dr. Daniel M. Gerstein

From: Lacivita, Brendan
To: (b)(7) Levine
Subject: RE: Office of Security Inquiry
Date: Thursday, December 20, 2012 3:43:00 PM

Ok – thanks

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: (b)(6) (b)(6)
Sent: Thursday, December 20, 2012 3:28 PM
To: Lacivita, Brendan
Subject: Re: Office of Security Inquiry

Hi Brendan, I worked in DHS/NPPD/RMA, which no longer exists. The SES who ran the office was Tina Gabbrielli and my supervisor was Steve Bennett. They both still work at DHS. Steve would be a great person to review the manuscript since he would also understand the technical content.

Thanks,

(b)(6)

Sent from my iPhone

On Dec 20, 2012, at 3:23 PM, "Lacivita, Brendan" (b)(6) wrote:

(b)(6) – what office did you work in here at DHS? I need to know so they can review the manuscript.

Thanks -

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Lacivita, Brendan
Sent: Thursday, December 20, 2012 2:57 PM
To: (b)(6) (b)(6)
Subject: RE: Office of Security Inquiry

From: Lacivita, Brendan
To: Young, John
Subject: RE: Office of Security Inquiry
Date: Wednesday, January 23, 2013 2:30:00 PM

The author declined to provide an electronic copy so I had to accept the actual manuscript, and it's much easier to track one hard copy than to have several floating around.

There should be an office name/individual name below yours on the memo. If not let me know.

Thanks John

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security

(b)(6) (b)(6)

www.DHS.gov

From: Young, John
Sent: Wednesday, January 23, 2013 2:22 PM
To: Lacivita, Brendan
Subject: RE: Office of Security Inquiry

Brendan,

I haven't forwarded it to anyone. I still have the copy sent to me and didn't realize till just now that I was supposed to send it on. I didn't read your cover memo - I just assumed that individual copies were sent to each applicable office. I had no idea we were circulating just one hard copy of the document. Is there anyone in particular I'm supposed to send this too in I&A?

John

John J. Young
Chief, Administrative Security Division
DHS Office of the Chief Security Officer

(b)(6) (b)(6)
(b)(6) (Cell)

john.young (b)(6)

If you have any comments, concerns, suggestions, or kudos about any OCSO individual, process or program click here [OCSO Suggestion e-Box](#) and let us know.

From: Lacivita, Brendan
Sent: Wednesday, January 23, 2013 2:04 PM
To: Young, John
Subject: RE: Office of Security Inquiry

John – apologies for my delay in replying.

I have you forwarded the manuscript for further review? I need to know where it is now.

Thanks –
Brendan

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Young, John
Sent: Tuesday, January 08, 2013 9:44 AM
To: Lacivita, Brendan
Subject: RE: Office of Security Inquiry

Brendan,

From a general security perspective we have no issues with the manuscript that might cause us to object to its publication. We defer to the subject matter experts.

John

John J. Young
Chief, Administrative Security Division
DHS Office of the Chief Security Officer

(b)(6) (b)(6)
(b)(6) (b)(6) (Cell)
(b)(6) (b)(6)

If you have any comments, concerns, suggestions, or kudos about any OCSO individual, process or program click here [OCSO Suggestion e-Box](#) and let us know.

From: Lacivita, Brendan
Sent: Friday, December 14, 2012 2:04 PM
To: (b)(6) (b)(6)
Cc: Office of Security; (b)(7)(C) (b)(7)(C) Young, John
Subject: RE: Office of Security Inquiry

(b)(6) I received the manuscript today and will begin review on Monday.

Thanks –
Brendan

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: (b)(6) (b)(6)
Sent: Tuesday, December 11, 2012 8:34 PM
To: Lacivita, Brendan
Cc: Office of Security; (b)(7)(C) (b)(7)(C) Young, John
Subject: Re: Office of Security Inquiry

Ok, I FedEx'd it tonight. It should arrive at the mail center Thursday morning. Please let me know when it has made its way to you.

Thanks,

(b)(6)

On Tue, Dec 11, 2012 at 9:30 AM, Lacivita, Brendan (b)(6) wrote:
Hi (b)(6) – you can send it to me – 245 Murray Lane, Washington, DC 20528.

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: (b)(6) (b)(6)
Sent: Sunday, December 09, 2012 10:57 AM
To: Lacivita, Brendan
Cc: Office of Security; (b)(7)(C) (b)(7)(C) Young, John

Subject: Re: Office of Security Inquiry

I would much prefer to send a physical copy. Who and where should I address it to?

Thanks,

(b)(6)

On Fri, Dec 7, 2012 at 10:06 AM, Lacivita, Brendan (b)(6) wrote:
Hi (b)(6) – is there any way to email the manuscript?

Michelle - It can take weeks for my office to receive a mailed package. Could it be mailed to your office instead? I can take the lead in its review once you receive it.

Let us know –

Thanks

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: (b)(6) (b)(6),(b)(7)(C)
Sent: Thursday, December 06, 2012 8:21 PM
To: Lacivita, Brendan
Cc: Office of Security; (b)(7)(C) (b)(7)(C)

Subject: Re: Office of Security Inquiry

Ok, I'm happy to send it there. Can you send me the direct email address for Michelle, so I can work out with her how to transfer the manuscript?

Thanks,

(b)(6)

On Mon, Dec 3, 2012 at 4:55 PM, Lacivita, Brendan (b)(6) wrote:
Send it to Michelle in OCSO

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: (b)(6) (b)(6),(b)(7)(C)
Sent: Monday, December 03, 2012 4:25 PM
To: Lacivita, Brendan
Cc: Office of Security

Subject: Re: Office of Security Inquiry

Ok, the manuscript is ready for security review. Where should I send it?

Thanks,

(b)(6)

On Tue, Nov 6, 2012 at 1:57 PM, Lacivita, Brendan (b)(6) wrote:
(b)(6) just continue working with Michelle in OCSO (copied). She can provide an appropriate mailing address, etc., and will coordinate review with the appropriate DHS components.

Thanks –

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: (b)(6) (b)(6),(b)(7)(C)
Sent: Tuesday, November 06, 2012 11:24 AM

To: Lacivita, Brendan
Subject: Re: Office of Security Inquiry

Hi Brendan, I am still working on the manuscript and will be submitting a draft to my publisher in early December. I anticipate submitting it for DHS's security review around that time. If you could let me know where to send it, that would be helpful.

Thanks,

(b)(6)

On Mon, Nov 5, 2012 at 10:35 AM, Lacivita, Brendan (b)(6) wrote:
(b)(6) – any update on this? Did OCSO review/clear?

Thanks -

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: (b)(6) (b)(6),(b)(7)(C)
Sent: Monday, October 08, 2012 8:55 PM
To: Lacivita, Brendan

Subject: Re: Office of Security Inquiry

Hi Brendan,
Can you explain why OPA is involved in this process? I am not currently employed by DHS, so the only review required is by security. Plus, this is in regard to a math textbook.

Thanks,

(b)(6)

On Fri, Oct 5, 2012 at 2:01 PM, Lacivita, Brendan (b)(6) wrote:
(b)(6) – just communicate directly with me.

Thanks -

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Office of Security
Sent: Friday, October 05, 2012 1:15 PM
To: (b)(6) (b)(6)
Cc: Office of Security; Lacivita, Brendan; Burch, Teresa
Subject: RE: Office of Security Inquiry

Good Afternoon (b)(6)

Our apologies, we have been contacted by Office of Public Affairs (OPA) and they notified us that the previous information given was incorrect. You will need to contact the OPA (contact information is below); the book draft does require an OPA review who will in turn coordinate within the Department to get the review process started.

Phone number: (b)(6)

E-mail address: (b)(6)

Please let us know if there are any questions in relation to this.
Thank you for your patience in this matter,
Michelle

Security Customer Service Center
Office of the Chief Security Officer
US Department of Homeland Security, Headquarters
Office: (b)(6) (b)(6)
(b)(6)

From: Office of Security
Sent: Thursday, October 04, 2012 11:25 AM
To: (b)(6) (b)(6)
Cc: Office of Security
Subject: RE: Office of Security Inquiry

Good Morning (b)(6)

We have forwarded your message to the Office of General Counsel (OGC). In the past, this type of information was received by Public Affairs since they dealt with public publications that may have an impact on DHS as an agency. However, when Public Affairs was contacted they informed us to contact OGC in relation to this.

Please let us know if there are any questions in relation to this.

Thank you,
Michelle

Security Customer Service Center
Office of the Chief Security Officer
US Department of Homeland Security, Headquarters
Office: (b)(6) (b)(6)
(b)(6)

From: (b)(6) (b)(6), (b)(7)(C)
Sent: Wednesday, October 03, 2012 9:21 PM
To: Office of Security
Subject: Re: Office of Security Inquiry

Hi Michelle,
I'm not sure I understand. I'm not a currently employed by DHS, so there is no need for a review by public affairs. The only reviews required are by security and ethics, and the ethics review already took place in January. Why does the book need to go to public affairs?

Thanks,

(b)(6)

On Tue, Oct 2, 2012 at 8:49 AM, Office of Security (b)(6) wrote:
Good Morning (b)(6)

Please contact the DHS Office of Public Affairs in relation to this. We have the below contact information for them.

Phone number: (b)(6)
E mail address: publicaffairs@hq.dhs.gov

Thank you,
Michelle

Security Customer Service Center
Office of the Chief Security Officer
US Department of Homeland Security, Headquarters
Office: (b)(6) (b)(6)
Fax: (b)(6) 358-2025
(b)(6)

From: (b)(6) (b)(6), (b)(7)(C)
Sent: Monday, October 01, 2012 8:50 PM
To: Office of Security
Subject: Re: Office of Security Inquiry

Hello,

On September 16, I sent the email below requesting assistance with regard to pre-publication review of a textbook I am publishing. I have not yet received a response. Please advise on how I should proceed.

Thanks very much,

(b)(6)

Hello,

I am a recently separated DHS employee; my last day of employment was July 14, 2012. While I was employed by DHS, I signed a contract to publish an introductory textbook on analytics. Analytics is a subject I was an expert in before I was hired, and none of the content of the book pertains to homeland security, so the ethics office cleared my signing the contract.

The book will be finished in January of 2013. While employed (b)(6) so I recognize that I have an obligation to submit the manuscript for pre-publication review, even though there is no homeland security content in the book.

What is the procedure for submitting the manuscript for review? How long does review typically take?

Please call me if you have any questions at (b)(6)

Thanks for your help!

(b)(6)

On Sun, Sep 16, 2012 at 9:21 PM, Office of Security (b)(6) wrote:
Thank you for contacting the Office of the Chief Security Officer (OCSO) Customer Service Center. Your e-mail submission has been received. Please allow two business days for a response. For time-sensitive requests, please contact us at (b)(6)
Our core hours of operation are 8:00 a.m. to 4:00 p.m., Monday through Friday (except Federal holidays). For your convenience, you may visit us at our 7th & D St. location in Room 3025. DHS Employees and on-site contractors may visit the OCSO [Intranet Site](#) for answers to common security-related questions.

If your email concerns a matter described below, please take the action indicated:

- Life Threatening Emergencies: Call 911; then notify the Federal Protective Service (FPS) at 1-877-437-7411.
- Non-Life Threatening Emergencies: Call the Federal Protective Service (FPS) at 1-877-437-7411.
- SCI related inquiries: Contact your Special Security Officer (SSO).
- Nebraska Avenue Complex security-related emergencies and suspicious activity: Contact the the NAC Security Desk at (b)(6)
- To report Suspicious Activities: Send an e-mail to suspicious.activity@dhs.gov and/or call our phone number at (b)(6)

Thank you for allowing us the opportunity to assist you.

Security Customer Service Center

Office of the Chief Security Officer

US Department of Homeland Security, Headquarters

Office: (b)(6) (b)(6)

Fax: (b)(6) 358-2025

OfficeofSecurity@HQ.DHS.GOV

From: Lacivita, Brendan
To: Boucher, Leo
Subject: RE: Book chapter for prepublication review
Date: Thursday, November 1, 2012 5:19:00 PM

(b)(5)

Thanks Chip –
Brendan

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Boucher, Leo (b)(6)
Sent: Thursday, November 01, 2012 9:03 AM
To: Lacivita, Brendan
Subject: RE: Book chapter for prepublication review

Brendan:
MD 2260.1 is still the standard, right? What requires Ad Law review? I'm still trying to

figure out what we should, and shouldn't be reviewing. Also, has the SSO cleared? It's not really mentioned in this string. Have OGC intel folks reviewed the substance?
Chip

From: Lacivita, Brendan
Sent: Wednesday, October 31, 2012 4:55 PM
To: Boucher, Leo; Mosley, Ferne
Cc: Paschall, Robert
Subject: FW: Book chapter for prepublication review

Chip – looping you into this latest review. I'll forward the necessary attachments.

Adding Ferne in Ethics for her awareness, but not review.

Thanks –
Brendan

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: (b)(7)(C) (b)(7)(C)
Sent: Monday, October 15, 2012 3:47 PM
To: Lacivita, Brendan; Andrews, John; (b)(6) (b)(6) L.; Carter, Jeff; Sampson, Timothy B; Kronisch, Matthew; D'Antonio, (b)(7)(C) (b)(7)(C) (C)
Cc: Davis, Shane; Miller, Michael; Lott, Thomas; (b)(6) (b)(7)(C)
Subject: Book chapter for prepublication review

ALCON,

Follow-up information requested below.

Thanks for your assistance.

(b)(7)(C) (b)(7)(C)
Special Security Officer (SSO)
Intelligence & Analysis Support
U. S. Department of Homeland Security
(b)(7)(C)
Desk: (b)(6)
BB: (b)(6)
Fax: (b)(6)
From: (b)(6) (C) (CTR)
Sent: Monday, October 15, 2012 2:23 PM
To: (b)(7)(C) (b)(7)(C)
Cc: 'dni-pre-pub@dni.gov'
Subject: RE: Book chapter for prepublication review

From: Lacivita, Brendan
To: Boucher, Leo
Subject: RE: Book chapter for prepublication review
Date: Thursday, November 1, 2012 5:19:00 PM

(b)(5)



Thanks Chip –
Brendan

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Boucher, Leo (b)(6)
Sent: Thursday, November 01, 2012 9:03 AM
To: Lacivita, Brendan
Subject: RE: Book chapter for prepublication review

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Adding Ferne in Ethics for her awareness, but not review.

Thanks –
Brendan

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: (b)(7)(C) (b)(7)(C)
Sent: Monday, October 15, 2012 3:47 PM
To: Lacivita, Brendan; Andrews, John; (b)(6) (b)(6) L.; Carter, Jeff; Sampson, Timothy B; Kronisch, Matthew; D'Antonio, (b)(7)(C) (b)(7)(C) (b)(6)
Cc: Davis, Shane; Miller, Michael; Lott, Thomas; (b)(6) (b)(7)(C)
Subject: Book chapter for prepublication review

ALCON,

Follow-up information requested below.

Thanks for your assistance.

(b)(7)(C) (b)(7)(C)
Special Security Officer (SSO)
Intelligence & Analysis Support
U. S. Department of Homeland Security
(b)(7)(C)
Desk: (b)(6)
BB: (b)(6)
Fax: (b)(6)
From: (b)(6), (b)(7)(C), (b)(6) (CTR)
Sent: Monday, October 15, 2012 2:23 PM
To: (b)(7)(C) (b)(7)(C)
Cc: 'dni-pre-pub@dni.gov'
Subject: RE: Book chapter for prepublication review

Mr. (b)(7)(C)

I thank you for your note. The book is an edited work where each chapter is written by a different author with the overall editor being (b)(6) (Former Deputy Director for Analysis at the CIA). The publisher is SAGE/CQ Press and the book is designed to be a companion to the general intelligence undergraduate textbook written by (b)(6),(b)(7)(C) entitled "Intelligence: From Secrets to Policy" an overview can be found at the publisher's website here:

<http://www.cqpress.com/product/Intelligence5e.html>

As one of many authors, I do not have access to the other chapters written, so I'm afraid I can't be of much help with what others are writing. That said, I believe that the book is laid out as follows:

- Introduction Chapter (b)(6)
- Chapter on Open Source Intelligence (me)
- Chapters on HUMINT, SIGINT, MASINT and GEOINT (don't know the order –different authors, all subject matter experts in their field)
- Chapter on Counterintelligence (CI SME who I don't know)
- Conclusion (b)(6)

Publication timeline – as a chapter contributor I have no insight into publication timeline, other than to say that the publisher is very anxious to receive the chapter (which I have withheld pending the outcome of pre-pub review). So in short, I would say as a timeline for review, the IC standard of 30 days is what we're shooting for. In my original email to you, I simultaneously submitted the chapter to the DNI prepublication review staff and they have indicated they will process within that time period.

I hope this helps in answering the questions, and I apologize for not being able to provide detailed answers on some of the review panel's questions. If I were authoring the entire book I'd have more info, but I'm just one of many authors so my view and understanding of the process is fairly limited.

Regards,

(b)(6)

From: (b)(6) (CTR)
Sent: Thursday, October 11, 2012 7:30 PM
To: (b)(7)(C); (b)(7)(C) dni-pre-pub@dni.gov
Subject: Book chapter for prepublication review
Importance: High

Dear Mr. (b)(7) and ODNI Prepublication Review Staff,

Attached please find a book chapter I am submitting for prepublication review. The chapter deals with Open Source Intelligence and is based on my career as an open source officer culminating as the Assistant Deputy Director of National Intelligence for Open Source. I have done my best to keep the text devoid of anything sensitive or classified, but I ultimately defer to you.

Should you have any questions regarding sourcing, I am happy to provide further details and can be reached via phone at (b)(6) or via email at this address. I can also be reached on JWICS at (b)(6). Please kindly respond to this email so I know my request has been received.

My thanks in advance for your time and assistance.

Sincerely,

(b)(6)

From: Lacivita, Brendan
To: Boucher, Leo
Subject: RE: Book chapter for prepublication review
Date: Friday, November 9, 2012 4:09:00 PM

Ok – USM wants it by 11/23.

Thanks Chip –
Brendan

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Boucher, Leo (b)(6)
Sent: Friday, November 09, 2012 3:13 PM
To: Lacivita, Brendan
Subject: RE: Book chapter for prepublication review

Brendan
I haven't been able to spend much time on it. I read through it quickly once, but haven't done a hard review on it. Yet.
Chip

From: Lacivita, Brendan
Sent: Friday, November 09, 2012 11:43 AM
To: Boucher, Leo
Subject: RE: Book chapter for prepublication review

Chip – any movement on the pre-pub MD/instructions document I sent you and Charlie? I think I emailed them to you on 10/23.

Thanks –
Brendan

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Boucher, Leo [mailto:(b)(6)] (b)(6)
Sent: Thursday, November 08, 2012 4:11 PM

To: Lacivita, Brendan
Subject: RE: Book chapter for prepublication review

Thx for clarifying. I reviewed that (b)(6) chapter to the book this week, and have no objections

Chip

Chip Boucher
Assistant General Counsel, Administrative Law
General Law Division
Office of the General Counsel
Department of Homeland Security
Washington, DC 20528
Telephone: (b)(6) (b)(6) Cell: (b)(6) (b)(6)

This communication, along with any attachments, is covered by federal and state law governing electronic communications and may contain confidential and legally privileged information. If you are not the intended recipient, any dissemination, distribution, use or copying of this message and any attachment is strictly prohibited. If you received this message in error, please reply immediately and delete the messages. Thank you.

From: Lacivita, Brendan
Sent: Thursday, November 08, 2012 4:04 PM
To: Boucher, Leo
Subject: RE: Book chapter for prepublication review

Chip – I may have contributed to that confusion, so my apologies. What we discussed last week was the CIA/Hollywood article, which no longer is an issue. The item with you guys now is the (b)(6) piece. Both pieces came from I/A.

I hope that helps –

Brendan

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Boucher, Leo [mailto:(b)(6) (b)(6)]
Sent: Thursday, November 08, 2012 1:02 PM
To: Lacivita, Brendan
Subject: FW: Book chapter for prepublication review

Brendan: (b)(6)
Isn't this (b)(6) chapter the issue that we email about earlier this week? What went thru I/A? or is the wrong document attached? You indicated that there's another one in Ad Law waiting for review.

Thx
Chip

Chip Boucher
Assistant General Counsel, Administrative Law
General Law Division
Office of the General Counsel
Department of Homeland Security
Washington, DC 20528
Telephone: (b)(6) (b)(6) Cell: (b)(6) (b)(6)

This communication, along with any attachments, is covered by federal and state law governing electronic communications and may contain confidential and legally privileged information. If you are not the intended recipient, any dissemination, distribution, use or copying of this message and any attachment is strictly prohibited. If you received this message in error, please reply immediately and delete the messages. Thank you.

From: Lacivita, Brendan
Sent: Monday, November 05, 2012 10:59 AM
To: Boucher, Leo
Subject: RE: Book chapter for prepublication review

Ok – thanks.

Incidentally, there's another article (attached) I believe is with your group. I've pasted an email thread below you may find helpful. Any update on this one?

Thanks Chip -

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: (b)(6) (CTR)
Sent: Monday, October 15, 2012 2:23 PM
To: (b)(7)(C) (b)(7)(C)
Cc: 'dni-pre-pub@dni.gov'
Subject: RE: Book chapter for prepublication review

Mr. (b)(7)(C)

Thank you for your note. The book is an edited work where each chapter is written by a different author with the overall editor being (b)(6) (Former Deputy Director for Analysis at the CIA). The publisher is SAGE/CQ Press and the book is designed to be a companion to the general intelligence undergraduate textbook written by (b)(6),(b)(7)(C) entitled "Intelligence: From Secrets to Policy" an overview can be found at the publisher's website here:

<http://www.cqpress.com/product/Intelligence5e.html>

As one of many authors, I do not have access to the other chapters written, so I'm afraid I can't be of much help with what others are writing. That said, I believe that the book is laid out as follows:

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- Chapters on HUMINT, SIGINT, MASINT and GEOINT (don't know the order –different authors, all subject matter experts in their field)
- Chapter on Counterintelligence (CI SME who I don't know)
- Conclusion (b)(6)

Publication timeline – as a chapter contributor I have no insight into publication timeline, other than to say that the publisher is very anxious to receive the chapter (which I have withheld pending the outcome of pre-pub review). So in short, I would say as a timeline for review, the IC standard of 30 days is what we're shooting for. In my original email to you, I simultaneously submitted the chapter to the DNI prepublication review staff and they have indicated they will process within that time period.

I hope this helps in answering the questions, and I apologize for not being able to provide detailed answers on some of the review panel's questions. If I were authoring the entire book I'd have more info, but I'm just one of many authors so my view and understanding of the process is fairly limited.

Regards,

(b)(6)

From: (b)(6) (CTR)
Sent: Thursday, October 11, 2012 7:30 PM
To: (b)(7)(C); (b)(7)(C) dni-pre-pub@dni.gov
Subject: Book chapter for prepublication review
Importance: High

Dear Mr. (b)(7) and ODNI Prepublication Review Staff,

Attached please find a book chapter I am submitting for prepublication review. The chapter deals with Open Source Intelligence and is based on my career as an open source officer culminating as the Assistant Deputy Director of National Intelligence for Open Source. I have done my best to keep the text devoid of anything sensitive or classified, but I ultimately defer to you.

Should you have any questions regarding sourcing, I am happy to provide further details and can be

reached via phone at (b)(6) or via email at this address. I can also be reached on JWICS at (b)(6). Please kindly respond to this email so I know my request has been received.

My thanks in advance for your time and assistance.

Sincerely,

(b)(6)

From: Boucher, Leo [mailto:(b)(6)]
Sent: Monday, November 05, 2012 10:41 AM
To: Lacivita, Brendan
Subject: RE: Book chapter for prepublication review

Ok. let me kick it around with Charlie a bit. If it's already been cleared by one OGC SME, I'm not sure it needs our review.
Chip

From: Lacivita, Brendan
Sent: Monday, November 05, 2012 10:26 AM
To: Boucher, Leo
Subject: RE: Book chapter for prepublication review

I don't know – I've always been told to submit to you guys. If you don't think there's a need let me know.

Thanks Chip -

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6)
www.DHS.gov

From: Boucher, Leo [mailto:(b)(6)]
Sent: Monday, November 05, 2012 10:25 AM
To: Lacivita, Brendan
Subject: RE: Book chapter for prepublication review

Ok. Thx, Brendan. I am just trying to figure out why ad law needs to review if a SME already has.
Chip

From: Lacivita, Brendan
Sent: Monday, November 05, 2012 10:23 AM
To: Boucher, Leo
Subject: RE: Book chapter for prepublication review

Chip – I'm unsure what happened, but I/A already submitted the article to the publication for review, and it was turned down, so there's no need for further review.

I appreciate your help.

Brendan

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Boucher, Leo (b)(6)
Sent: Thursday, November 01, 2012 9:03 AM
To: Lacivita, Brendan
Subject: RE: Book chapter for prepublication review

Brendan:
MD 2260.1 is still the standard, right? What requires Ad Law review? I'm still trying to figure out what we should, and shouldn't be reviewing. Also, has the SSO cleared? It's not really mentioned in this string. Have OGC intel folks reviewed the substance?
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Sent: Wednesday, October 31, 2012 4:55 PM
To: Boucher, Leo; Mosley, Ferne
Cc: Paschall, Robert
Subject: FW: Book chapter for prepublication review

Chip – looping you into this latest review. I'll forward the necessary attachments.

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Thanks –
Brendan

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: (b)(7)(C) (b)(7)(C)

To: Lacivita, Brendan; Andrews, John; [REDACTED]; Carter, Jeff; Sampson, Timothy B; Kronisch,
Matthew; D'Antonio, [REDACTED] [REDACTED]
Cc: Davis, Shane; Miller, Michael; Lott, Thomas; [REDACTED] [REDACTED]
Subject: Book chapter for prepublication review

Follow-up information requested below.

(b)(7)(C) (b)(7)(C)
Special Security Officer (SSO)
Intelligence & Analysis Support
U. S. Department of Homeland Security
(b)(7)(C)

(b)(7)(C)

Desk: (b)(6)

BB: (b)(6)

Fax: (b)(b)

From: (b)(6) (b)(7)(C) (b)(7)(D) (TR)

Sent: Monday, October 15, 2012 2:23 PM

To: (b)(7)(C) (b)(7)(C)

Cc: 'dni-pre-pub@dni.gov'

Subject: RE: Book chapter for prepublication review

Mr. (b)(7)(C)

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Regards,

(b)(6)

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Importance: High

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Sincerely,

(b)(6)

From: [Boucher, Leo](#)
To: [Lacivita, Brendan](#)
Subject: RE: Book chapter for prepublication review
Date: Monday, November 5, 2012 10:41:21 AM

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Deputy Director of Strategic Communications
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(b)(6) (b)(6)
www.DHS.gov

From: Boucher, Leo [mailto:\(b\)\(6\)](#)
Sent: Monday, November 05, 2012 10:25 AM
To: Lacivita, Brendan
Subject: RE: Book chapter for prepublication review

Ok. Thx, Brendan. I am just trying to figure out why ad law needs to review if a SME already has.

Chip

From: Lacivita, Brendan
Sent: Monday, November 05, 2012 10:23 AM
To: Boucher, Leo
Subject: RE: Book chapter for prepublication review

Chip – I'm unsure what happened, but I/A already submitted the article to the publication for review, and it was turned down, so there's no need for further review.

I appreciate your help.

Brendan

Brendan I. LaCivita

Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security

(b)(6) (b)(6)

www.DHS.gov

From: Boucher, Leo [mailto:(b)(6) (b)(6)]
Sent: Thursday, November 01, 2012 9:03 AM
To: Lacivita, Brendan
Subject: RE: Book chapter for prepublication review

Brendan:

MD 2260.1 is still the standard, right? What requires Ad Law review? I'm still trying to figure out what we should, and shouldn't be reviewing. Also, has the SSO cleared? It's not really mentioned in this string. Have OGC intel folks reviewed the substance?

Chip

From: Lacivita, Brendan
Sent: Wednesday, October 31, 2012 4:55 PM
To: Boucher, Leo; Mosley, Ferne
Cc: Paschall, Robert
Subject: FW: Book chapter for prepublication review

Chip – looping you into this latest review. I'll forward the necessary attachments.

Adding Ferne in Ethics for her awareness, but not review.

Thanks –
Brendan

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security

(b)(6) (b)(6)

www.DHS.gov

From: (b)(7)(C) (b)(7)(C)
Sent: Monday, October 15, 2012 3:47 PM
To: Lacivita, Brendan; Andrews, John; (b)(6) (b)(6); Carter, Jeff; Sampson, Timothy B; Kronisch, Matthew; D'Antonio, (b)(7)(C) (b)(7)(C) (C)
Cc: Davis, Shane; Miller, Michael; Lott, Thomas; (b) (b)(7)(C)
Subject: Book chapter for prepublication review

ALCON,

Follow-up information requested below.

Thanks for your assistance.

(b)(7)(C) (b)(7)(C)

Special Security Officer (SSO)
Intelligence & Analysis Support
U. S. Department of Homeland Security

(b)(7)(C)

Desk: (b)(6)

BB: (b)(6)

Fax: (b)(6)

From: (b)(6) (b)(7)(C) (CTR)

Sent: Monday, October 15, 2012 2:23 PM

To: (b)(7)(C) (b)(7)(C)

Cc: 'dni-pre-pub@dni.gov'

Subject: RE: Book chapter for prepublication review

Mr. (b)(7)(C)

Thank you for your note. The book is an edited work where each chapter is written by a different author with the overall editor being (b)(6)(b)(7)(C) (Former Deputy Director for Analysis at the CIA). The publisher is SAGE/CQ Press and the book is designed to be a companion to the general intelligence undergraduate textbook written by (b)(6)(b)(7)(C) entitled "Intelligence: From Secrets to Policy" an overview can be found at the publisher's website here:

<http://www.cqpress.com/product/Intelligence5e.html>

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- Introduction Chapter (b)(6)
- Chapter on Open Source Intelligence (me)
- Chapters on HUMINT, SIGINT, MASINT and GEOINT (don't know the order –different authors, all subject matter experts in their field)
- Chapter on Counterintelligence (CI SMF who I don't know)
- Conclusion (b)(6)

Publication timeline – as a chapter contributor I have no insight into publication timeline, other than to say that the publisher is very anxious to receive the chapter (which I have withheld pending the outcome of pre pub review). So in short, I would say as a timeline for review, the IC standard of 30 days is what we're shooting for. In my original email to you, I simultaneously submitted the chapter to the DNI prepublication review staff and they have indicated they will process within that time period.

I hope this helps in answering the questions, and I apologize for not being able to provide detailed answers on some of the review panel's questions. If I were authoring the entire book I'd have more info, but I'm just one of many authors so my view and understanding of the process is fairly limited.

Regards,

(b)(6)

From: (b)(6) (b)(6) CTR)
Sent: Thursday, October 11, 2012 7:30 PM
To: (b)(7)(C) (b)(7)(C) dni-pre-pub@dni.gov
Subject: Book chapter for prepublication review
Importance: High

Dear Mr. (b)(7) and ODNI Prepublication Review Staff,

Attached please find a book chapter I am submitting for prepublication review. The chapter deals with Open Source Intelligence and is based on my career as an open source officer culminating as the Assistant Deputy Director of National Intelligence for Open Source. I have done my best to keep the text devoid of anything sensitive or classified, but I ultimately defer to you.

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My thanks in advance for your time and assistance.

Sincerely,

(b)(6)

From: Lacivita, Brendan
To: (b)(7)(C); (b)(7)(C); Andrews, John; (b)(6); (b)(7)(C); Carter, Jeff; Sampson, Timothy B; Kronisch, Matthew; D'Antonio, (b)(7)(C); (b)(6); (b)(7)(C)
Cc: Davis, Shane; Miller, Michael; Lott, Thomas; (b)(6); (b)(7)(C)
Subject: RE: Book chapter for prepublication review
Date: Friday, November 9, 2012 5:30:00 PM
Importance: High

HI folks – any updates on review?

OGC General Law cleared today.

Thanks –
Brendan

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6); (b)(6)
www.DHS.gov

From: (b)(7)(C); (b)(7)(C)
Sent: Friday, October 12, 2012 1:39 PM
To: Andrews, John; (b)(6); (b)(6); Carter, Jeff; Lacivita, Brendan; Sampson, Timothy B; Kronisch, Matthew; D'Antonio, (b)(7)(C); (b)(7)(C); (b)(6)
Cc: Davis, Shane; Miller, Michael; Lott, Thomas; (b)(6); (b)(7)(C)
Subject: Book chapter for prepublication review
Importance: High

ALCON,

OI&A contractor requests attached for your pre-publication review.

Please advise whether a review is or is not required per policy.

Assistance appreciated.

(b)(7)(C); (b)(7)(C)
Special Security Officer (SSO)
Intelligence & Analysis Support
U. S. Department of Homeland Security
(b)(7)(C)
Desk: (b)(6)
BB: (b)(6)
Fax: (b)(6)

From: (b)(6) (CTR)
Sent: Thursday, October 11, 2012 7:30 PM
To: (b)(7)(C); (b)(7)(C); dni-pre-pub@dni.gov
Subject: Book chapter for prepublication review

Importance: High

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My thanks in advance for your time and assistance.

Sincerely,

(b)(7)

From: Lacivita, Brendan
To: Boucher, Leo
Subject: RE: Book chapter for prepublication review
Date: Thursday, November 8, 2012 4:22:00 PM

Ok sounds good – thanks Chip!

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Boucher, Leo (b)(6)
Sent: Thursday, November 08, 2012 4:11 PM
To: Lacivita, Brendan
Subject: RE: Book chapter for prepublication review

Thx for clarifying. I reviewed that (b)(6) chapter to the book this week, and have no objections

Chip

Chip Boucher
Assistant General Counsel, Administrative Law
General Law Division
Office of the General Counsel
Department of Homeland Security
Washington, DC 20528
Telephone: (b)(6) (b)(6) Cell: (b)(6) (b)(6)

This communication, along with any attachments, is covered by federal and state law governing electronic communications and may contain confidential and legally privileged information. If you are not the intended recipient, any dissemination, distribution, use or copying of this message and any attachment is strictly prohibited. If you received this message in error, please reply immediately and delete the messages. Thank you.

From: Lacivita, Brendan
Sent: Thursday, November 08, 2012 4:04 PM
To: Boucher, Leo
Subject: RE: Book chapter for prepublication review

Chip – I may have contributed to that confusion, so my apologies. What we discussed last week was the CIA/Hollywood article, which no longer is an issue. The item with you guys now is the (b)(6) piece. Both pieces came from I/A.

Hope that helps –

Brendan

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Boucher, Leo [mailto:(b)(6) (b)(6)]
Sent: Thursday, November 08, 2012 1:02 PM
To: Lacivita, Brendan
Subject: FW: Book chapter for prepublication review

Brendan:
Isn't this (b)(6) chapter the issue that we email about earlier this week? What went thru I/A? or is the wrong document attached? You indicated that there's another one in Ad Law waiting for review.
Thx
Chip

Chip Boucher
Assistant General Counsel, Administrative Law
General Law Division
Office of the General Counsel
Department of Homeland Security
Washington, DC 20528
Telephone: (b)(6) (b)(6); Cell: (b)(6) (b)(6)

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From: Lacivita, Brendan
Sent: Monday, November 05, 2012 10:59 AM
To: Boucher, Leo
Subject: RE: Book chapter for prepublication review

Ok – thanks.

Incidentally, there's another article (attached) I believe is with your group. I've pasted an email thread below you may find helpful. Any update on this one?

Thanks Chip -

Brendan J. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: (b)(6) (b)(6) (CTR)
Sent: Monday, October 15, 2012 2:23 PM
To: (b)(7)(C) (b)(7)(C)
Cc: 'dni-pre-pub@dni.gov'
Subject: RE: Book chapter for prepublication review

Mr. (b)(7)(C)

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<http://www.cqpress.com/product/Intelligence5e.html>

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Regards,

(b)(6)

From: (b)(6) CTR)
Sent: Thursday, October 11, 2012 7:30 PM
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Sincerely,

(b)(6)
(b)(6)

From: Boucher, Leo [mailto:(b)(6)] (b)(6)
Sent: Monday, November 05, 2012 10:41 AM
To: Lacivita, Brendan
Subject: RE: Book chapter for prepublication review

Ok. let me kick it around with Charlie a bit. If it's already been cleared by one OGC SME, I'm not sure it needs our review.
Chip

From: Lacivita, Brendan
Sent: Monday, November 05, 2012 10:26 AM
To: Boucher, Leo
Subject: RE: Book chapter for prepublication review

I don't know – I've always been told to submit to you guys. If you don't think there's a need let me know.

Thanks Chip -

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs

U.S. Department of Homeland Security

(b)(6) (b)(6)

www.DHS.gov

From: Boucher, Leo [mailto:(b)(6) (b)(6)]
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Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

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Cc: Davis, Shane; Miller, Michael; Lott, Thomas; (b) (b)(7)(C)
Subject: Book chapter for prepublication review

ALCON,

Follow-up information requested below.

Thanks for your assistance.

(b)(7)(C) (b)(7)(C)
Special Security Officer (SSO)
Intelligence & Analysis Support
U. S. Department of Homeland Security
(b)(7)(C)
Desk: (b)(6)
BB: 240.346.7885
Fax: (b)(6)
From: (b)(6) (b)(6) CTR)
Sent: Monday, October 15, 2012 2:23 PM
To: (b)(7)(C) (b)(7)(C)
Cc: 'dni-pre-pub@dni.gov'
Subject: RE: Book chapter for prepublication review

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Sincerely,

(b)(6)

From: Kronisch, Matthew
To: Lacivita, Brendan; (b)(7)(C); (b)(7)(C); Andrews, John; (b)(6); (b)(6); L.; Carter, Jeff; Sampson, Timothy B; D'Antonio, (b)(7)(C); (b)(7)(C); (b)(6)
Cc: Davis, Shane; Miller, Michael; Lott, Thomas; (b)(6); (b)(7)(C)
Subject: RE: Book chapter for prepublication review
Date: Tuesday, November 13, 2012 7:53:58 AM

OGC ILD will defer to OGC General Law's action.

Matthew L. Kronisch

Associate General Counsel (Intelligence)

U.S. Department of Homeland Security

Washington D.C. 20528

Tel: (b)(6); (b)(6)
Mobile: (b)(6)
NSTS: (b)(6)
pager: (877) 642-7549
Email: matthew.kronisch; (b)(6)

Ashley A. Gifford

Executive Assistant

Tel: (b)(6); (b)(6)
Email: ashley.gifford; (b)(6)

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From: Lacivita, Brendan
Sent: Friday, November 09, 2012 5:30 PM
To: (b)(7)(C); (b)(7)(C); Andrews, John; (b)(6); (b)(6); L.; Carter, Jeff; Sampson, Timothy B; Kronisch, Matthew; D'Antonio, (b)(7)(C); (b)(7)(C); (b)(6)
Cc: Davis, Shane; Miller, Michael; Lott, Thomas; (b)(6); (b)(7)(C)
Subject: RE: Book chapter for prepublication review
Importance: High

HI folks – any updates on review?

OGC General Law cleared today.

Thanks –
Brendan

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6); (b)(6)
www.DHS.gov

From: (b)(7)(C) (b)(7)(C)
Sent: Friday, October 12, 2012 1:39 PM
To: Andrews, John; (b)(6) (b)(6) L.; Carter, Jeff; Lacivita, Brendan; Sampson, Timothy B; Kronisch, Matthew; D'Antonio, (b)(7)(C) (b)(7)(C) (b)(6)
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Subject: Book chapter for prepublication review
Importance: High

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Please advise whether a review is or is not required per policy.

Assistance appreciated.

(b)(7)(C) (b)(7)(C)
Special Security Officer (SSO)
Intelligence & Analysis Support
U. S. Department of Homeland Security
(b)(7)(C)
Desk: (b)(6)
BB: 240.346.7885
Fax: (b)(6)

From: (b)(6) (b)(7)(C) CTR)
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(b)(6)

From: (b)(7)(C) (b)(7)(C)
To: Lacivita, Brendan
Subject: Book chapter for prepublication review
Date: Wednesday, November 21, 2012 8:11:22 AM

I have no additional updates.

(b)(7)(C) (b)(7)(C)
Special Security Officer (SSO)
Intelligence & Analysis Support
U. S. Department of Homeland Security
(b)(7)(C)
Desk: (b)(6)
BB: (b)(6)
Fax: (b)(6)

From: Lacivita, Brendan
Sent: Tuesday, November 20, 2012 2:21 PM
To: (b)(7)(C) (b)(7)(C)
Subject: RE: Book chapter for prepublication review

(b)(7)(C) – any update on this?

I'd like to forward the final copy to the press office for its review.

Thanks -

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Lacivita, Brendan
Sent: Tuesday, November 13, 2012 3:58 PM
To: (b)(7)(C) (b)(7)(C)
Subject: FW: Book chapter for prepublication review

(b)(7)(C) – please see below from I/A.

I'm still waiting for others to clear, but in the meantime you can communicate I/A's concerns to the author to make the appropriate changes.

Thanks -

Brendan I. LaCivita
Deputy Director of Strategic Communications

Office of Public Affairs
U.S. Department of Homeland Security

(b)(6) (b)(6)

www.DHS.gov

From: (b)(6) (b)(7)(C)

Sent: Tuesday, November 13, 2012 1:16 PM

To: Lacivita, Brendan

Subject: RE: Book chapter for prepublication review

Brendan,

I&A Security clears. Analysis provided the following comments:

(b)(5)

r/

(b)(6)

From: Lacivita, Brendan

Sent: Friday, November 09, 2012 5:30 PM

To: (b)(7)(C) (b)(7)(C) Andrews, John; (b)(6) (b)(6) L.; Carter, Jeff; Sampson, Timothy B; Kronisch, Matthew; D'Antonio, (b)(7)(C) (b)(7)(C) (b)(6)

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Special Security Officer (SSO)

Intelligence & Analysis Support

U. S. Department of Homeland Security

(b)(7)(C)

Desk: (b)(6)

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Should you have any questions regarding sourcing, I am happy to provide further details and can be reached via phone at (b)(6) or via email at this address. I can also be reached on JWICS at (b)(6). Please kindly respond to this email so I know my request has been received.

My thanks in advance for your time and assistance.

Sincerely,

(b)(6)

From: Heller, Susan
To: Lacivita, Brendan
Subject: RE: Book contract-writing in a personal capacity:4262
Date: Wednesday, January 30, 2013 4:52:33 PM

Perfect for background. Thank you. I'll pass to Chip Boucher in General Law.

Susan Heller
Associate General Counsel for Ethics
Ethics Division, OGC
NAC, Bldg. 1, Room 01-021A
245 Murray Lane, SW
Mail Stop 0485
Washington, DC 20528-0485
Voice: (b)(1) (b)(6)
Fax: (b)(1) 282-9099

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From: Lacivita, Brendan
Sent: Wednesday, January 30, 2013 1:23 PM
To: Heller, Susan
Subject: FW: Book contract-writing in a personal capacity:4262
Importance: High

Susan – this re the book manuscript issue we just discussed. Below is the email correspondence the author, a previous federal employee, had with Maureen re the contract, but not the actual manuscript itself.

I apologize for mixing things up –

Brendan

Brendan I. LaCivita

Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security

(b)(6) (b)(6)

www.DHS.gov

From: (b)(6) (b)(6),(b)(7)(C)
Sent: Wednesday, January 30, 2013 1:16 PM
To: Laciuta, Brendan
Subject: Fwd: Book contract-writing in a personal capacity:4262

Hi Brendan, here is my earlier correspondence with the ethics office.

Thanks,

(b)(6)

Sent from my iPhone

Begin forwarded message:

From: (b)(6),(b)(7)(C) (b)(6) (b)(6)
Date: July 5, 2012, 10:40:08 AM EDT
To: (b)(6) (b)(6),(b)(7) (b)(6) (b)(6),(b)(7)
Subject: FW: Book contract-writing in a personal capacity:4262

(b)(6),(b)(7)(C)
Chief Scientist
Risk and Capability Analytics, Risk and Decision Analysis Directorate
Office of Policy/Strategy, Planning, Analysis and Risk (SPAR)
U.S. Department of Homeland Security

(b)(6)
Mobile: (b)(6)
(b)(6),(b)(7) (b)(6)
(C)

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From: Bennett, Steve
Sent: Tuesday, January 31, 2012 11:24 AM
To: (b)(6),(b)(7) (b)(6)
Subject: RE: Book contract-writing in a personal capacity:4262

(b)(6) it looks like Maureen is kicking the decision to me, your supervisor. Consider this written approval to proceed, as long as the writing continues to be on your own time

and with your own resources.

Thanks,

--Steve

Steve Bennett, Ph.D.

Assistant Director for Risk Analytics
Office of Risk Management and Analysis
NPPD, U.S. Department of Homeland Security

(b)(6) (o)
(b)(6) (n)
(b)(6) (f)
(b)(6) (ste)

email: steve.bennett@ice.dhs.gov (b)(6)
HSDN/SIPR: steve.bennett@ice.dhs.gov (b)(6)

From: Gilmore, Maureen
Sent: Tuesday, January 31, 2012 11:14 AM
To: (b)(6)
Cc: Bennett, Steve
Subject: Book contract-writing in a personal capacity:4262

Sir:

From the ethics perspective, there is no objection to you writing and receiving compensation for a textbook in which mathematics and statistics are the primary topics subject to the conditions discussed below.

The final approval for your writing should be coordinated with your first line supervisor in finding that the writing does not conflict with your duties.

One of the ethics concerns is whether an employee is compensated for writing outside his official duties. Generally, a government employee shall not receive compensation from any source other than the government for writing that relates to the employee's official duties. See 5 C.F.R. § 2635.807(a). Writing relates to the employee's official duties if the subject deals in significant part with:

- a) any matter to which the employee presently is assigned or to which the employee had been assigned during the previous one-year period [of government employment]; or
- b) any ongoing or announced policy, program or operation of the agency.

Government employees may not engage in an outside activity that conflicts with official duties. An activity conflicts with official duties if:

- a) If it is prohibited by statute or by an agency supplemental regulation; or
- b) If, under the standards set forth in §§2635.402 and 2635.502, it would require the employee's disqualification from matters so central or critical to the performance of his official duties that the employee's ability to perform the duties of his position would be materially impaired.

From the information that you provided about the book and about your official duties at DHS, it does not appear that the subject of the book relates to your official duties. Rather, the book topic is within the discipline of your previous career and education, that of a research scientist and analyst, before you joined the federal government. You advised that you began writing the book before beginning employment with the Federal government, and the book has no homeland security content, no mention of terrorism, natural disasters, or anything of the like.

Although it does not appear to me that there is a conflict in your circumstance, it is helpful if your supervisor assists in the determination whether your writing conflicts with your duties. You previously conferred with your supervisor about your writing, and he determined that your writing does not conflict with your DHS duties. I have copied him on this email to factor in the matters I discussed above in his final determination whether to find no objection to this outside writing activity.

Generally, a Government employee who is engaged in as an outside activity shall not use or permit the use of his official title or position to identify him in connection with his activity. See 5 C.F.R. § 2635.807(b). However, an employee may permit the inclusion of his title or position as one of several biographical details when such information is given to identify him in connection with his writing, provided that his title or position is given no more prominence than other significant biographical details.

Further, employees may not use government equipment in the performance of their outside activities. 5 C.F.R. § 2635.704. Also, employees may not use official government time for outside activities. 5 C.F.R. § 2635.705. You and your supervisor have advised that you have used no government resources or time in the writing of this book.

Finally, if this outside activity requires your presence during the normal government duty day, you may request annual leave. Department supervisors are not obligated to honor the request for leave, however, and may deny the request based on DHS mission necessities.

Maureen Gilmore
Deputy Ethics Official
Office of General Counsel
Department of Homeland Security
Phone: (b)(6)
Fax: (b)(6)

<http://dhsconnect.dhs.gov/org/comp/ogc/ethics/Pages/default.aspx>

Mailing address:

OGC Stop 0485

Department of Homeland Security
245 Murray Ln
Washington, DC 20528-0485

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From: Bennett, Steve
Sent: Friday, January 27, 2012 2:22 PM
To: Gilmore, Maureen; Levine, (b)(6)
Cc: Heller, Susan
Subject: RE: question about book contract-writing in a personal capacity:4262

(b)(5)

As (b)(6),(b)(7)(C) supervisor, I have already seen the outline, and have in fact reviewed some of the chapters. I can affirm that (b)(6),(b)(7)(C) has done this on his own time with his own resources, and can additionally affirm that this work does not fall under 5 C.F.R. §2535.807.

--Steve

Steve Bennett, Ph.D.

Assistant Director for Risk Analytics
Office of Risk Management and Analysis
NPPD, U.S. Department of Homeland Security

(b)(6) (o)
(b)(6) (n)
(b)(6) (f)
(b)(6) (ste)

email: steve.bennett@ice.dhs.gov (b)(6)
HSDV/SIPR: steve.bennett@ice.dhs.gov (b)(6)

From: Gilmore, Maureen
Sent: Friday, January 27, 2012 2:16 PM
To: (b)(6); (b)(6)
Cc: Bennett, Steve; Heller, Susan
Subject: RE: question about book contract-writing in a personal capacity:4262

Thanks. I should be able to get to this review early next week.

Maureen Gilmore
Deputy Ethics Official
Office of General Counsel
Department of Homeland Security
Phone: (b)(6)
Fax: (b)(6)
<http://dhsconnect.dhs.gov/org/comp/ogc/ethics/Pages/default.aspx>
Mailing address:
OGC Stop 0485
Department of Homeland Security
245 Murray Ln
Washington, DC 20528-0485

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From: (b)(6)
Sent: Friday, January 27, 2012 9:53 AM
To: Gilmore, Maureen
Cc: Bennett, Steve; Heller, Susan
Subject: RE: question about book contract-writing in a personal capacity:4262

That's correct, I am a career employee. I've attached a copy of my position description.

The textbook is a mathematics and statistics book; it's within the discipline of my previous career and education before I joined the federal government, that of a research scientist and analyst. In fact, I began writing the book before I started working for the Federal government. The book will have no homeland security content, no mention of terrorism, natural disasters, or anything of the like. It's different than some of the other things we've published at RMA, because those works are related to current DHS programs (like cargo screening or risk assessments). Of course, we do not accept (nor are we offered) compensation for that type of writing.

I've attached a copy of the book's table of contents, as you requested.

Please let me know what other information will aid in your review,

(b)(6)

(b)(6)

Chief Scientist
Office of Risk Management and Analysis (RMA)
National Protection and Programs Directorate (NPPD)
U.S. Department of Homeland Security
Desk: (b)(6)
Module: (b)(6)
(b)(6)

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From: Gilmore, Maureen
Sent: Friday, January 27, 2012 8:26 AM
To: (b)(6) (b)(6)
Cc: Bennett, Steve; Heller, Susan
Subject: question about book contract-writing in a personal capacity:4262

Sir:

I am assuming that you are a career employee. If not, please advise.

Ethics and security are often the most obvious offices with which you will need to coordinate. However, in my past experience with RMA authors, the writing may be about programs that might have an S&T or NPPD or another component's interest, so you might have to coordinate with someone on those staffs also. Much of what you are asking is fact specific about your particular writing, so it may take a few emails to get all the salient facts to ensure complete review.

The key for the ethics review is that you will be receiving payment for writing and your writing may not conflict with your official DHS duties as the regulations provide. So, please provide me with a copy of your DHS position description and an outline of what you will be writing about.

Your supervisor, in coordination with ethics, will determine if your proposed writing fits into a category per 5 C.F.R. §2535.807, for which you may not accept payment:

§ 2635.807 Teaching, speaking and writing.

↑ top

(a) *Compensation for teaching, speaking or writing.* Except as permitted by paragraph (a)(3) of this section, an employee, including a special Government employee, shall not receive compensation from any source other than the Government for teaching, speaking or writing that relates to the employee's official duties.

(1) *Relationship to other limitations on receipt of compensation.* The compensation prohibition contained in this section is in addition to any other limitation on receipt of compensation set forth in this chapter, including:

- (i) The requirement contained in §2636.307 of this chapter that covered noncareer employees obtain advance authorization before engaging in teaching for compensation; and
- (ii) The prohibitions and limitations in §2635.804 and in §2636.304 of this chapter on receipt of

outside earned income applicable to certain Presidential appointees and to other covered noncareer employees.

(2) *Definitions.* For purposes of this paragraph:

(i) Teaching, speaking or writing relates to the employee's official duties if:

(A) The activity is undertaken as part of the employee's official duties;

(B) The circumstances indicate that the invitation to engage in the activity was extended to the employee primarily because of his official position rather than his expertise on the particular subject matter;

(C) The invitation to engage in the activity or the offer of compensation for the activity was extended to the employee, directly or indirectly, by a person who has interests that may be affected substantially by performance or nonperformance of the employee's official duties;

(D) The information conveyed through the activity draws substantially on ideas or official data that are nonpublic information as defined in §2635.703(b); or

(E) Except as provided in paragraph (a)(2)(i)(E)(4) of this section, the subject of the activity deals in significant part with:

(1) Any matter to which the employee presently is assigned or to which the employee had been assigned during the previous one-year period;

(2) Any ongoing or announced policy, program or operation of the agency; or

(3) In the case of a noncareer employee as defined in §2636.303(a) of this chapter, the general subject matter area, industry, or economic sector primarily affected by the programs and operations of his agency.

(4) The restrictions in paragraphs (a)(2)(i)(E) (2) and (3) of this section do not apply to a special Government employee. The restriction in paragraph (a)(2)(i)(E)(1) of this section applies only during the current appointment of a special Government employee; except that if the special Government employee has not served or is not expected to serve for more than 60 days during the first year or any subsequent one year period of that appointment, the restriction applies only to particular matters involving specific parties in which the special Government employee has participated or is participating personally and substantially.

Note: Section 2635.807(a)(2)(i)(E) does not preclude an employee, other than a covered noncareer employee, from receiving compensation for teaching, speaking or writing on a subject within the employee's discipline or inherent area of expertise based on his educational background or experience even though the teaching, speaking or writing deals generally with a subject within the agency's areas of responsibility.

Thanks.

Maureen

Maureen Gilmore

Deputy Ethics Official

Office of General Counsel

Department of Homeland Security

Phone: (b)(6)

Fax: (b)(6)

<http://dhsconnect.dhs.gov/org/comp/ogc/ethics/Pages/default.aspx>

Mailing address:

OGC Stop 0485

Department of Homeland Security

245 Murray Ln

Washington, DC 20528-0485

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received this in error, please reply immediately to the sender and delete this message. Thank you.

From: (b)(6)
Sent: Thursday, January 26, 2012 2:59 PM
To: Gilmore, Maureen
Cc: Bennett, Steve
Subject: question about book contract

Hi Maureen,

I am a DHS employee working in the Office of Risk Management and Analysis, under Steve Bennett. I would like to accept a contract to write a textbook, which I describe below, but I understand the need to get ethics office approval before I sign the contract.

The textbook will be on introductory analytics and published by a company called Taylor & Francis (<http://www.taylorandfrancis.com/>). The contract has not yet been signed, and is attached to this email. I'm working on the book only when I am not on duty and without using any government resources. I have no engagement with Taylor and Francis as part of my official duties. Analytics is a topic that I specialized in before I started working for the federal government, and the book will not contain any information on the programs or operations of DHS (obviously).

The book will contain only a brief mention of DHS in my biographical information. You will see in Section 11 of the contract that I and the publisher have agreed to follow DHS guidelines regarding authorship. The Publisher understands that I am completing the book in my private, rather than official, capacity.

I also understand that because I hold an SCI clearance I will need to pass a copy of the book, when a draft is complete, to the security office for review.

I also know to report the income from the book, if there actually is any, on the OGE 450 form.

What is the process for review? Do you need any more information?

Thanks very much for your help,

(b)(6)

(b)(6),(b)(7)(C)

Chief Scientist
Office of Risk Management and Analysis (RMA)
National Protection and Programs Directorate (NPPD)
U.S. Department of Homeland Security
Desk: (b)(6)
Mobile: (b)(6)

(b)(6)

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From: Lacivita, Brendan
To: Abbott, Catherine
Subject: RE: Book Clearance
Date: Tuesday, November 5, 2013 4:42:00 PM

No – OPA runs the pre-publication program (the draft MD is under review in USM). Once the appropriate components clear you still have to get final clearance from OPA.

Thanks Cathy -

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Abbott, Catherine
Sent: Tuesday, November 05, 2013 4:09 PM
To: Lacivita, Brendan
Subject: RE: Book Clearance

Sorry – I thought as long as there were no ethics or operational issues we were OK. The book was published. Cathy

Catherine Ellen Abbott
Director, Office of Corporate Communications
DHS Science and Technology Directorate
W: (b)(6) BB (b)(6)

From: Lacivita, Brendan
Sent: Tuesday, November 05, 2013 3:22 PM
To: Abbott, Catherine
Subject: RE: Book Clearance

Ok – I should have been kept in the loop on this as OPA gives final clearance, and as far as I know the only folks who cleared were OCSO and Ethics - OGC, OPA, and I/A did not. Was anything published or was the book shelved?

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)

www.DHS.gov

From: Abbott, Catherine
Sent: Tuesday, November 05, 2013 3:14 PM
To: Lacivita, Brendan
Subject: RE: Book Clearance

Dan got calls directly from the ethics lawyer and someone else – can't remember who – and they came to agreements on the issues that were in question. Thanks for checking. Cathy

Catherine Ellen Abbott
Director, Office of Corporate Communications
DHS Science and Technology Directorate
W: (b)(6) BB (b)(6)

From: Lacivita, Brendan
Sent: Tuesday, November 05, 2013 3:06 PM
To: Abbott, Catherine
Subject: RE: Book Clearance

Cathy – while cleaning out some old files I came across this book review for Dan from last December, and according to my tracking sheet it never was given final clearance. Have you heard from anyone about it?

Thanks –
Brendan

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Abbott, Catherine
Sent: Friday, December 14, 2012 9:05 AM
To: Lacivita, Brendan
Subject: RE: Book Clearance

Thank you!

Catherine Ellen Abbott
Director, Office of Corporate Communications
DHS Science and Technology Directorate

W: (b)(6) BB (b)(6)

From: Lacivita, Brendan
Sent: Friday, December 14, 2012 9:05 AM
To: Abbott, Catherine
Subject: RE: Book Clearance

Ok great – just waiting for the others to clear

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Abbott, Catherine
Sent: Friday, December 14, 2012 7:53 AM
To: Lacivita, Brendan; Young, John; (b)(6) (b)(7)(C) Lott, Thomas; Paschall, Robert; Mosley, Ferne; Chandler, Matthew; Wong, Heather
Cc: (b)(6) (b)(6) L.; Ackiss, Scott
Subject: RE: Book Clearance

Brendan,
Just spoke to John Young and addressed his concerns. Thank you both! Cathy

Catherine Ellen Abbott
Director, Office of Corporate Communications
DHS Science and Technology Directorate
W: (b)(6) BB (b)(6)

From: Lacivita, Brendan
Sent: Thursday, December 13, 2012 9:53 AM
To: Young, John; (b)(6) (b)(7)(C) Lott, Thomas; Paschall, Robert; Mosley, Ferne; Chandler, Matthew; Wong, Heather
Cc: Abbott, Catherine; (b)(6) (b)(6) L.; Ackiss, Scott
Subject: RE: Book Clearance

John – thank you for your comments. I spoke with Cathy in S/T, and she's working with her staff to provide responses to your concerns.

Regards,
Brendan

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Young, John
Sent: Wednesday, December 12, 2012 1:35 PM
To: Lacivita, Brendan; (b)(6) (b)(7)(C) Lott, Thomas; Paschall, Robert; Mosley, Ferne; Chandler, Matthew; Wong, Heather
Cc: Abbott, Catherine; (b)(6) (b)(6) L.; Ackiss, Scott
Subject: RE: Book Clearance

Brendan,

(b)(5)

John

John J. Young
Chief, Administrative Security Division
DHS Office of the Chief Security Officer
(b)(6) (b)(6)
(b)(6) (Cell)
(b)(6)

If you have any comments, concerns, suggestions, or kudos about any OCSO individual, process or program click here [OCSO Suggestion e-Box](#) and let us know.

From: Lacivita, Brendan
Sent: Tuesday, December 11, 2012 3:41 PM
To: (b)(6) (b)(7)(C) Young, John; Lott, Thomas; Paschall, Robert; Mosley, Ferne; Chandler, Matthew; Wong, Heather
Cc: Abbott, Catherine; (b)(6) (b)(6) L.
Subject: FW: Book Clearance

Hi folks – attached for your review is a draft manuscript on national security and arms control for a book written by a current S/T employee. The author wrote the manuscript in his personal capacity and used open-source information. The manuscript has cleared both his supervisor and the program office.

It's my hope we can get the manuscript approved within the next 30-days. Feel free to forward to any DHS colleagues I may have inadvertently left off this email.

Thanks –
Brendan

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Abbott, Catherine
Sent: Friday, December 07, 2012 5:16 PM
To: Lacivita, Brendan
Cc: Gerstein, Daniel
Subject: Book Clearance

Brendan,

Attached please find Dan Gerstein's book for clearance. If you'll recall, I sent this to you several months ago and you told me it needed to be reviewed by Tara. Tara has reviewed it and has no objections to it. Dan did not work on this project during duty hours. Let me know if you need anything else. Cathy

Catherine Ellen Abbott
Director, Office of Corporate Communications
DHS Science and Technology Directorate
W: (b)(6) BB (b)(6)

From: Epstein, Gerald
To: Lacivita, Brendan
Subject: RE: Pre-Pub Review - Dan's Textbook Chapter (UNCLASSIFIED)
Date: Wednesday, November 6, 2013 1:19:01 AM

Brendan, I have reviewed the chapter and there is nothing that warrants concern with respect to security or any inappropriate implication of DHS endorsement (even if Dr. Gerstein had not included a disclaimer).

Jerry Epstein

From: Lacivita, Brendan
Sent: Friday, October 25, 2013 11:14 AM
To: Epstein, Gerald
Subject: FW: Pre-Pub Review - Dan's Textbook Chapter (UNCLASSIFIED)

Jerry – please see email below. John Young in OCSO suggested I forward this book article to you for review.

Let me know if you have any questions.

Thanks –
Brendan

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Lacivita, Brendan
Sent: Thursday, October 24, 2013 2:49 PM
To: Waters, Mike; Lott, Thomas; Young, John; (b) (b)(7)(C) Boucher, Leo
Subject: Pre-Pub Review - Dan's Textbook Chapter (UNCLASSIFIED)

Gentlemen - attached for your review is an unclassified draft chapter for a book in which Dan Gerstein from S/T contributed. Dan's contributions were done in a **personal** capacity so please review from the appropriate context. Further details about the book are provided in the email thread below.

Mike - S/T would like to know if it's OK for Dan to use his DHS title in the author credits.

Thanks -
Brendan

Brendan I. LaCivita

Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

-----Original Message-----

From: Abbott, Catherine
Sent: Friday, October 18, 2013 9:48 AM
To: Lacivita, Brendan
Subject: FW: Dan's Textbook Chapter (UNCLASSIFIED)

Brendan,

I had sent this over before the shut down, but I'm afraid it might have gotten lost in the shuffle. Will you please give me a call when you have a moment. Thanks. Cathy

Catherine Ellen Abbott
Director, Office of Corporate Communications DHS Science and Technology Directorate
W: (b)(6) BB (b)(6)

-----Original Message-----

From: McGeorge, Laurel
Sent: Thursday, September 26, 2013 11:25 AM
To: Abbott, Catherine
Subject: Dan's Textbook Chapter (UNCLASSIFIED)

Hey Cathy,

From an OPA perspective, can you take a look at the attached and let me know if there is any issue with Dan listing his DHS S&T title as the one of the authors for Chapter 1 of the book titled Medical Aspects of Biological Warfare 2nd Edition (or any issues period). Chapter 1 is attached.

I have filled out the attached pages 15-20 in the PDF AU Guide for Dan and just need his signature before he submits the attached paperwork, but want to be sure we have all the correct information and are following protocol.

Many thanks!

Laurel McGeorge
Executive Assistant for the Deputy Under Secretary Science and Technology Directorate Department

of Homeland Security

(b)(6)

10-215

-----Original Message-----

From: Gerstein, Daniel

Sent: Wednesday, September 25, 2013 7:29 AM

To: McGeorge, Laurel

Subject: FW: Textbook Chapter (UNCLASSIFIED)

Dan

Daniel M. Gerstein, PhD

Under Secretary (Acting), Science & Technology Directorate U.S. Department of Homeland Security,
Washington, D.C. 20528

W: (b)(6)

C: (b)(6)

-----Original Message-----

From: (b)(6) USARMY DOD JPEOCBD (US)

(b)(7)(C)

Sent: Tuesday, September 24, 2013 1:39 PM

To: Gerstein, Daniel

Subject: RE: Textbook Chapter (UNCLASSIFIED)

Classification: UNCLASSIFIED

Caveats: NONE

Dan,

Attached is the current draft that's going to JPEO for security review/clearance. Please return pdf file of completed/signed author's forms (p 15-20 of attached document). Thank you again for your key contributions!

V/R,

(b)(6)

(b)(7)(C)

Chief Medical Officer

Medical Countermeasure Systems (MCS)
10109 Gridley Rd
Bldg 314, 2nd floor
Fort Belvoir, VA 22060-5865

Tel (b)(6)
DSN (b)(6)
(b)(7)(C)

-----Original Message-----

From: (b)(7)(C) (b)(6) CIV USARMY DOD JPEOCBD (US)
Sent: Tuesday, September 17, 2013 10:29 AM
To: 'Gerstein, Daniel'
Subject: RE: Textbook Chapter

Dan,

At this juncture, we're good to go. Thank you for your offer-let's wait until after the coordinations and reviews are done. I sincerely appreciate your participation.

Best regards,

(b)(6)

-----Original Message-----

From: Gerstein, Daniel [mailto:(b)(6) (b)(6)]
Sent: Tuesday, September 17, 2013 10:21 AM
To: (b)(6) msn.com'
Subject: Re: Textbook Chapter

So do you need any more from me in terms of writing?

----- Original Message -----

From: (b)(6) (b)(6) CIV USARMY DOD JPEOCBD (US)
(b)(7)(C)
Sent: Tuesday, September 17, 2013 10:07 AM
To: Daniel Gerstein (b)(6) (b)(6),(b)(7)(C)

Cc: Gerstein, Daniel
Subject: RE: Textbook Chapter

Dan,

(b)(6) has sent in his additions, and (b)(6) has promised his additions by the end of this week-I've been through the draft again, made some changes, and am very pleased by how it reads. I think that the issues raised by biotechnology are addressed in the discussion of DURC.

Will send out the final draft to you, (b)(6) (b) early next week, and to MCS and JPEO for coordination and security review; after that it will go to USAMRIID for two external reviews and final edits...there will be opportunities for further revision.

Thank you for participating! The inclusion of info on US program, BWC and policy items has vastly improved the quality of this chapter.

Best regards,

(b)(6)
(b)(7)(C) MD

Chief Medical Officer
Medical Countermeasure Systems (MCS)
10109 Gridley Rd
Bldg 314, 2nd floor
Fort Belvoir, VA 22060-5865

Tel (b)(6)
DSN (b)(6)
(b)(7)(C)

-----Original Message-----

From: Daniel Gerstein [mailto:(b)(6) (b)(6)]
Sent: Monday, September 02, 2013 7:29 AM
To: (b)(7)(C) CIV USARMY DOD JPEOCBD (US); Dan Gerstein
Subject: Textbook Chapter

(b)(6)

See attached ...

Feels like we need to look at pulling out some of the detail. Also, not sure that the stuff at the end has been edited.

Are there others areas you want me to look at? I did not add anything on biotechnology although I was tempted.

Dan

Dr. Daniel M. Gerstein

From: Lacivita, Brendan
To: Boucher, Leo
Subject: RE: Book Review
Date: Wednesday, February 6, 2013 4:30:00 PM

Ok great – thanks Chip

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Boucher, Leo
Sent: Wednesday, February 06, 2013 4:29 PM
To: Lacivita, Brendan
Subject: RE: Book Review

Will be in distro tomorrow.

From: Lacivita, Brendan
Sent: Wednesday, February 06, 2013 11:53 AM
To: Boucher, Leo
Subject: RE: Book Review

Steve Richards
650 Mass Ave
Mail Stop 06550
3rd Floor

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Boucher, Leo
Sent: Wednesday, February 06, 2013 11:16 AM
To: Lacivita, Brendan
Subject: RE: Book Review

Yes, I believe so. I'd need a bldg./room #.

From: Lacivita, Brendan
Sent: Wednesday, February 06, 2013 11:12 AM
To: Boucher, Leo

Subject: RE: Book Review

Chip – do you have interagency mail pickup?

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Boucher, Leo
Sent: Wednesday, February 06, 2013 11:09 AM
To: Lacivita, Brendan
Subject: RE: Book Review

Do you happen to know where that is? I've got no secty, so will have to deliver myself....

From: Lacivita, Brendan
Sent: Wednesday, February 06, 2013 10:36 AM
To: Boucher, Leo
Subject: RE: Book Review

Hi Chip – you can forward to Steve Richards in the Privacy office.

Thanks –
Brendan

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Boucher, Leo
Sent: Monday, February 04, 2013 12:14 PM
To: Lacivita, Brendan
Subject: Book Review

Hi Brendan

Ethics brought up a hard copy book to review as part of the prepub review. It's (b)(6) manuscript "A Practical Handbook for Analytics."

It says the next review is by "Privacy". Who and where?? I'd like to get it to them.

Thx

Chip Boucher
Assistant General Counsel, Administrative Law
General Law Division
Office of the General Counsel
Department of Homeland Security
Washington, DC 20528

Telephone: (b)(6) (b)(6) Cell: (b)(6) (b)(6)

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From: Lacivita, Brendan
To: (b)(6); (b)(6) L.; Jensen, Robert
Cc: Howard, Tammy
Subject: RE: Classified Textbook Manuscript
Date: Tuesday, February 11, 2014 4:20:00 PM

Thank you (b)(6)

Tomorrow I'll figure out who exactly at CIA it needs to go to, and will work with (b)(6) to get that process going.

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: (b)(6); (b)(6) L.
Sent: Tuesday, February 11, 2014 4:18 PM
To: Lacivita, Brendan; Jensen, Robert
Cc: Howard, Tammy; (b)(6); (b)(6) L.
Subject: RE: Classified Textbook Manuscript
Importance: High

Document has been stored in the NJIC safe.

(b)(6) L. (b)(6)
Senior Adviser
Office of Public Affairs
U.S. Department of Homeland Security
Washington, D.C. 20528
(b)(6)
(b)(6) (fax)
(b)(6) (cell)
(b)(6)

From: Lacivita, Brendan
Sent: Tuesday, February 11, 2014 3:30 PM
To: Jensen, Robert
Cc: Howard, Tammy; (b)(6); (b)(6) L.
Subject: RE: Classified Textbook Manuscript

Ok – I'll prepare a clearance memo with your guidance below and give the manuscript back to Tammy.

I have clearance emails printed and in a folder in my office.

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Jensen, Robert
Sent: Tuesday, February 11, 2014 2:49 PM
To: Lacivita, Brendan
Cc: Howard, Tammy; (b)(6) (b)(6) L.
Subject: RE: Classified Textbook Manuscript

1. Please be sure to keep the email or other documentation – the actual clearance by I&A, NPPD and OGC. Want to ensure that is available should there be follow on. And where do you keep that? Is there a shared drive folder or do you print out or store using another method? Would like you to have a process for this that is accessible by others should you be out.

(b)(5)

With these actions by the author, DHS OPA clears.

Bob J.

From: Lacivita, Brendan
Sent: Tuesday, February 11, 2014 1:35 PM
To: Jensen, Robert
Cc: (b)(6) (b)(6) L.
Subject: Classified Textbook Manuscript

Bob – I have a **classified** textbook manuscript titled “Homeland Security Intelligence,” written by a former DHS contractor and CIA employee, for your review. The manuscript has been reviewed and cleared by I/A, OCSO, NPPD/CS/C, NPPD/IP, and OGC/IA. The author teaches intelligence at SUNY Albany and the manuscript is designed as a textbook for graduate students and for government

training courses. The manuscript has been under DHS review since late May.

The manuscript is locked in the NJIC safe. (b)(6) has access and can get it to you when you're ready.

Thanks –
Brendan

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov



Homeland
Security

ACTION

February 12, 2014

MEMORANDUM FOR: Central Intelligence Agency Publication Review Board

FROM: Brendan LaCivita *BL*
Deputy Director of Strategic Communications
Office of Public Affairs
Department of Homeland Security

SUBJECT: Pre-Publication Review of (b)(6) Manuscript,
"Homeland Security Intelligence"

Action

(b)(5)

For questions please contact Brendan.Lacivita (b)(6) or telephone (b)(6) (b)(6)

From: Lacivita, Brendan
To: (b)(7) (b)(6)
Subject: RE: Book contract-writing in a personal capacity:4262
Date: Wednesday, February 20, 2013 10:47:00 AM

Ok – I'll destroy it.

My email below should suffice.

Thanks -

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: (b)(7) (b)(6)
Sent: Wednesday, February 20, 2013 9:20 AM
To: Lacivita, Brendan
Subject: Re: Book contract-writing in a personal capacity:4262

Thanks Brendan. Please just shred or burn bag the manuscript. Is it possible for you to send an official letter stating that the manuscript has been cleared?

(b)(6)

Sent from my iPhone

On Feb 19, 2013, at 12:37 PM, "Lacivita, Brendan" (b)(6) (b)(6) wrote:

Hi (b)(6) - we've cleared the manuscript without comment.

Would you like back your copy?

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: (b)(6) (b)(6)
Sent: Wednesday, January 30, 2013 1:16 PM
To: Lacivita, Brendan

Subject: Fwd: Book contract-writing in a personal capacity:4262

Hi Brendan, here is my earlier correspondence with the ethics office.

Thanks,

(b)(6),(b)(7)(C)

Sent from my iPhone

Begin forwarded message:

From: (b)(6),(b)(7)(C) (b)(6)
Date: July 5, 2012, 10:40:08 AM EDT
To: (b)(6) (b)(6),(b)(7)(C)
Subject: FW: Book contract-writing in a personal capacity:4262

(b)(6),(b)(7)(C)

Chief Scientist:

Risk and Capability Analytics, Risk and Decision Analysis Directorate

Office of Policy/Strategy, Planning, Analysis and Risk (SPAR)

U.S. Department of Homeland Security

Desk: (b)(6)

Mobile: (b)(6)

(b)(6)

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From: Bennett, Steve
Sent: Tuesday, January 31, 2012 11:24 AM
To: (b)(6) (b)(6)
Subject: RE: BOOK contract-writing in a personal capacity:4262

(b)(6)

(b)(6) it looks like Maureen is kicking the decision to me, your supervisor.

Consider this written approval to proceed, as long as the writing continues to be on your own time and with your own resources.

Thanks,

--Steve

Steve Bennett, Ph.D.

Assistant Director for Risk Analytics
Office of Risk Management and Analysis
NPPD, U.S. Department of Homeland Security

(b)(6) (u)
(b)(6) (m)
(b)(6) (f)
(b)(6) (ste)

email: (b)(6) (b)(6)
HSDN/S

From: Gilmore, Maureen
Sent: Tuesday, January 31, 2012 11:14 AM
To: (b)(6)
Cc: Bennett, Steve
Subject: Book contract-writing in a personal capacity:4262

Sir:

From the ethics perspective, there is no objection to you writing and receiving compensation for a textbook in which mathematics and statistics are the primary topics subject to the conditions discussed below.

The final approval for your writing should be coordinated with your first line supervisor in finding that the writing does not conflict with your duties.

One of the ethics concerns is whether an employee is compensated for writing outside his official duties. Generally, a government employee shall not receive compensation from any source other than the government for writing that relates to the employee's official duties. See 5 C.F.R. § 2635.807(a). Writing relates to the employee's official duties if the subject deals in significant part with:

- a) any matter to which the employee presently is assigned or to which the employee had been assigned during the previous one-year period [of government employment]; or
- b) any ongoing or announced policy, program or operation of the agency.

Government employees may not engage in an outside activity that conflicts with official duties. An activity conflicts with official duties if:

- a) If it is prohibited by statute or by an agency supplemental regulation; or

b) If, under the standards set forth in §§2635.402 and 2635.502, it would require the employee's disqualification from matters so central or critical to the performance of his official duties that the employee's ability to perform the duties of his position would be materially impaired.

From the information that you provided about the book and about your official duties at DHS, it does not appear that the subject of the book relates to your official duties. Rather, the book topic is within the discipline of your previous career and education, that of a research scientist and analyst, before you joined the federal government. You advised that you began writing the book before beginning employment with the Federal government, and the book has no homeland security content, no mention of terrorism, natural disasters, or anything of the like.

Although it does not appear to me that there is a conflict in your circumstance, it is helpful if your supervisor assists in the determination whether your writing conflicts with your duties. You previously conferred with your supervisor about your writing, and he determined that your writing does not conflict with your DHS duties. I have copied him on this email to factor in the matters I discussed above in his final determination whether to find no objection to this outside writing activity.

Generally, a Government employee who is engaged in as an outside activity shall not use or permit the use of his official title or position to identify him in connection with his activity. See 5 C.F.R. § 2635.807(b). However, an employee may permit the inclusion of his title or position as one of several biographical details when such information is given to identify him in connection with his writing, provided that his title or position is given no more prominence than other significant biographical details.

Further, employees may not use government equipment in the performance of their outside activities. 5 C.F.R. § 2635.704. Also, employees may not use official government time for outside activities. 5 C.F.R. § 2635.705. You and your supervisor have advised that you have used no government resources or time in the writing of this book.

Finally, if this outside activity requires your presence during the normal government duty day, you may request annual leave. Department supervisors are not obligated to honor the request for leave, however, and may deny the request based on DHS mission necessities.

Maureen Gilmore
Deputy Ethics Official
Office of General Counsel
Department of Homeland Security

Phone: (b)(6)

Fax: (b)(6)

<http://dhsconnect.dhs.gov/org/comp/ogc/ethics/Pages/default.aspx>

Mailing address:

OGC Stop 0485

Department of Homeland Security

245 Murray Ln

Washington, DC 20528-0485

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From: Bennett, Steve

Sent: Friday, January 27, 2012 2:22 PM

To: Gilmore, Maureen; (b)(6)

Cc: Heller, Susan

Subject: RE: question about book contract-writing in a personal capacity:4262

Hi Maureen! Hope you are well – we heard at staff meeting this morning that you might be moving on from DHS – we will certainly miss you, assuming that I heard the news correctly.

As (b)(6) supervisor, I have already seen the outline, and have in fact reviewed some of the chapters. I can affirm that (b)(6) has done this on his own time with his own resources, and can additionally affirm that this work does not fall under 5 C.F.R. §2535.807.

--Steve

Steve Bennett, Ph.D.

Assistant Director for Risk Analytics
Office of Risk Management and Analysis
NPPD, U.S. Department of Homeland Security

(b)(6) (o)
(b)(6) (n)
(b)(6) (f)
(b)(6) (ste)

email: (b)(6) (b)(6)
HSDM/ST

From: Gilmore, Maureen
Sent: Friday, January 27, 2012 2:16 PM
To: (b)(6)
Cc: Bennett, Steve; Heller, Susan
Subject: RE: question about book contract-writing in a personal capacity:4262

Thanks. I should be able to get to this review early next week.

Maureen Gilmore
Deputy Ethics Official
Office of General Counsel
Department of Homeland Security
Phone: (b)(6)
Fax: (b)(6)
<http://dhsconnect.dhs.gov/org/comp/ogc/ethics/Pages/default.aspx>
Mailing address:
OGC Stop 0485
Department of Homeland Security
245 Murray Ln
Washington, DC 20528-0485

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From: (b)(6) (b)(6)
Sent: Friday, January 27, 2012 9:53 AM
To: Gilmore, Maureen
Cc: Bennett, Steve; Heller, Susan
Subject: RE: question about book contract-writing in a personal capacity:4262

That's correct, I am a career employee. I've attached a copy of my position description.

The textbook is a mathematics and statistics book; it's within the discipline of my previous career and education before I joined the federal government, that of a research scientist and analyst. In fact, I began writing the book before I started working for the Federal government. The book will have no homeland security content, no mention of terrorism, natural disasters, or anything of the like. It's different than some of the other things we've published at RMA, because those works

are related to current DHS programs (like cargo screening or risk assessments). Of course, we do not accept (nor are we offered) compensation for that type of writing.

I've attached a copy of the book's table of contents, as you requested.

Please let me know what other information will aid in your review,

(b)(6),
(b)(7)(C)

(b)(6),(b)(7)(C)

Chief Scientist:
Office of Risk Management and Analysis (RMA)
National Protection and Programs Directorate (NPPD)
U.S. Department of Homeland Security
Desk: 212.620.6421
Mobile: (b)(6)
(b)(6)

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From: Gilmore, Maureen
Sent: Friday, January 27, 2012 8:26 AM
To: (b)(6)
Cc: Bennett, Steve; Heller, Susan
Subject: question about book contract-writing in a personal capacity:4262

Sir:

I am assuming that you are a career employee. If not, please advise.

Ethics and security are often the most obvious offices with which you will need to coordinate. However, in my past experience with RMA authors, the writing may be about programs that might have an S&I or NPPD or another component's interest, so you might have to coordinate with someone on those staffs also. Much of what you are asking is fact specific about your particular writing, so it may take a few emails to get all the salient facts to ensure complete review.

The key for the ethics review is that you will be receiving payment for writing and your writing may not conflict with your official DHS duties as the regulations provide. So, please provide me with a copy of your DHS position description and an outline of what you will be writing about.

Your supervisor, in coordination with ethics, will determine if your proposed writing fits into a category per 5 C.F.R. §2535.807, for which you may not accept payment:

§ 2635.807 Teaching, speaking and writing.

[<image001.gif>top](#)

(a) *Compensation for teaching, speaking or writing.* Except as permitted by paragraph (a)(3) of this section, an employee, including a special Government employee, shall not receive compensation from any source other than the Government for teaching, speaking or writing that relates to the employee's official duties.

(1) *Relationship to other limitations on receipt of compensation.* The compensation prohibition contained in this section is in addition to any other limitation on receipt of compensation set forth in this chapter, including:

- (i) The requirement contained in §2636.307 of this chapter that covered noncareer employees obtain advance authorization before engaging in teaching for compensation; and
- (ii) The prohibitions and limitations in §2635.804 and in §2636.304 of this chapter on receipt of outside earned income applicable to certain Presidential appointees and to other covered noncareer employees.

(2) *Definitions.* For purposes of this paragraph:

- (i) Teaching, speaking or writing relates to the employee's official duties if:
 - (A) The activity is undertaken as part of the employee's official duties;
 - (B) The circumstances indicate that the invitation to engage in the activity was extended to the employee primarily because of his official position rather than his expertise on the particular subject matter;
 - (C) The invitation to engage in the activity or the offer of compensation for the activity was extended to the employee, directly or indirectly, by a person who has interests that may be affected substantially by performance or nonperformance of the employee's official duties;
 - (D) The information conveyed through the activity draws substantially on ideas or official data that are nonpublic information as defined in §2635.703(b); or
 - (E) Except as provided in paragraph (a)(2)(i)(E)(4) of this section, the subject of the activity deals in significant part with:
 - (1) Any matter to which the employee presently is assigned or to which the employee had been assigned during the previous one-year period;
 - (2) Any ongoing or announced policy, program or operation of the agency; or
 - (3) In the case of a noncareer employee as defined in §2636.303(a) of this chapter, the general subject matter area, industry, or economic sector primarily affected by the programs and operations of his agency.
- (4) The restrictions in paragraphs (a)(2)(i)(E) (2) and (3) of this section do not apply to a special Government employee. The restriction in paragraph (a)(2)(i)(E)(1) of this section applies only during the current appointment of a special Government employee; except that if the special Government employee has not served or is not expected to serve for more than 60 days during the first year or any subsequent one year period of that appointment, the restriction applies only to particular matters involving specific parties in which the special Government employee has participated or is participating personally and substantially.

Note: Section 2635.807(a)(2)(i)(E) does not preclude an employee, other than a covered noncareer employee, from receiving compensation for teaching, speaking or writing on a subject within the employee's discipline or inherent area of expertise based on his educational background or experience even though the teaching, speaking or writing deals generally with a subject within the agency's areas of responsibility.

Thanks.

Maureen
Maureen Gilmore
Deputy Ethics Official
Office of General Counsel
Department of Homeland Security
Phone: (b)(6)
Fax: (b)(6)

<http://dhsconnect.dhs.gov/org/comp/ogc/ethics/Pages/default.aspx>

Mailing address:
OGC Stop 0485
Department of Homeland Security
245 Murray Ln
Washington, DC 20528-0485

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From: (b)(6)
Sent: Thursday, January 26, 2012 2:59 PM
To: Gilmore, Maureen
Cc: Bennett, Steve
Subject: question about book contract

Hi Maureen,

I am a DHS employee working in the Office of Risk Management and Analysis, under Steve Bennett. I would like to accept a contract to write a textbook, which I describe below, but I understand the need to get ethics office approval before I sign the contract.

The textbook will be on introductory analytics and published by a company called Taylor & Francis (<http://www.taylorandfrancis.com/>). The contract has not yet been signed, and is attached to this email. I'm working on the book only when I am not on duty and without using any government resources. I have no engagement with Taylor and Francis as part of my official duties. Analytics is a topic that I specialized in before I started working for the federal government, and the book will not contain any information on the programs or operations of DHS (obviously).

The book will contain only a brief mention of DHS in my biographical information. You will see in Section 11 of the contract that I and the

publisher have agreed to follow DHS guidelines regarding authorship. The Publisher understands that I am completing the book in my private, rather than official, capacity.

I also understand that because I hold an SCI clearance I will need to pass a copy of the book, when a draft is complete, to the security office for review.

I also know to report the income from the book, if there actually is any, on the OGE 450 form.

What is the process for review? Do you need any more information?

Thanks very much for your help,

(b)(6),(b)(7)(C)

(b)(6),(b)(7)(C)

Chief Scientist
Office of Risk Management and Analysis (RMA)
National Protection and Programs Directorate (NPPD)
U.S. Department of Homeland Security

Desk: (b)(6)

Mobile: (b)(6)

(b)(6)

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From: Lacivita, Brendan
To: Slepetz, Richell (CTR)
Cc: Nichols, Kate
Subject: RE: Clearance requested for attached journal article
Date: Thursday, November 29, 2012 11:10:00 AM

You bet

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Slepetz, Richell (CTR)
Sent: Thursday, November 29, 2012 11:04 AM
To: Lacivita, Brendan
Cc: Nichols, Kate
Subject: RE: Clearance requested for attached journal article

Ah! Very good. Thank you for the update Brendan.

Regards,

Richell

Ms. Richell V. Slepetz

Communications Support Contractor
Department of Homeland Security
Office of Health Affairs

richell.slepetz (b)(6)
Office (b)(6) (b)(6)
Cell (b)(6)

From: Lacivita, Brendan
Sent: Thursday, November 29, 2012 10:59 AM
To: Slepetz, Richell (CTR)
Subject: RE: Clearance requested for attached journal article

It's one of the two still with the press office as described in my email below.

Brendan I. LaCivita
Deputy Director of Strategic Communications

Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Slepetz, Richell (CTR)
Sent: Thursday, November 29, 2012 10:57 AM
To: Lacivita, Brendan
Subject: RE: Clearance requested for attached journal article

Yes, this is (b)(6) article (there were several authors for this one in addition to (b)(6))

Ms. Richell V. Slepetz

Communications Support Contractor
Department of Homeland Security
Office of Health Affairs
richell.slepetz (b)(6)
Office (b)(6) (b)(6)
Cell (b)(6)

From: Lacivita, Brendan
Sent: Thursday, November 29, 2012 10:56 AM
To: Slepetz, Richell (CTR)
Subject: RE: Clearance requested for attached journal article

Is this the (b)(6) (b)(6) article?

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Slepetz, Richell (CTR)
Sent: Thursday, November 29, 2012 9:44 AM
To: Lacivita, Brendan
Subject: RE: Clearance requested for attached journal article

Hi there – I just reached out to Suki who originally submitted this one back in August and she said that you and she had discussed this one several times and the latest status before she left OHA was that the article was undergoing legal review but that you were checking in about once a week with them to usher it through the review process. Is this ringing any bells? Since (b)(6) left, I am trying to pick up the pieces and make sure nothing falls through the cracks.

Thanks Brendan!!

Richell

Ms. Richell V. Slepetz

Communications Support Contractor
Department of Homeland Security
Office of Health Affairs

richell.slepetz (b)(6)

Office (b)(6) (b)(6)

Cell (b)(6)

From: Lacivita, Brendan
Sent: Thursday, November 29, 2012 9:12 AM
To: Slepetz, Richell (CTR)
Subject: RE: Clearance requested for attached journal article

I've not seen this article so it more than likely is not with (b)(6),(b)(7)(C)

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Slepetz, Richell (CTR)
Sent: Thursday, November 29, 2012 9:00 AM
To: Lacivita, Brendan
Subject: RE: Clearance requested for attached journal article

Hello Brendan-

I was actually inquiring about an article for Article for the CDC Emerging Infectious Diseases Journal called A (b)(4)

(b)(4)

Is this article also with (b)(6),(b)(7)(C)

Thanks-

Richell

Ms. Richell V. Slepetz

Communications Support Contractor
Department of Homeland Security
Office of Health Affairs

(b)(6) (b)(6)
Office (b)(6)
Cell (/)

From: Lacivita, Brendan
Sent: Wednesday, November 28, 2012 3:36 PM
To: Slepetz, Richell (CTR)
Subject: FW: Clearance requested for attached journal article

Richell – is the attached the article you referenced in your VM?

Also, the press office is reviewing two OHA articles: an article on mental health resiliency for FMS personnel, and an article on CDC emerging infectious diseases. Both were submitted to the press office in mid-August.

I received a note from the press office roughly two weeks ago that they still were under review. I strongly encourage you to contact Matt Chandler and/or Heather Wong for status updates on those two articles.

Thanks –
Brendan

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: (b)(6),(b)(7)(C)
Sent: Wednesday, November 14, 2012 3:50 PM
To: Lacivita, Brendan
Cc: Nichols, Kate; Ignacio, Joselito CAPT
Subject: Clearance requested for attached journal article

Hi Brendan,

I write to submit a new article for OPA clearance (see attachment). This article, focusing on the

protection of subway riders from a chemical attack, is slated for publication in *CBRNE World*. It has full OHA clearance. What's more, it has been cleared by OGC Ethics (Troy Byers), the Office of Procurement Operations (Tisha Blue), and the Office of the Chief Security Officer (Jemel Richardson).

This piece may seem vaguely familiar to you, so let me give you the following background: This is a new article on the same topic as the *Domestic Preparedness* piece that OPA cleared in March. Additionally, you may remember that OPA cleared the *Domestic Preparedness* piece again in August, this second clearance being for republication in *CBRNE World*. However, the *CBRNE World* editor changed his mind and ultimately concluded that he could not accept an article that had been published elsewhere. He nevertheless continued to express interest in the topic and asked Capt. Ignacio to prepare an original piece for *CBRNE World*, which Capt. Ignacio has done. The attached document is the new/original piece.

Capt. Ignacio stands to receive no compensation whatsoever in return for the forthcoming publication of the article in *CBRNE World*. Finally, the piece includes a disclaimer, per guidance from Troy Byers.

If you have any questions, please do your best to provide them to me by next Wednesday, if possible, as I have accepted a position with HHS and will be leaving DHS.

Thank you,

(b)(6)

From: Lacivita, Brendan
To: Slepetz, Richell (CTR)
Cc: Nichols, Kate
Subject: RE: Clearance requested for attached journal article
Date: Thursday, November 29, 2012 11:10:00 AM

You bet

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Slepetz, Richell (CTR)
Sent: Thursday, November 29, 2012 11:04 AM
To: Lacivita, Brendan
Cc: Nichols, Kate
Subject: RE: Clearance requested for attached journal article

Ah! Very good. Thank you for the update Brendan.

Regards,

Richell

Ms. Richell V. Slepetz
Communications Support Contractor
Department of Homeland Security
Office of Health Affairs

(b)(6) (b)(6)
Office (b)(6)
Cell (7) (b)(6)

From: Lacivita, Brendan
Sent: Thursday, November 29, 2012 10:59 AM
To: Slepetz, Richell (CTR)
Subject: RE: Clearance requested for attached journal article

It's one of the two still with the press office as described in my email below.

Brendan I. LaCivita
Deputy Director of Strategic Communications

Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Slepetz, Richell (CTR)
Sent: Thursday, November 29, 2012 10:57 AM
To: Lacivita, Brendan
Subject: RE: Clearance requested for attached journal article

Yes, this is (b)(6) article (there were several authors for this one in addition to (b)(6))

Ms. Richell V. Slepetz

Communications Support Contractor
Department of Homeland Security
Office of Health Affairs
(b)(6) (b)(6)
Office (b)(6)
Cell (7) (b)(6)

From: Lacivita, Brendan
Sent: Thursday, November 29, 2012 10:56 AM
To: Slepetz, Richell (CTR)
Subject: RE: Clearance requested for attached journal article

Is this the (b)(6) article?

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Slepetz, Richell (CTR)
Sent: Thursday, November 29, 2012 9:44 AM
To: Lacivita, Brendan
Subject: RE: Clearance requested for attached journal article

Hi there – I just reached out to (b)(6) who originally submitted this one back in August and she said that you and she had discussed this one several times and the latest status before she left OHA was that the article was undergoing legal review but that you were checking in about once a week with them to usher it through the review process. Is this ringing any bells? Since (b)(6) left, I am trying to pick up the pieces and make sure nothing falls through the cracks.

Thanks Brendan!!

Richell

Ms. Richell V. Slepetz

Communications Support Contractor
Department of Homeland Security
Office of Health Affairs

(b)(6) (b)(6)

Office (b)(6)

Cell (7)

From: Lacivita, Brendan
Sent: Thursday, November 29, 2012 9:12 AM
To: Slepetz, Richell (CTR)
Subject: RE: Clearance requested for attached journal article

I've not seen this article so it more than likely is not with (b)(6),(b)(7)(C)

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security

(b)(6) (b)(6)

www.DHS.gov

From: Slepetz, Richell (CTR)
Sent: Thursday, November 29, 2012 9:00 AM
To: Lacivita, Brendan
Subject: RE: Clearance requested for attached journal article

Hello Brendan-

I was actually inquiring about an article for Article for the CDC Emerging Infectious Diseases Journal called A (b)(4)

(b)(4)

Is this article also with (b)(6),(b)(7)(C)

Thanks-

Richell

Ms. Richell V. Slepetz

Communications Support Contractor
Department of Homeland Security
Office of Health Affairs

(b)(6) (b)(6)
Office (b)(6)
Cell ()

From: Lacivita, Brendan
Sent: Wednesday, November 28, 2012 3:36 PM
To: Slepetz, Richell (CTR)
Subject: FW: Clearance requested for attached journal article

Richell – is the attached the article you referenced in your VM?

Also, the press office is reviewing two OHA articles: an article on mental health resiliency for FMS personnel, and an article on CDC emerging infectious diseases. Both were submitted to the press office in mid-August.

I received a note from the press office roughly two weeks ago that they still were under review. I strongly encourage you to contact Matt Chandler and/or Heather Wong for status updates on those two articles.

Thanks –
Brendan

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security

(b)(6) (b)(6)

www.DHS.gov

From: (b)(6)
Sent: Wednesday, November 14, 2012 3:50 PM
To: Lacivita, Brendan
Cc: Nichols, Kate; Ignacio, Joselito CAPT
Subject: Clearance requested for attached journal article

Hi Brendan,

I write to submit a new article for OPA clearance (see attachment). This article, focusing on the

protection of subway riders from a chemical attack, is slated for publication in *CBRNE World*. It has full OHA clearance. What's more, it has been cleared by OGC Ethics (Troy Byers), the Office of Procurement Operations (Tisha Blue), and the Office of the Chief Security Officer (Jemel Richardson).

This piece may seem vaguely familiar to you, so let me give you the following background: This is a new article on the same topic as the *Domestic Preparedness* piece that OPA cleared in March. Additionally, you may remember that OPA cleared the *Domestic Preparedness* piece again in August, this second clearance being for republication in *CBRNE World*. However, the *CBRNE World* editor changed his mind and ultimately concluded that he could not accept an article that had been published elsewhere. He nevertheless continued to express interest in the topic and asked Capt. Ignacio to prepare an original piece for *CBRNE World*, which Capt. Ignacio has done. The attached document is the new/original piece.

(b)(6) stands to receive no compensation whatsoever in return for the forthcoming publication of the article in *CBRNE World*. Finally, the piece includes a disclaimer, per guidance from Troy Byers.

If you have any questions, please do your best to provide them to me by next Wednesday, if possible, as I have accepted a position with HHS and will be leaving DHS.

Thank you,

(b)(6)

From: Lacivita, Brendan
To: Wong, Heather
Subject: FW: Journal Article Submitted for Clearance
Date: Tuesday, September 25, 2012 10:07:00 AM
Attachments: Article on Mental Health Resiliency for EMS Personnel.docx
Importance: High

Heather – here's the article I mentioned this morning.

Thanks

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Lacivita, Brendan
Sent: Monday, August 27, 2012 1:01 PM
To: Boogaard, Peter
Cc: Wong, Heather
Subject: FW: Journal Article Submitted for Clearance

Hey Pete – (b)(6) in OHA sent this to you for review earlier this month.

Any update?

Thanks –
Brendan

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Lacivita, Brendan
Sent: Friday, August 03, 2012 3:41 PM
To: Paschall, Robert; Heller, Susan; Mosley, Ferne
Cc: (b)(6)
Subject: FW: Journal Article Submitted for Clearance
Importance: High

Hi folks – attached for your review is an article written by a current DHS employee. The article was written in a professional capacity and the author will not be compensated. The article was cleared by (b)(6) and is scheduled to publish in *FMS Insider* next month. The article doesn't contain any

From: Lacivita, Brendan
To: Wong, Heather
Subject: FW: Journal Article Submitted for Clearance
Date: Tuesday, September 25, 2012 10:07:00 AM
Attachments: Article on Mental Health Resiliency for EMS Personnel.docx
Importance: High

Heather – here's the article I mentioned this morning.

Thanks

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Lacivita, Brendan
Sent: Monday, August 27, 2012 1:01 PM
To: Boogaard, Peter
Cc: Wong, Heather
Subject: FW: Journal Article Submitted for Clearance

Hey Pete – (b)(6) in OHA sent this to you for review earlier this month.

Any update?

Thanks –
Brendan

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Lacivita, Brendan
Sent: Friday, August 03, 2012 3:41 PM
To: Paschall, Robert; Heller, Susan; Mosley, Ferne
Cc: (b)(6)
Subject: FW: Journal Article Submitted for Clearance
Importance: High

Hi folks – attached for your review is an article written by a current DHS employee. The article was written in a professional capacity and the author will not be compensated. The article was cleared by (b)(6), and is scheduled to publish in *FMS Insider* next month. The article doesn't contain any

sensitive or confidential information.

Let me know if you have any questions.

Thanks –
Brendan

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: (b)(6)
To: Boogaard, Peter
Cc: Baz, Suki; Lacivita, Brendan
Subject: RE: Please Review: Mental Health Resiliency for EMS Personnel
Date: Wednesday, September 26, 2012 4:08:37 PM
Attachments: Article on Mental Health Resiliency for EMS Personnel.docx

Pete,

Any changes?

Thanx,

(b)(6)

From: (b)(6)
Sent: Monday, September 24, 2012 3:15 PM
To: Boogaard, Peter
Cc: Baz, Suki; Lacivita, Brendan
Subject: Please Review: Mental Health Resiliency for EMS Personnel

Hi Pete,

Do you or anyone else in the press office have any changes? (See attachment.)

Thanks!

(b)(6)

From: Lacivita, Brendan
Sent: Monday, September 24, 2012 12:40 PM
To: Baz, Suki
Cc: (b)(6)
Subject: RE: CDC (b)(6) (b)(6) Article

Hi Suki – it hasn't, and neither has the (b)(6) (b)(6) article.

It appears the (b)(6) article still is with OGC, and the (b)(6) article still is with the press office. Feel free to contact Pete in the press office re the (b)(6) article. I'll contact OGC again for an update on the (b)(6) article.

Thanks –
Brendan

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Baz, Suki
Sent: Monday, September 24, 2012 10:38 AM
To: Lacivita, Brendan
Subject: CDC (b)(6) (b)(6) Article

Hi Brendan,

I am still going through emails so perhaps you've responded, but was wondering if the (b)(6) (b)(6) Emerging Infectious Diseases Journal article is approved, or what the status is?

Thanks!

s

Suki Baz

Public Affairs Specialist
DHS Office of Health Affairs

(b)(6) office

(b)(6) bb

From: Lacivita, Brendan
To: Baz, Suki
Cc: Bartolucci, Noah
Subject: RE: CDC (b) (6) Article
Date: Monday, September 24, 2012 12:40:00 PM

Hi Suki – it hasn't, and neither has the (b)(6) article.

It appears the (b)(6) article still is with OGC, and the (b)(6) article still is with the press office. Feel free to contact Pete in the press office re the (b)(6) article. I'll contact OGC again for an update on the (b)(6) article.

Thanks –
Brendan

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Baz, Suki
Sent: Monday, September 24, 2012 10:38 AM
To: Lacivita, Brendan
Subject: CDC (b) (6) Article

Hi Brendan,

I am still going through emails so perhaps you've responded, but was wondering if the (b)(6) (b)(6) Emerging Infectious Diseases Journal article is approved, or what the status is?

Thanks!
s

Suki Baz
Public Affairs Specialist
DHS Office of Health Affairs
(b)(6) office
(b)(6) bb

From: Lacivita, Brendan
To: Johnson, Charlie
Subject: RE: Cleared- Article for the CDC Emerging Infectious Diseases Journal (b)(6)
Date: Friday, September 7, 2012 12:20:00 PM

Ok – sounds good

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Johnson, Charlie
Sent: Friday, September 07, 2012 12:19 PM
To: Lacivita, Brendan; Paschall, Robert; Wong, Heather; Chandler, Matthew
Cc: Boucher, Leo
Subject: Re: Cleared- Article for the CDC Emerging Infectious Diseases Journal (b)(6) (b)(6)

Thank you for your patience. We will get back to you as soon as we can.

From: Lacivita, Brendan
Sent: Friday, September 07, 2012 12:16 PM
To: Johnson, Charlie; Paschall, Robert; Wong, Heather; Chandler, Matthew
Cc: Boucher, Leo
Subject: RE: Cleared- Article for the CDC Emerging Infectious Diseases Journal (b)(6)

Thanks Charlie – this is the first I've heard from OGC.

Please let me know when this clears – we're approaching a month.

Brendan

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Johnson, Charlie
Sent: Friday, September 07, 2012 12:12 PM
To: Lacivita, Brendan; Paschall, Robert; Wong, Heather; Chandler, Matthew
Cc: Boucher, Leo
Subject: Re: Cleared- Article for the CDC Emerging Infectious Diseases Journal (b)(6) (b)(6)

Good afternoon, Brendan,

Silence is not concurrence from OGC.

Charlie

From: Lacivita, Brendan

Sent: Friday, September 07, 2012 11:34 AM

To: Paschall, Robert; Wong, Heather; Chandler, Matthew

Cc: Johnson, Charlie

Subject: RE: Cleared- Article for the CDC Emerging Infectious Diseases Journal (b) (b)(6)

Hi everyone – I originally sent this article to be reviewed on 8/15, but because I haven't received any comments will assume it's fine and will clear for publication.

Thanks –

Brendan

Brendan I. LaCivita

Deputy Director of Strategic Communications

Office of Public Affairs

U.S. Department of Homeland Security

(b)(6) (b)(6)

www.DHS.gov

From: Lacivita, Brendan

Sent: Friday, August 24, 2012 12:01 PM

To: Paschall, Robert; Wong, Heather; Chandler, Matthew

Cc: Johnson, Charlie

Subject: RE: Cleared- Article for the CDC Emerging Infectious Diseases Journal (b) (b)(6)

Hi folks – any movement on this OHA article?

Just so folks know – there are two OHA articles under Department review.

Thanks -

Brendan I. LaCivita

Deputy Director of Strategic Communications

Office of Public Affairs

U.S. Department of Homeland Security

(b)(6) (b)(6)

www.DHS.gov

From: Lacivita, Brendan

Sent: Wednesday, August 15, 2012 9:55 AM

To: Heller, Susan; Mosley, Ferne; Paschall, Robert; Wong, Heather; Chandler, Matthew

Subject: FW: Cleared- Article for the CDC Emerging Infectious Diseases Journal (b) (b)(6)

Hi folks – attached for review is an article co-authored by OHA for publication in the CDC Emerging

Infectious Diseases Journal.

The article doesn't reference any sensitive DHS information and has been cleared internally by Krystal Jordan and/or Charlie Kels in OGC.

OHA would like to get the article to the publisher within the next few days.

Thanks –
Brendan

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security

(b)(6) (b)(6)

www.DHS.gov

From: Heller, Susan
To: Lacivita, Brendan
Cc: Mosley, Ferne; Paschall, Robert
Subject: FW: Cleared- Article for the CDC Emerging Infectious Diseases Journal (b) (6)
Date: Wednesday, August 15, 2012 10:48:47 AM
Attachments: IOM Report Paper Rubin 07-30-12 v2.docx

Brendan – it's not clear that whether this is an official government article or one that was written in the author's personal capacities. If it is an official article, then the disclaimer that this represents the author's personal views should be deleted. As this is a combined effort across several agencies, there may be different policies in those agencies about scientific articles. We will need to understand those requirements, however, the present disclaimer language is problematic.

Susan

Susan J. Heller
Alternate Agency Ethics Official
Ethics Division
NAC, Bldg. 1, Room 01-021A
254 Murray Lane, SW
Mail Stop 0485
Washington, DC 20528-0485
Voice: (b) (6) (b) (6)
Fax: (b) (6) 282-9099

Confidentiality Notice and Warning

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From: Lacivita, Brendan
Sent: Wednesday, August 15, 2012 9:55 AM
To: Heller, Susan; Mosley, Ferne; Paschall, Robert; Wong, Heather; Chandler, Matthew
Subject: FW: Cleared- Article for the CDC Emerging Infectious Diseases Journal (b) (6) (b) (6)

HI folks – attached for review is an article co-authored by OHA for publication in the CDC Emerging Infectious Diseases Journal.

The article doesn't reference any sensitive DHS information and has been cleared internally by Krystal Jordan and/or Charlie Kels in OGC.

OHA would like to get the article to the publisher within the next few days.

Thanks –

Brendan

Brendan I. LaCivita

Deputy Director of Strategic Communications

Office of Public Affairs

U.S. Department of Homeland Security

(b)(6) (b)(6)

www.DHS.gov

From: Baz, Suki
To: Lacivita, Brendan
Cc: (b)(6) (b)(6)
Subject: FW: OHA Article - (b)(6) (b)(6)
Date: Thursday, September 6, 2012 7:30:04 AM

Hi Brendan—Ethics has approved the article as-is with the disclaimer included. Is there any other review required, or can we go ahead and publish the piece?

Thanks,
Suki

Suki Baz
Public Affairs Specialist
DHHS Office of Health Affairs
(b)(6) office
(b)(6) bb

From: Byers, Troy
Sent: Thursday, September 06, 2012 7:29 AM
To: Baz, Suki
Subject: RE: OHA Article - (b)(6) (b)(6)

Thanks Suki. Feel free to let me know if you have any other questions.

Troy
(b)(6)

From: Baz, Suki
Sent: Thursday, September 06, 2012 6:06 AM
To: Byers, Troy
Subject: Re: OHA Article - (b)(6) (b)(6)

Thank you, Troy. None of the authors, including (b)(6) will be compensated for this article. Therefore we will include the disclaimer below and move forward.

Thanks,
Suki

Suki Baz
Public Affairs Specialist
DHHS Office of Health Affairs
(b)(6) office
(b)(6) bb

From: Byers, Troy
Sent: Wednesday, September 05, 2012 04:59 PM
To: Baz, Suki
Subject: OHA Article - (b)(6) (b)(6)

Suki,

Susan Heller asked me to address this issue. I followed up with a few questions I had about this and have determined that this is an activity in which Mr. (b)(6) engaged in his personal capacity. Is Mr. (b)(6) being compensated for this? If so, I'll need to have additional discussion with him. If not, he may publish the article and the disclaimer provided (*The findings and conclusions in this report are those of the authors and do not necessarily represent the official position of their Agencies*) is sufficient.

Troy D. Byers, Esq.

Deputy Ethics Official

Ethics Division

Office of the General Counsel

Department of Homeland Security

Phone: (b)(6)

Fax: (b)(6)

Blackberry: (b)(6)

Mailing address:

OGC Stop 0485

Department of Homeland Security

245 Murray Ln

Washington, DC 20528-0485

This communication, along with any attachments, is covered by federal and state law governing electronic communications and may contain confidential and legally privileged information. If the reader of this message is not the intended recipient, you are hereby notified that any dissemination, distribution, use or copying of this message is strictly prohibited. If you have received this in error, please reply immediately to the sender and delete this message. Thank you.

From: Lacivita, Brendan
To: (b)(7)(C)
Cc: Davis, Claris
Subject: RE: Pre-Pub Review - Dan's Textbook Chapter (UNCLASSIFIED)
Date: Tuesday, November 12, 2013 2:54:00 PM

Great – thank you (b)(6)

Brendan

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: (b)(6) (b)(7)(C)
Sent: Tuesday, November 12, 2013 7:51 AM
To: Lacivita, Brendan
Cc: Davis, Claris
Subject: RE: Pre-Pub Review - Dan's Textbook Chapter (UNCLASSIFIED)

Brendan,

I just spoke with the Assistant Deputy Under Secretary for Analysis and I&A clears on the Subject Document for publication. Please let me know if you need anything else.

r/

(b)(6)

From: Lacivita, Brendan
Sent: Friday, November 08, 2013 2:40 PM
To: Waters, Mike; (b)(6) (b)(7)(C) Boucher, Leo
Subject: RE: Pre-Pub Review - Dan's Textbook Chapter (UNCLASSIFIED)
Importance: High

Gentlemen – have you had an opportunity to review the book chapter I forwarded on 10/24? OCSO and various program offices have cleared – I just need your clearance before moving forward. The copy goes to the printer 11/27.

Thanks –
Brendan

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs

U.S. Department of Homeland Security

(b)(6) (b)(6)

www.DHS.gov

From: Lacivita, Brendan

Sent: Thursday, October 24, 2013 2:49 PM

To: Waters, Mike; Lott, Thomas; Young, John; (b)(6) (b)(7)(C) Boucher, Leo

Subject: Pre-Pub Review - Dan's Textbook Chapter (UNCLASSIFIED)

Gentlemen - attached for your review is an unclassified draft chapter for a book in which Dan Gerstein from S/T contributed. Dan's contributions were done in a **personal** capacity so please review from the appropriate context. Further details about the book are provided in the email thread below.

Mike - S/T would like to know if it's OK for Dan to use his DHS title in the author credits.

Thanks -
Brendan

Brendan I. LaCivita

Deputy Director of Strategic Communications

Office of Public Affairs

U.S. Department of Homeland Security

(b)(6) (b)(6)

www.DHS.gov

-----Original Message-----

From: Abbott, Catherine

Sent: Friday, October 18, 2013 9:48 AM

To: Lacivita, Brendan

Subject: FW: Dan's Textbook Chapter (UNCLASSIFIED)

Brendan,

I had sent this over before the shut down, but I'm afraid it might have gotten lost in the shuffle. Will you please give me a call when you have a moment. Thanks. Cathy

Catherine Ellen Abbott

Director, Office of Corporate Communications DHS Science and Technology Directorate

W: (b)(6) BB (b)(6)

-----Original Message-----

From: McGeorge, Laurel
Sent: Thursday, September 26, 2013 11:25 AM
To: Abbott, Catherine
Subject: Dan's Textbook Chapter (UNCLASSIFIED)

Hey Cathy,

From an OPA perspective, can you take a look at the attached and let me know if there is any issue with Dan listing his DHS S&T title as the one of the authors for Chapter 1 of the book titled Medical Aspects of Biological Warfare 2nd Edition (or any issues period). Chapter 1 is attached.

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Many thanks!

Laurel McGeorge
Executive Assistant for the Deputy Under Secretary Science and Technology Directorate Department of Homeland Security

(b)(6)

10-215

-----Original Message-----

From: Gerstein, Daniel
Sent: Wednesday, September 25, 2013 7:29 AM
To: McGeorge, Laurel
Subject: FW: Textbook Chapter (UNCLASSIFIED)

Dan

Daniel M. Gerstein, PhD
Under Secretary (Acting), Science & Technology Directorate U.S. Department of Homeland Security,
Washington, D.C. 20528

W: (b)(6)

C: (b)(6)

-----Original Message-----

From: (b)(7)(C) W CIV USARMY DOD JPEOCBD (US)

(b)(7)(C)

Sent: Tuesday, September 24, 2013 1:39 PM

To: Gerstein, Daniel
Subject: RE: Textbook Chapter (UNCLASSIFIED)

Classification: UNCLASSIFIED
Caveats: NONE

Dan,

Attached is the current draft that's going to JPEO for security review/clearance. Please return pdf file of completed/signed author's forms (p 15-20 of attached document). Thank you again for your key contributions!

V/R,

(b)(6)

(b)(6)

Chief Medical Officer
Medical Countermeasure Systems (MCS)
10109 Gridley Rd
Bldg 314, 2nd floor
Fort Belvoir, VA 22060-5865

Tel (b)(6)

DSN 564-1019

(b)(7)(C)

-----Original Message-----

From: (b)(7)(C) CIV USARMY DOD JPEOCBD (US)
Sent: Tuesday, September 17, 2013 10:29 AM
To: 'Gerstein, Daniel'
Subject: RE: Textbook Chapter

Dan,

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Best regards,

(b)(6)

-----Original Message-----

From: Gerstein, Daniel [mailto:(b)(6)] (b)(6)

Sent: Tuesday, September 17, 2013 10:21 AM

To: (b)(7)(C)

Subject: Re: Textbook Chapter

So do you need any more from me in terms of writing?

----- Original Message -----

From: (b)(6)

(b)(7)(C)

Sent: Tuesday, September 17, 2013 10:07 AM

To: Daniel Gerstein (b)(6) (b)(6)

Cc: Gerstein, Daniel

Subject: RE: Textbook Chapter

Dan,

Jim (b)(7)(C) has sent in his additions, and (b)(6) has promised his additions by the end of this week-I've been through the draft again, made some changes, and am very pleased by how it reads. I think that the issues raised by biotechnology are addressed in the discussion of DURC.

Will send out the final draft to you, Jim and (b) early next week, and to MCS and JPEO for coordination and security review; after that it will go to USAMRIID for two external reviews and final edits...there will be opportunities for further revision.

Thank you for participating! The inclusion of info on US program, BWC and policy items has vastly improved the quality of this chapter.

Best regards,

(b)(6)

(b)(7)(C)

Chief Medical Officer

Medical Countermeasure Systems (MCS)

10109 Gridley Rd
Bldg 314, 2nd floor
Fort Belvoir, VA 22060-5865

Tel: (b)(6)

DSN: (b)(6)

(b)(7)(C)

-----Original Message-----

From: Daniel Gerstein [mailto:(b)(6)] (b)(6)

Sent: Monday, September 02, 2013 7:29 AM

To: (b)(7)(C) George W CIV USARMY DOD JPEOCBD (US); Dan Gerstein

Subject: Textbook Chapter

(b)(6)

See attached ...

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Are there others areas you want me to look at? I did not add anything on biotechnology although I was tempted.

Dan

Dr. Daniel M. Gerstein

From: Lacivita, Brendan
To: Boucher, Leo
Subject: RE: Pre-Pub Review - Dan's Textbook Chapter (UNCLASSIFIED)
Date: Tuesday, November 12, 2013 2:55:00 PM

Ok – thank you Chip.

Brendan

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Boucher, Leo
Sent: Tuesday, November 12, 2013 7:52 AM
To: Lacivita, Brendan; Waters, Mike; Lott, Thomas; Young, John; (b) (b)(7)(C)
Subject: RE: Pre-Pub Review - Dan's Textbook Chapter (UNCLASSIFIED)

Ad law reviewed and has no comments.

Chip Boucher
Assistant General Counsel, Administrative Law Branch
General Law Division
Office of the General Counsel
Department of Homeland Security
Washington, DC 20528
Telephone: (b)(6) (b)(6) Cell: (b)(6) (b)(6)

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From: Lacivita, Brendan
Sent: Thursday, October 24, 2013 2:49 PM
To: Waters, Mike; Lott, Thomas; Young, John; (b) (b)(7)(C) Boucher, Leo
Subject: Pre-Pub Review - Dan's Textbook Chapter (UNCLASSIFIED)

Gentlemen - attached for your review is an unclassified draft chapter for a book in which Dan Gerstein from S/T contributed. Dan's contributions were done in a **personal** capacity so please review from the appropriate context. Further details about the book are provided in the email thread below.

Mike - S/T would like to know if it's OK for Dan to use his DHS title in the author credits.

Thanks -
Brendan

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

-----Original Message-----

From: Abbott, Catherine
Sent: Friday, October 18, 2013 9:48 AM
To: Lacivita, Brendan
Subject: FW: Dan's Textbook Chapter (UNCLASSIFIED)

Brendan,

I had sent this over before the shut down, but I'm afraid it might have gotten lost in the shuffle. Will you please give me a call when you have a moment. Thanks. Cathy

Catherine Ellen Abbott
Director, Office of Corporate Communications DHS Science and Technology Directorate
W: (b)(6) BB (b)(6)

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From: McGeorge, Laurel
Sent: Thursday, September 26, 2013 11:25 AM
To: Abbott, Catherine
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Executive Assistant for the Deputy Under Secretary Science and Technology Directorate Department of Homeland Security

(b)(6)

10-215

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Sent: Wednesday, September 25, 2013 7:29 AM

To: McGeorge, Laurel

Subject: FW: Textbook Chapter (UNCLASSIFIED)

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Daniel M. Gerstein, PhD

Under Secretary (Acting), Science & Technology Directorate U.S. Department of Homeland Security,
Washington, D.C. 20528

W: (b)(6)

C: (b)(6)

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(b)(7)(C)

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Classification: UNCLASSIFIED

Caveats: NONE

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George

(b)(6)

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10109 Gridley Rd
Bldg 314, 2nd floor
Fort Belvoir, VA 22060-5865

Tel (b)(6)

DSN 564-1019

(b)(7)(C)

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10109 Gridley Rd
Bldg 314, 2nd floor
Fort Belvoir, VA 22060-5865

Tel (b)(6)

DSN (b)(6)

(b)(6)
(b)(7)(C)

-----Original Message-----

From: Daniel Gerstein [mailto:(b)(6) (b)(6)]
Sent: Monday, September 02, 2013 7:29 AM
To: (b)(7)(C) George W CIV USARMY DOD JPEOCBD (US); Dan Gerstein
Subject: Textbook Chapter

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Are there others areas you want me to look at? I did not add anything on biotechnology although I was tempted.

Dan

Dr. Daniel M. Gerstein

From: Lacivita, Brendan
To: Waters, Mike
Subject: RE: Pre-Pub Review - DUS's Textbook Chapter (UNCLASSIFIED)
Date: Tuesday, November 12, 2013 3:10:00 PM
Attachments: image001.png

Thank you Mike – I very much appreciate your review and opinion.

Regards,
Brendan

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security

(b)(6) (b)(6)

www.DHS.gov

From: (b)(6)
Sent: Friday, November 08, 2013 3:08 PM
To: Lacivita, Brendan
Cc: (b)(6)
Subject: RE: Pre-Pub Review - DUS's Textbook Chapter (UNCLASSIFIED)

Sorry, Mr. Brendan, I thought I had sent this weeks ago and found it was sitting in my "draft" email. Since this opinion involves the propriety of the drafting of a chapter by Deputy Under Secy's, along with a couple of Army co-authors/experts and a private civilian expert, I talked to the DoD ethics program. In short, I am not concerned about the writing itself (that is more of a subject matter expert review to prevent the release on non-Public information, as discussed below) but about the proposed use of title and position for our Federal authors in the proposed publication. Here is the relevant excerpt (the proposed start of the chapter):

(b)(4)

(b)(4)

Again, I defer to the subject matter experts in DHS and DoD regarding the substantive nature and content of the material discussed in this work--and whether or not non-public, classified, LE sensitive or Privacy Act information would be released with publication--but my read, for what it is worth, is that this is not the case and the topic and content are likely unobjectionable.

(b)(5)

(b)(5)

I have coordinated this opinion with the DoD ethics office, and we are in agreement that the proposed use of title and position would be a misuse of title under the *Standards*. The DoD authors should be encouraged to seek the advice of their ethics counselors if they have any questions.

Sincerely,

Mike

Mike Waters
Deputy AGC for Ethics
DHS-OGC
NAC, Bldg 1, Room 01-021D

Voice: (b)(6)

BB: (b)(6)

Fax: (b)(4) 282-9099

Maintaining the public's trust in the integrity of Department programs by providing ethics advice and counseling services to the DHS workforce



Confidentiality Notice

This communication, along with any attachments, is covered by federal and state law governing electronic communications and may contain sensitive and/or legally privileged information. If the reader of this message is not the intended recipient, you are hereby notified that any dissemination, distribution, use or copying of this message is strictly prohibited. If you have received this in error, please reply immediately to the sender, so the addressing can be corrected, and also delete this message from your inbox and "deleted" messages file. Thank you for your cooperation.

From: Lacivita, Brendan

Sent: Friday, November 08, 2013 2:40 PM

To: (b)(6); (b)(6); (b)(7)(C) Boucher, Leo

Subject: RE: Pre-Pub Review - Dan's Textbook Chapter (UNCLASSIFIED)

Importance: High

Gentlemen – have you had an opportunity to review the book chapter I forwarded on 10/24? OCSO and various program offices have cleared – I just need your clearance before moving forward. The copy goes to the printer 11/27.

Thanks –
Brendan

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Lacivita, Brendan
Sent: Thursday, October 24, 2013 2:49 PM
To: (b)(6) Lott, Thomas; Young, John; (b)(6) (b)(7)(C) Boucher, Leo
Subject: Pre-Pub Review - (b)(6) Textbook Chapter (UNCLASSIFIED)

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Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

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To: Lacivita, Brendan
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Director, Office of Corporate Communications DHS Science and Technology Directorate

W: (b)(6) BB (b)(6)

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Executive Assistant for the Deputy Under Secretary Science and Technology Directorate Department of Homeland Security

(b)(6)

10-215

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To: McGeorge, Laurel

Subject: FW: Textbook Chapter (UNCLASSIFIED)

Dan

Daniel M. Gerstein, PhD

Under Secretary (Acting), Science & Technology Directorate U.S. Department of Homeland Security,
Washington, D.C. 20528

W: (b)(6)

C: (b)(6)

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(b)(7)(C)

Sent: Tuesday, September 24, 2013 1:39 PM

To: Gerstein, Daniel

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Classification: UNCLASSIFIED

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V/R,

(b)(6)
(b)(7)(C)

Chief Medical Officer
Medical Countermeasure Systems (MCS)
10109 Gridley Rd
Bldg 314, 2nd floor
Fort Belvoir, VA 22060-5865

Tel: (b)(6)
DSN 367-1815

(b)(7)(C)

-----Original Message-----

From: (b)(7)(C) (b)(6) CIV USARMY DOD JPEOCBD (US)

Sent: Tuesday, September 17, 2013 10:29 AM

To: 'Gerstein, Daniel'

Subject: RE: Textbook Chapter

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Cc: Gerstein, Daniel

Subject: RE: Textbook Chapter

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(b)(6)
MD

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10109 Gridley Rd
Bldg 314, 2nd floor
Fort Belvoir, VA 22060-5865

Tel (b)(6)
DSN (b)(6)
(b)(7)(C)

-----Original Message-----

From: Daniel Gerstein (mailto:(b)(6))
Sent: Monday, September 02, 2013 7:29 AM
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Subject: Textbook Chapter

(b)(6)

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Dr. Daniel M. Gerstein

From: Lacivita, Brendan
To: Abbott, Catherine
Subject: RE: Pre-Pub Review - DUS's Textbook Chapter (UNCLASSIFIED)
Date: Tuesday, November 12, 2013 3:48:00 PM
Attachments: image001.png

Sounds good – thanks

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Abbott, Catherine
Sent: Tuesday, November 12, 2013 3:42 PM
To: Lacivita, Brendan
Subject: RE: Pre-Pub Review - DUS's Textbook Chapter (UNCLASSIFIED)

Thanks Brendan! I'll let Dan know. Cathy

Catherine Ellen Abbott
Director, Office of Corporate Communications
DHS Science and Technology Directorate
W: (b)(6) BB (b)(6)

From: Lacivita, Brendan
Sent: Tuesday, November 12, 2013 3:19 PM
To: Abbott, Catherine
Subject: FW: Pre-Pub Review - DUS's Textbook Chapter (UNCLASSIFIED)
Importance: High

Cathy – I've received all internal clearances for this draft textbook chapter and you are good to go; however, please see email below from Ethics for its guidance on use of Dan's DHS title/position.

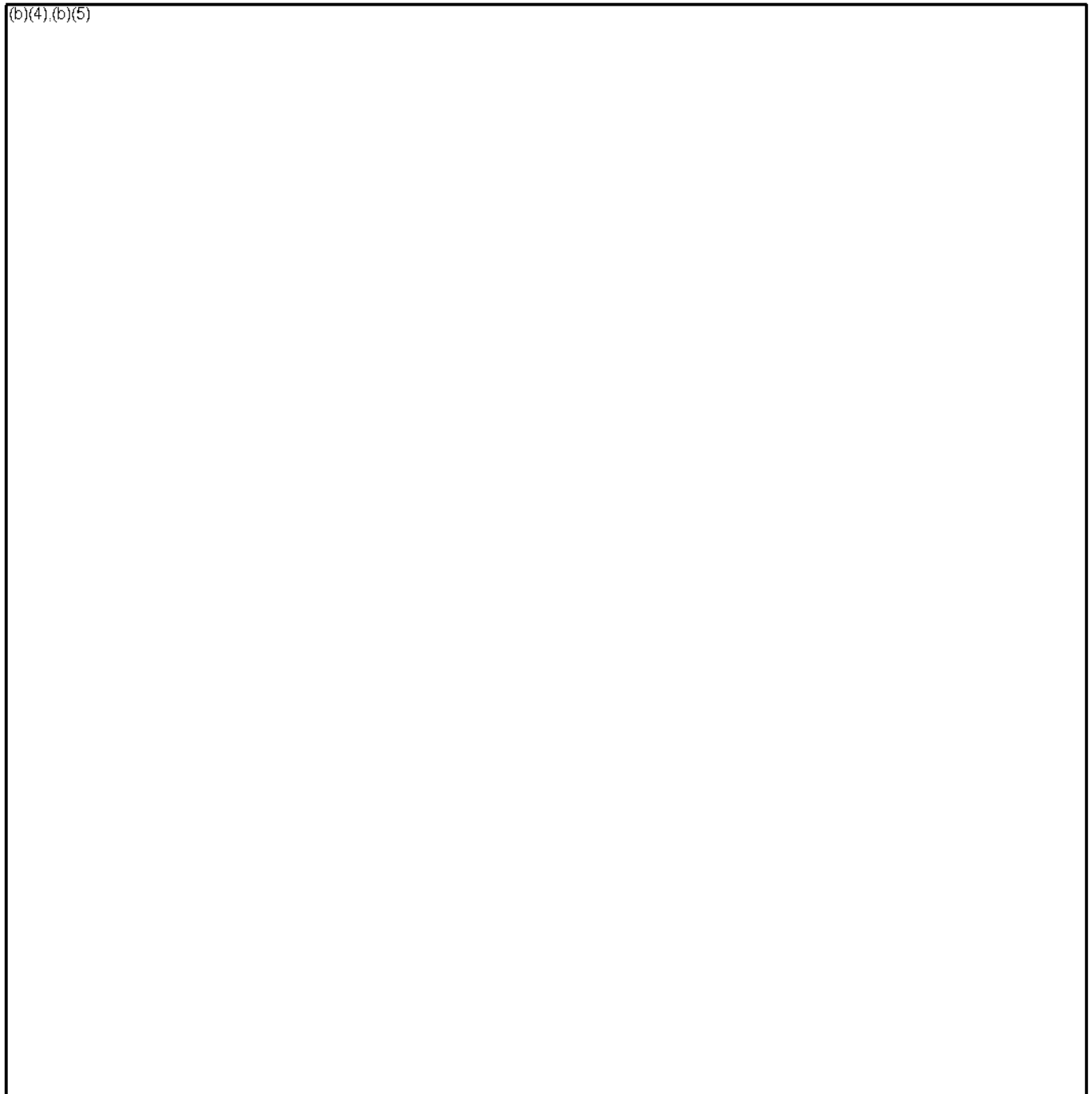
Thanks –
Brendan

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Waters, Mike
Sent: Friday, November 08, 2013 3:08 PM
To: Lacivita, Brendan
Cc: Waters, Mike
Subject: RE: Pre-Pub Review - DUS's Textbook Chapter (UNCLASSIFIED)

Sorry, Mr. Brendan, I thought I had sent this weeks ago and found it was sitting in my "draft" email. Since this opinion involves the propriety of the drafting of a chapter by Deputy Under Secy's, along with a couple of Army co-authors/experts and a private civilian expert, I talked to the DoD ethics program. In short, I am not concerned about the writing itself (that is more of a subject matter expert review to prevent the release on non-Public information, as discussed below) but about the proposed use of title and position for our Federal authors in the proposed publication. Here is the relevant excerpt (the proposed start of the chapter):

(b)(4),(b)(5)



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(b)(5)

FYI, your astute question regarding the use of title and position by the DUS in the proposed textbook chapter is spot on. As written, I do not think the use of the title is proper and we would find it legally objectionable to publish the work in the manner presented.

Before we discuss that, I would like to say that the proposed "disclaimer" is very good and mitigates the issue a bit, yet the problem is that the use of title and position is only allowed by the *Standards* under two circumstances, namely; A. When used with an article published in a "scientific or professional journal" (and then only with a disclaimer like the one in the proposed chapter); and, B. when the title and position are "one of several biographical details...provided that his title or position is given no more prominence than other significant biographical details." See 5 C.F.R. § 2635.870(b)(2) and (1) respectively.

In short, since this writing is not being published in a "scientific or professional journal" but in a textbook, that exception will not apply, irrespective of the quality of the disclaimer. Now if it is the editors wish to give a short bio for each Federal author that includes, say, at least 2 or 3 other significant biographical details (like other positions and educational honors, with the DHS title and position of our author given no more prominence than those other details) under the ** for the DUS, then we would have no legal objection. As it is, the proposed the reference to title and position is simply not allowed under the *Standards* and will constitute a misuse.

I have coordinated this opinion with the DoD ethics office, and we are in agreement that the proposed use of title and position would be a misuse of title under the *Standards*. The DoD authors should be encouraged to seek the advice of their ethics counselors if they have any questions.

Sincerely,

Mike

Mike Waters
Deputy AGC for Ethics
DHS-OGC

NAC, Bldg 1, Room 01-021D

Voice: (b)(6) 447-3516

BB: (b)(6)

Fax: (b)(6) 282-9099

Maintaining the public's trust in the integrity of Department programs by providing ethics advice and counseling services to the DHS workforce



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From: Epstein, Gerald
To: Lacivita, Brendan
Subject: RE: Pre-Pub Review - Dan's Textbook Chapter (UNCLASSIFIED)
Date: Wednesday, November 6, 2013 1:19:01 AM

Brendan, I have reviewed the chapter and there is nothing that warrants concern with respect to security or any inappropriate implication of DHS endorsement (even if Dr. Gerstein had not included a disclaimer).

Jerry Epstein

From: Lacivita, Brendan
Sent: Friday, October 25, 2013 11:14 AM
To: Epstein, Gerald
Subject: FW: Pre-Pub Review - Dan's Textbook Chapter (UNCLASSIFIED)

Jerry – please see email below. John Young in OCSO suggested I forward this book article to you for review.

Let me know if you have any questions.

Thanks –
Brendan

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

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Brendan

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Laurel McGeorge
Executive Assistant for the Deputy Under Secretary Science and Technology Directorate Department

of Homeland Security

(b)(6)

10-215

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Sent: Wednesday, September 25, 2013 7:29 AM

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Dan

Daniel M. Gerstein, PhD

Under Secretary (Acting), Science & Technology Directorate U.S. Department of Homeland Security,
Washington, D.C. 20528

W: (b)(6)

C: (b)(6)

-----Original Message-----

From: (b)(7)(C) IV USARMY DOD JPEOCBD (US)

(b)(7)(C)

Sent: Tuesday, September 24, 2013 1:39 PM

To: Gerstein, Daniel

Subject: RE: Textbook Chapter (UNCLASSIFIED)

Classification: UNCLASSIFIED

Caveats: NONE

Dan,

Attached is the current draft that's going to JPEO for security review/clearance. Please return pdf file of completed/signed author's forms (p 15-20 of attached document). Thank you again for your key contributions!

V/R,

(b)(6)

(b)(7)(C)

MD

Chief Medical Officer

Medical Countermeasure Systems (MCS)
10109 Gridley Rd
Bldg 314, 2nd floor
Fort Belvoir, VA 22060-5865

Tel: (b)(6)
DSN: (b)(6)
(b)(7)(C)

-----Original Message-----

From: (b)(7)(C) (b)(6) CIV USARMY DOD JPEOCBD (US)
Sent: Tuesday, September 17, 2013 10:29 AM
To: 'Gerstein, Daniel'
Subject: RE: Textbook Chapter

Dan,

At this juncture, we're good to go. Thank you for your offer-let's wait until after the coordinations and reviews are done. I sincerely appreciate your participation.

Best regards,

(b)(6)

-----Original Message-----

From: Gerstein, Daniel [mailto:(b)(6) (b)(6)]
Sent: Tuesday, September 17, 2013 10:21 AM
To: (b)(7)(C) (b)(6) CIV USARMY DOD JPEOCBD (US); (b)(6) (b)(6)
Subject: Re: Textbook Chapter

So do you need any more from me in terms of writing?

----- Original Message -----

From: (b)(7)(C) (b)(6) CIV USARMY DOD JPEOCBD (US)
(b)(7)(C)
Sent: Tuesday, September 17, 2013 10:07 AM
To: Daniel Gerstein (b)(6) (b)(6)

Cc: Gerstein, Daniel
Subject: RE: Textbook Chapter

Dan,

Jim (b)(7)(C) has sent in his additions, and (b)(6) has promised his additions by the end of this week-I've been through the draft again, made some changes, and am very pleased by how it reads. I think that the issues raised by biotechnology are addressed in the discussion of DURC.

Will send out the final draft to you, Jim and (b) early next week, and to MCS and JPEO for coordination and security review; after that it will go to USAMRIID for two external reviews and final edits...there will be opportunities for further revision.

Thank you for participating! The inclusion of info on US program, BWC and policy items has vastly improved the quality of this chapter.

Best regards,

(b)(6)
(b)(7)(C) MD

Chief Medical Officer
Medical Countermeasure Systems (MCS)
10109 Gridley Rd
Bldg 314, 2nd floor
Fort Belvoir, VA 22060-5865

Tel (b)(6)
DSN (b)(6)
(b)(7)(C)

-----Original Message-----

From: Daniel Gerstein [mailto:(b)(6)]
Sent: Monday, September 02, 2013 7:29 AM
To: (b)(7)(C) W CIV USARMY DOD JPEOCBD (US); Dan Gerstein
Subject: Textbook Chapter

(b)(6)

See attached ...

Feels like we need to look at pulling out some of the detail. Also, not sure that the stuff at the end has been edited.

Are there others areas you want me to look at? I did not add anything on biotechnology although I was tempted.

Dan

Dr. Daniel M. Gerstein

From: Lacivita, Brendan
To: Jensen, Robert
Cc: Boogaard, Peter; (b)(6) (b)(6) L.
Subject: RE: OPA review of request for information to fusion centers (DHS-RFI-00233-14)
Date: Friday, October 25, 2013 4:37:00 PM

Ok – thanks Bob

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Jensen, Robert
Sent: Friday, October 25, 2013 4:25 PM
To: Lacivita, Brendan
Cc: Boogaard, Peter; (b)(6) (b)(6) L.
Subject: RE: OPA review of request for information to fusion centers (DHS-RFI-00233-14)

I have reviewed and clear for OPA. Pete Boogaard is aware in the unlikely event there are any media queries.

From: Lacivita, Brendan
Sent: Friday, October 25, 2013 4:00 PM
To: Jensen, Robert
Subject: FW: OPA review of request for information to fusion centers (DHS-RFI-00233-14)
Importance: High

Per previous email

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: (b)(6) (b)(6)
Sent: Friday, October 25, 2013 1:04 PM
To: Lacivita, Brendan
Cc: DHS-SPS-RFI; (b)(7)(C) (b)(7)(C) (b)(7)(C) (b)(6) (b)(7)(C) (b)(7)
Subject: OPA review of request for information to fusion centers (DHS-RFI-00233-14)

Good afternoon sir,

Our office (the DHS Single Point of Service) periodically coordinates on outgoing requests for

information (RFI) with OPA. We are currently working an RFI from CBP that is intended to go to fusion centers along the U.S.-Canadian border, and we would like to coordinate with OPA to make sure there are no issues from an OPA perspective.

Is someone available from your office to review the attached RFI and let us know if there are any issues with us sending the request to the fusion centers? We have already obtained clearance from Intel Oversight, I&A OGC, Privacy, and CRCL, all of whom have no issues with the request.

Thank you for your time – I am available to discuss over the phone or in person if you have any questions.

(b)(6) (b)(1)

(b)(1) (b)(6) Direct)

(b)(1) Group)

(b)(6)

I&A Support Branch

Office of Intelligence and Analysis

External Operations Division

U.S. Department of Homeland Security

From: (b)(6) (b)(7)(C)
To: Lacivita, Brendan
Subject: RE: OPA review of request for information to fusion centers (DHS-RFI-00233-14)
Date: Friday, October 25, 2013 4:54:49 PM

Thank you sir.

(b)(6) (b)(7)(C)
(b)(6) 447-4578 (Direct)
(b)(6) 282-9555 (Group)

(b)(6)
I&A Support Branch
Office of Intelligence and Analysis
External Operations Division
U.S. Department of Homeland Security

From: Lacivita, Brendan
Sent: Friday, October 25, 2013 4:37 PM
To: (b)(6) (b)(7)(C)
Subject: RE: OPA review of request for information to fusion centers (DHS-RFI-00233-14)

(b)(6) – OPA clears.

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(7)(C)
www.DHS.gov

From: (b)(6) (b)(7)(C)
Sent: Friday, October 25, 2013 4:22 PM
To: Lacivita, Brendan
Subject: RE: OPA review of request for information to fusion centers (DHS-RFI-00233-14)

Thank you sir.

(b)(6) (b)(7)(C)
(b)(6) 447-4578 (Direct)
(b)(6) 282-9555 (Group)

(b)(6),(b)(7)(C)
I&A Support Branch
Office of Intelligence and Analysis
External Operations Division
U.S. Department of Homeland Security

From: Lacivita, Brendan

Sent: Friday, October 25, 2013 4:02 PM

To: (b)(7)(C) (b)(6)

Cc: DHS-SPS-RFI; (b)(7)(C) (b)(7)(C) (b)(6) (b)(6) (b)(7)(C) (b)(7)

Subject: RE: OPA review of request for information to fusion centers (DHS-RFI-00233-14)

(b)(6) - I forwarded to Bob Jensen for his review -

Brendan I. LaCivita

Deputy Director of Strategic Communications

Office of Public Affairs

U.S. Department of Homeland Security

(b)(6) (b)(6)

www.DHS.gov

From: (b)(7)(C) (b)(6)

Sent: Friday, October 25, 2013 3:57 PM

To: Lacivita, Brendan

Cc: DHS-SPS-RFI; (b)(7)(C) (b)(7)(C) (b)(6) (b)(6) (b)(7)(C) (b)(7)

Subject: RE: OPA review of request for information to fusion centers (DHS-RFI-00233-14)

Sure thing. Thank you for your attention to this matter.

Our CBP customers were hoping to get the RFI out today. Would it be possible for us to clear the RFI this evening?

(b)(6) (b)(7)(C)
(b)(7)(C) (b)(6) Direct:
(b)(7)(C) Group)

(b)(6) (b)(6) (b)(6)

I&A Support Branch

Office of Intelligence and Analysis

External Operations Division

U.S. Department of Homeland Security

From: Lacivita, Brendan

Sent: Friday, October 25, 2013 1:19 PM

To: (b)(7)(C) (b)(6)

Cc: DHS-SPS-RFI; (b)(7)(C) (b)(7)(C) (b)(6) (b)(6) (b)(7)(C) (b)(7)

Subject: RE: OPA review of request for information to fusion centers (DHS-RFI-00233-14)

Hi (b)(6) – no concerns from me, but I have forwarded your request to my colleague (b)(6) (b)(6) who previously was OPA's I/A liaison. Either (b)(6) or I will get back with you.

Regards,

Brendan I. LaCivita

Deputy Director of Strategic Communications

Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: (b)(6) (b)(6)
Sent: Friday, October 25, 2013 1:04 PM
To: Lacivita, Brendan
Cc: DHS-SPS-RFI; (b)(7)(C) (b)(7)(C) (b)(6) (b)(6) (b)(7)(C) (b)(7)
Subject: OPA review of request for information to fusion centers (DHS-RFI-00233-14)

Good afternoon sir,

Our office (the DHS Single Point of Service) periodically coordinates on outgoing requests for information (RFI) with OPA. We are currently working an RFI from CBP that is intended to go to fusion centers along the U.S.-Canadian border, and we would like to coordinate with OPA to make sure there are no issues from an OPA perspective.

Is someone available from your office to review the attached RFI and let us know if there are any issues with us sending the request to the fusion centers? We have already obtained clearance from Intel Oversight, I&A OGC, Privacy, and CRCL, all of whom have no issues with the request.

Thank you for your time – I am available to discuss over the phone or in person if you have any questions.

(b)(6) (b)(6)
(b)(6) (b)(6) (Direct)
(b)(6) (Group)

(b)(6),(b)(7)(C)
I&A Support Branch
Office of Intelligence and Analysis
External Operations Division
U.S. Department of Homeland Security

From: Baz, Suki
To: Lacivita, Brendan
Subject: FW: Question re: Article
Date: Thursday, August 16, 2012 11:00:51 AM

Hi Brendan—please see below from (b)(6) about each author writing in personal capacity for the CDC Emerging Infectious Diseases journal.

Thanks,
Suki

Suki Baz

Public Affairs Specialist
DHS Office of Health Affairs

(b)(6) office

(b)(6) bb

From: (b)(6) (b)(6)
Sent: Thursday, August 16, 2012 10:50 AM
To: Baz, Suki
Subject: RE: Question re: Article

All authors are writing under personal capacity and the statement applies to each.

From: Baz, Suki
Sent: Thursday, August 16, 2012 10:49 AM
To: (b)(6) (b)(6)
Subject: Question re: Article

Hi (b)(6) spoke with my contact at Public Affairs, and the ethics office is asking about the disclaimer that I believe Krystal or Charlie added that states:

The findings and conclusions in this report are those of the authors and do not necessarily represent the official position of their Agencies.

The ethics office would like to know if all of the writers are writing under personal capacity or professional. We may need to alter the disclaimer depending on the answer, but that shouldn't be a big deal.

Many thanks,
Suki

Suki Baz

Public Affairs Specialist
DHS Office of Health Affairs

(b)(6) office

(b)(6) bb

From: Lacivita, Brendan
To: (b)(6) (b)(7)
Cc: Waters, Mike
Subject: RE: Article for Approval
Date: Thursday, August 8, 2013 11:28:00 AM

(b)(7) - OCSO, I/A, PRIVACY, OGC, OCHCO, and OPA have reviewed and cleared so this is good to go.

Just so you know, DHS review only was necessary to ensure no FOUO or sensitive information obtained in your professional capacity or otherwise, was inadvertently included in the article. There was no review for any other purpose.

Thanks for sending.

Brendan

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

-----Original Message-----

From: (b)(6) (b)(7)
Sent: Wednesday, August 07, 2013 10:37 AM
To: Lacivita, Brendan
Cc: Waters, Mike
Subject: RE: Article for Approval

Additional edits have been made, so here is the most recent version. Thanks so much.

(b)(7)

(b)(7) (b)(6) CIPP/US, CIPP/G
Senior Policy Advisor Office for Civil Rights & Civil Liberties U.S. Department of Homeland Security
(b)(6) - Office
(b)(6) - Mobile

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-----Original Message-----

From: Lacivita, Brendan
Sent: Wednesday, August 07, 2013 10:18 AM
To: (b)(6) (b)(7)

Cc: Waters, Mike
Subject: RE: Article for Approval

Ok - I'll forward this iteration to the other Department reviewers now.

Thanks -

Brendan I. LaCivita
Deputy Director of Strategic Communications Office of Public Affairs U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

-----Original Message-----

From: (b)(6) (b)(7)
Sent: Tuesday, August 06, 2013 5:57 PM
To: Lacivita, Brendan
Cc: Waters, Mike
Subject: FW: Article for Approval

Hi Brendan,

I have been working with Mike Waters to ensure compliance with the ethics rules. The revised version is attached for your review.

Thanks,

(b)(7)

(b)(7) (b)(6)
CIPP/US, CIPP/G
Senior Policy Advisor Office for Civil Rights & Civil Liberties U.S. Department of Homeland Security
(b)(6) - Office
(b)(6) - Mobile

This message may contain attorney-client communications or agency deliberative communications, all of which may be privileged and not subject to disclosure outside the agency or to the public. Please consult with the Department of Homeland Security, Office of General Counsel before disclosing any information contained in this email.

From: Lacivita, Brendan
To: Waters, Mike; (b)(6) (b)(7)
Subject: RE: Article for Approval
Date: Thursday, August 8, 2013 12:44:00 PM

No apologies necessary Mike - glad I was able to help out.

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

-----Original Message-----

From: Waters, Mike
Sent: Thursday, August 08, 2013 12:40 PM
To: (b)(6) (b)(7) Lacivita, Brendan
Subject: RE: Article for Approval

I can't speak from the SSO point of view, and can certainly understand why they would like to see articles written by our personnel. From the ethics point of view, I suggested OPA clearance because of Mr. Becker's stated, minor concern over mentioning an NSA program (and then only tangentially)--so this is my fault if I put everyone through too many hoops in an abundance of caution. Thanks, Brendan, for the quick turnaround in any event! Sorry if I made unnecessary work in acting to advise Mr. Becker to seek this review!

Sincerely,
Mike

Mike Waters
Deputy AGC for Ethics
DHS-OGC
NAC, Bldg 1, Room 01-021D

Voice: (b) (b)(6)
BB: (b)(6)
Fax: (b) 282-9099

Maintaining the public's trust in the integrity of Department programs by providing ethics advice and counseling services to the DHS workforce

Confidentiality Notice

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-----Original Message-----

From: (b)(6) (b)(7)
Sent: Thursday, August 08, 2013 12:34 PM
To: Lacivita, Brendan

Cc: Waters, Mike
Subject: RE: Article for Approval

That does help, thanks Brendan. I was told that due to my clearance it had to through my SSO, and I believe that's how you got it, and through Ethics.

(b)(7)

-----Original Message-----

From: Lacivita, Brendan
Sent: Thursday, August 08, 2013 12:28 PM
To: (b)(6) (b)(7)
Cc: Waters, Mike
Subject: RE: Article for Approval

I'm unsure why this particular article required review - I received it from I/A. But normally, an article like this one wouldn't need DHS review because it doesn't address any DHS policy or program. That being said, it's always good to run by Ethics - particularly if the author identifies himself as a DHS employee.

But to answer your question - if you write an article in a personal capacity on anything DHS-related, then you'll need DHS review - and we'd review from the context of FOUO and sensitive information. We're not looking to censor employees' personal writings.

Hope that helps -

Brendan

Brendan I. LaCivita
Deputy Director of Strategic Communications Office of Public Affairs U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

-----Original Message-----

From: (b)(6) (b)(7)
Sent: Thursday, August 08, 2013 12:11 PM
To: Lacivita, Brendan
Cc: Waters, Mike
Subject: RE: Article for Approval

Thank you Brendan. So for future reference, no DHS review is necessary for articles written in an employee's personal capacity?

Best,

(b)(7)

(b)(7) (b)(6) CIPP/US, CIPP/G
Senior Policy Advisor Office for Civil Rights & Civil Liberties U.S. Department of Homeland Security
(b)(6) - Office
(b)(6) - Mobile

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of Homeland Security, Office of General Counsel before disclosing any information contained in this email.

-----Original Message-----

From: Lacivita, Brendan

Sent: Thursday, August 08, 2013 11:29 AM

To: (b)(6) (b)(7)

Cc: Waters, Mike

Subject: RE: Article for Approval

(b)(7) - OCSO, I/A, PRIVACY, OGC, OCHCO, and OPA have reviewed and cleared so this is good to go.

Just so you know, DHS review only was necessary to ensure no FOUO or sensitive information obtained in your professional capacity or otherwise, was inadvertently included in the article. There was no review for any other purpose.

Thanks for sending.

Brendan

Brendan I. LaCivita

Deputy Director of Strategic Communications Office of Public Affairs U.S. Department of Homeland Security

(b)(6) (b)(6)

www.DHS.gov

-----Original Message-----

From: (b)(6) (b)(7)

Sent: Wednesday, August 07, 2013 10:37 AM

To: Lacivita, Brendan

Cc: Waters, Mike

Subject: RE: Article for Approval

Additional edits have been made, so here is the most recent version. Thanks so much.

(b)(7)

(b)(7) (b)(6) CIPP/US, CIPP/G

Senior Policy Advisor Office for Civil Rights & Civil Liberties U.S. Department of Homeland Security

(b)(6) - Office

(b)(6) - Mobile

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-----Original Message-----

From: Lacivita, Brendan
Sent: Wednesday, August 07, 2013 10:18 AM
To: (b)(6) (b)(7)
Cc: Waters, Mike
Subject: RE: Article for Approval

Ok - I'll forward this iteration to the other Department reviewers now.

Thanks -

Brendan I. LaCivita
Deputy Director of Strategic Communications Office of Public Affairs U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

-----Original Message-----

From: (b)(6) (b)(7)
Sent: Tuesday, August 06, 2013 5:57 PM
To: Lacivita, Brendan
Cc: Waters, Mike
Subject: FW: Article for Approval

Hi Brendan,

I have been working with Mike Waters to ensure compliance with the ethics rules. The revised version is attached for your review.

Thanks,

(b)(7)

(b)(7) (b)(6) CIPP/US, CIPP/G
Senior Policy Advisor Office for Civil Rights & Civil Liberties U.S. Department of Homeland Security
(b)(6) - Office
(b)(6) - Mobile

This message may contain attorney-client communications or agency deliberative communications, all of which may be privileged and not subject to disclosure outside the agency or to the public. Please consult with the Department of Homeland Security, Office of General Counsel before disclosing any information contained in this email.

From: [Lacvita, Brendan](#)
To: [Boucher, Leo](#)
Subject: RE: OIA-CAN Review - Theresa Brown Prepublication review request
Date: Wednesday, July 3, 2013 12:21:00 PM

Apologies Chip - yes, it's closed.

Brendan J. Lacvita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b) (6)
www.DHS.gov

From: Boucher, Leo
Sent: Sunday, June 30, 2013 10:57 AM
To: Lacvita, Brendan
Subject: RE: OIA-CAN Review - Theresa Brown Prepublication review request

Brendan:
Is this "closed account"? I am trying to clean out some email. Thx

Chip Boucher
Assistant General Counsel, Administrative Law Branch
General Law Division
Office of the General Counsel
Department of Homeland Security
Washington, DC 20538
Telephone: (b) (6) Cell: (b) (6)

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From: Lacvita, Brendan
Sent: Thursday, April 11, 2013 12:53 PM
To: Boucher, Leo
Subject: RE: OIA-CAN Review - Theresa Brown Prepublication review request

Hi Chip - any update on this?

Thanks,
Brendan

Brendan J. Lacvita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b) (6)
www.DHS.gov

From: Boucher, Leo
Sent: Thursday, April 04, 2013 12:39 PM
To: Lacvita, Brendan
Subject: RE: OIA-CAN Review - Theresa Brown Prepublication review request

Yup, saw it, Brendan - Thx

Chip Boucher
Assistant General Counsel, Administrative Law Branch
General Law Division
Office of the General Counsel
Department of Homeland Security
Washington, DC 20538
Telephone: (b) (6) 447-5623; Cell: (b) (6) 779-2314

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From: Lacvita, Brendan
Sent: Thursday, April 04, 2013 11:51 AM
To: Boucher, Leo
Subject: RE: OIA-CAN Review - Theresa Brown Prepublication review request

Hi Chip - did you see my email below?

Thanks -
Brendan

Brendan J. Lacvita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b) (6)
www.DHS.gov

From: Laciwita, Brendan
Sent: Thursday, March 28, 2013 12:48 PM
To: Boucher, Len
Subject: FW: OIA-CAN Review - Theresa Brown Prepublication review request

I am attaching for your review an article written as a former OIA employee on the US-Canada Beyond the Border Declaration for publication in the Canadian Law Journal at Case Western Reserve University Law School.

OIA OCSU and /As already were cleared to A cleared with comments for this author.

Let me know if you have any questions.

Thanks -
Brendan

Brendan L. Laciwita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b) (6) / (b) (6)
www.DHS.gov

From: Brack, Anthony
Sent: Thursday, March 07, 2013 6:21 AM
To: Laciwita, Brendan
Cc: (b) (6)
Subject: Prepublication review request

Brendan,

(b) (6) is requesting a prepublication review on the following attachment; also (b) (6) would like a estimate of how long it might take to review. She was told by her research team that help with all of the footnotes are public sources. She informed her research team reference to an article about wiretaps will have to be changed (there are other public sources for information on the pre-Olympics security planning that I have found that have official statements from DHS sources).

If you have any questions or concerns, Ms. Brown contact information is listed below.

Very respectfully

Anthony A. Brack Sr.
Security Management Branch (Team Lead)
Senior Information Security Specialist
Office of Intelligence and Analysis
Department of Homeland Security
3801 Nebraska Ave, NW
Washington, D.C. 20528

Dear Sir/Madam:

I am a former DHS employee, and my last position was as DHS Attaché to Canada with the Office of International Affairs, departing DHS in July 2011. I held a security clearance during my tenure at DHS. I spoke today with Anthony Brack, regarding pre-publication review of an article I have written on the US-Canada Beyond the Border Declaration for publication in the Canada-US Law Journal at Case Western Reserve University Law School. I am requesting pre-publication review of this article to be certain that I have not inadvertently disclosed any classified or SBU material. The Journal is hoping to send this to publication as early as next week, so I hope that the review can be completed in a short time. I am also reviewing for content now, so please contact me immediately if anything in this article raises concerns about sensitive information that might be disclosed. I will be happy to edit to address any concerns there are.

I can be reached at the number below for any questions you might have or to discuss anything relating to this article.


Thank you for your prompt attention to this request.

Regards,

Theresa Cardinal Brown

President and CEO
Cardinal North Strategies, LLC
PH: (b) (6)
Email: (b) (6)
URL: www.cardinalnorthstrategies.com

FOUO // PRE-DECISIONAL // DRAFT

 Please consider the environment before printing this e-mail

From: Lacivita, Brendan
To: Wong, Heather
Subject: FW: Pre-Publication Review
Date: Friday, June 21, 2013 4:00:00 PM

FYA

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: (b)(6) [mailto:\(b\)\(6\)](#)
Sent: Friday, June 21, 2013 3:51 PM
To: Lacivita, Brendan
Subject: Re: Pre-Publication Review

Thank you for the review. I deeply appreciate the comments and will thoroughly check into each one and make the appropriate changes.

Sincerely,

(b)(6)

From: Lacivita, Brendan
Sent: Friday, June 21, 2013 11:16 AM
To: (b)(6)
Subject: FW: Pre-Publication Review

(b)(6) attached is your article. It's been reviewed by several divisions here at DHS – all of which commented on factual inaccuracies, including your statement that “large agencies like CBP and Coast Guard have their own organic intelligence analysis units, though they are not officially recognized by the Intelligence Community.” I/A stated that while CBP is not a member of the Intelligence Community the U.S. Coast Guard is indeed a member of the Intelligence Community. If you intend to rewrite the article you may want to consider these comments with the understanding that the Department has cleared from a release of sensitive data context only.

Regards,

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Lacivita, Brendan
To: Mosley, Fern
Subject: FW: Garza editorial
Date: Monday, April 22, 2013 11:21:00 AM
Attachments: Garza editorial 4-16-13 ACP-hwv-LML.docx

Hi Fern – please see below.

Chip in Gen Law recommended I forward to you as well even though the article was written by a former employee.

Thanks –
Brendan

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Lacivita, Brendan
Sent: Friday, April 19, 2013 5:17 PM
To: Boucher, Leo; Scholz, Dawn; (b)(7)(C) (b)(7)(C) Young, John; (b)(7)(C) (b)(7)(C) Lott, Thomas; Richards, Steven
Subject: FW: Garza editorial
Importance: High

Hi folks – attached for your review is an article on bioethics issues written by a former OHA employee for June publication in the University of Pittsburgh Medical Center's academic journal *Biodefense and Biosecurity*.

The article is written on behalf of the Presidential Commission for the Study of Bioethical Issues.

Let me know if you have any questions.

Thanks –
Brendan

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Lacivita, Brendan
To: Nichols, Kate
Subject: FW: Garza editorial
Date: Tuesday, April 23, 2013 2:08:00 PM
Attachments: Garza editorial 4-16-13 ACP-hwv-LML.docx

Kate – this is good to go – the DHS clearance process is completed.

Thanks -

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Lacivita, Brendan
Sent: Monday, April 22, 2013 12:29 PM
To: Wong, Heather; Jensen, Robert; Boogaard, Peter
Subject: FW: Garza editorial

Ill folks – attached for your review is an article on bioethics issues written by a former OHA employee for June publication in the University of Pittsburgh Medical Center's academic journal *Biodefense and Biosecurity*.

The article is written on behalf of the Presidential Commission for the Study of Bioethical Issues, and already has been cleared by OHA, OIA, OCSO, and OGC/Gen Law.

Thanks –
Brendan

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Lacivita, Brendan
To: (b)(6)
Subject: RE: Prepublication review request
Date: Wednesday, April 24, 2013 2:35:00 PM



Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: (b)(6) (b)(6)
Sent: Wednesday, April 24, 2013 2:33 PM
To: Lacivita, Brendan
Subject: RE: Prepublication review request

Thank you! Once a DHSer, always a DHSer!

From: Lacivita, Brendan (b)(6)
Sent: Wednesday, April 24, 2013 2:26 PM
To: (b)(6)
Subject: RE: Prepublication review request

Hi (b)(6) I forwarded your email to my colleagues in the press office. They'll get back with you.

Thanks for checking in -

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: (b)(6) (b)(6)
Sent: Wednesday, April 24, 2013 1:54 PM
To: Lacivita, Brendan
Subject: RE: Prepublication review request

Can I ask another favor? I've been contacted by Canadian Press to comment on the arrests in Canada of two suspect charged with attempting to bomb a VIA rail train. I'd like to know what DHS is saying, if anything before responding. I sent an email to mediainquiries, but no response. Specifically, at least one news outlet (and now being repeated elsewhere) has said that DHS was involved in the investigation. I haven't seen anything from any official source confirming that. I

don't want to make an assumption. Can you simply let me know whether DHS has said anything publicly about any involvement? You don't need to say yes or no to actual involvement, just whether DHS has said anything about its involvement or not.

I'd like to reflect DHS' point of view as much as possible on this.

Thanks,

(b)(6)

From: Lacivita, Brendan [mailto:(b)(6)]
Sent: Wednesday, April 24, 2013 1:24 PM
To: (b)(6)
Subject: RE: Prepublication review request

I will -

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security

(b)(6) (b)(6)

www.DHS.gov

From: (b)(6)
Sent: Wednesday, April 24, 2013 12:28 PM
To: Lacivita, Brendan
Subject: RE: Prepublication review request

Thanks, Brendan. And please pass along my thanks to those who looked it over.

(b)(6)

From: Lacivita, Brendan (b)(6)
Sent: Wednesday, April 24, 2013 9:53 AM
To: (b)(6) (b)(6)
Subject: FW: Prepublication review request

Hi (b)(6) – the attached article has been cleared.

Thanks for your patience as we worked to get it reviewed.

Brendan

Brendan I. LaCivita
Deputy Director of Strategic Communications

Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Brack, Anthony
Sent: Thursday, March 07, 2013 6:21 AM
To: Lacivita, Brendan
Cc: (b)(6)
Subject: Prepublication review request

Brendan,

(b)(6) is requesting a prepublication review on the following attachment; also (b)(6) would like a estimate of how long it might take to review. She was told by her research team that helped with all of the footnotes are public sources. She informed her research team reference to an article about wikileaks will have to be changed (there are other public sources for information on the pre-Olympics security planning that I have found that have official statements from DHS sources). If you have any questions or concerns, (b)(6) contact information is listed below.

Dear Sir/Madam:

I am a former DHS employee, and my last position was as DHS Attaché to Canada with the Office of International Affairs, departing DHS in July 2011. I held a security clearance during my tenure at DHS. I spoke today with Anthony Brack, regarding pre-publication review of an article I have written on the US-Canada Beyond the Border Declaration for publication in the Canada-US Law Journal at Case Western Reserve University Law School. I am requesting pre-publication review of this article to be certain that I have not inadvertently disclosed any classified or SBU material. The Journal is hoping to send this to publication as early as next week, so I hope that the review can be completed in a short time. I am also reviewing for content now, so please contact me immediately if anything in this article raises concerns about sensitive information that might be disclosed. I will be happy to edit to address any concerns there are.

I can be reached at the number below for any questions you might have or to discuss anything relating to this article.

Thank you for your prompt attention to this request.

Regards,

(b)(6)
President and CEO
Cardinal North Strategies, LLC
Ph: (b)(6)
Email: (b)(6) (b)(6)

URL: www.cardinalnorthstrategies.com

Very respectfully

Anthony A. Brack Sr.
Security Management Branch (Team Lead)
Senior Information Security Specialist
Office of Intelligence and Analysis
Department of Homeland Security
3801 Nebraska Ave, NW
Washington, D.C. 20528

(b)(6)

President and CEO
Cardinal North Strategies, LLC
www.cardinalnorthstrategies.com

(b)(6)

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(b)(6)

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(b)(6)

(b)(6)

(b)(6)

President and CEO
Cardinal North Strategies, LLC
www.cardinalnorthstrategies.com

(b)(6)

(b)(6)

From: Lacivita, Brendan
To: Boogaard, Peter; Catron, Marsha
Subject: FW: Prepublication review request
Date: Wednesday, April 24, 2013 2:24:00 PM
Importance: High

Hey guys – see below. Will you please get back with Theresa? She's a former OIA employee.

Thanks -

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: (b)(6) (b)(6)
Sent: Wednesday, April 24, 2013 1:54 PM
To: Lacivita, Brendan
Subject: RE: Prepublication review request

Can I ask another favor? I've been contacted by Canadian Press to comment on the arrests in Canada of two suspect charged with attempting to bomb a VIA rail train. I'd like to know what DHS is saying, if anything before responding. I sent an email to mediainquiries, but no response. Specifically, at least one news outlet (and now being repeated elsewhere) has said that DHS was involved in the investigation. I haven't seen anything from any official source confirming that. I don't want to make an assumption. Can you simply let me know whether DHS has said anything publicly about any involvement? You don't need to say yes or no to actual involvement, just whether DHS has said anything about its involvement or not.

I'd like to reflect DHS' point of view as much as possible on this.

Thanks,

(b)(6)

From: Lacivita, Brendan (b)(6)
Sent: Wednesday, April 24, 2013 1:24 PM
To: (b)(6)
Subject: RE: Prepublication review request

I will -

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs

U.S. Department of Homeland Security

(b)(6) (b)(6)

www.DHS.gov

From: (b)(6) (b)(6)

Sent: Wednesday, April 24, 2013 12:28 PM

To: Lacivita, Brendan

Subject: RE: Prepublication review request

Thanks, Brendan. And please pass along my thanks to those who looked it over.

(b)(6)

From: Lacivita, Brendan (b)(6)

Sent: Wednesday, April 24, 2013 9:53 AM

To: (b)(6) (b)(6)

Subject: FW: Prepublication review request

(b)(6) – the attached article has been cleared.

Thanks for your patience as we worked to get it reviewed.

Brendan

Brendan I. LaCivita

Deputy Director of Strategic Communications

Office of Public Affairs

U.S. Department of Homeland Security

(b)(6) (b)(6)

www.DHS.gov

From: Brack, Anthony

Sent: Thursday, March 07, 2013 6:21 AM

To: Lacivita, Brendan

Cc: (b)(6)

Subject: Prepublication review request

Brendan,

(b)(6) is requesting a prepublication review on the following attachment; also (b)(6) would like a estimate of how long it might take to review. She was told by her research team that helped with all of the footnotes are public sources. She informed her research team reference to an article about wikileaks will have to be changed (there are other public sources for information on the pre-Olympics security planning that I have found that have official statements from DHS sources). If you have any questions or concerns, (b)(6) contact information is listed below.

Dear Sir/Madam:

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I can be reached at the number below for any questions you might have or to discuss anything relating to this article.

Thank you for your prompt attention to this request.

Regards,

(b)(6)
President and CEO
Cardinal North Strategies, LLC
Ph: (b)(6)
Email: (b)(6) (b)(6)
URL: www.cardinalnorthstrategies.com

Very respectfully

Anthony A. Brack Sr.
Security Management Branch (Team Lead)
Senior Information Security Specialist
Office of Intelligence and Analysis
Department of Homeland Security
3801 Nebraska Ave, NW
Washington, D.C. 20528

(b)(6)
President and CEO
Cardinal North Strategies, LLC
www.cardinalnorthstrategies.com
(b)(6) (b)(6)

(b)(6)

President and CEO
Cardinal North Strategies, LLC
www.cardinalnorthstrategies.com

(b)(6)

(b)(6)

From: LaCivita, Brendan
To: (b)(6)
Subject: FW: Prepublication review request
Date: Wednesday, April 24, 2013 9:51:00 AM
Attachments: CUSLI Article Brown Edited 2013-02-25.docx

Hi (b)(6) the attached article has been cleared.

Thanks for your patience as we worked to get it reviewed.

Brendan

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Brack, Anthony
Sent: Thursday, March 07, 2013 6:21 AM
To: LaCivita, Brendan
Cc: (b)(6)
Subject: Prepublication review request

Brendan,

(b)(6) is requesting a prepublication review on the following attachment; also (b)(6) would like a estimate of how long it might take to review. She was told by her research team that helped with all of the footnotes are public sources. She informed her research team reference to an article about wikileaks will have to be changed (there are other public sources for information on the pre-Olympics security planning that I have found that have official statements from DHS sources). If you have any questions or concerns, (b)(6) contact information is listed below.

Dear Sir/Madam:

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Email: (b)(6)

URL: www.cardinalnorthstrategies.com

Very respectfully

Anthony A. Brack Sr.

Security Management Branch (Team Lead)

Senior Information Security Specialist

Office of Intelligence and Analysis

Department of Homeland Security

3801 Nebraska Ave, NW

Washington, D.C. 20528

From: Wong, Heather
To: Lacivita, Brendan; Jensen, Robert
Cc: Boogaard, Peter; Stickel, Nicole
Subject: RE: Prepublication review request
Date: Tuesday, April 23, 2013 10:31:25 PM

I'm good. Thanks!

From: Lacivita, Brendan
Sent: Tuesday, April 23, 2013 1:46 PM
To: Jensen, Robert
Cc: Wong, Heather; Boogaard, Peter; Stickel, Nicole
Subject: RE: Prepublication review request

Publication was pushed back to accommodate DHS review.

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Jensen, Robert
Sent: Tuesday, April 23, 2013 1:43 PM
To: Lacivita, Brendan
Cc: Wong, Heather; Boogaard, Peter; Stickel, Nicole
Subject: FW: Prepublication review request

I have reviewed this article and aside from the striking of the reference to articles about wikileaks docs (which I agree with the need to strike), see no other issues since all the references are from open source materials and the factual points brought up do not contradict our policy positions. (We can't dictate how the author interprets the success or failure of USG efforts).

So this took a month and a half for all the other clearances? Have you reached out to the author to see if this is still in line for publication since the original date was more than a month ago?

Recommendation: clear for OPA, unless Heather, Pete or Nicole have any concerns. Please wait for their greenlight.

From: Lacivita, Brendan
Sent: Tuesday, April 23, 2013 11:21 AM
To: Wong, Heather; Jensen, Robert; Boogaard, Peter
Subject: FW: Prepublication review request

Attached for your review is an article on the USA-Canada Beyond the Border declaration written by a former DHS/OIA employee. Further details about publication are provided in the email below.

The article already has cleared I/A, OCSO, OIA, and OGC.

Thanks –

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Brack, Anthony
Sent: Thursday, March 07, 2013 6:21 AM
To: Lacivita, Brendan
Cc: Theresa Brown
Subject: Prepublication review request

Brendan,

(b)(6) is requesting a prepublication review on the following attachment; also (b)(6) would like a estimate of how long it might take to review. She was told by her research team that helped with all of the footnotes are public sources. She informed her research team reference to an article about wikileaks will have to be changed (there are other public sources for information on the pre-Olympics security planning that I have found that have official statements from DHS sources). If you have any questions or concerns, (b)(6) contact information is listed below.

Dear Sir/Madam:

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(b)(6)

President and CEO

Cardinal North Strategies, LLC

Ph: (b)(6)

Em (b)(6)

URL: www.cardinalnorthstrategies.com

Very respectfully

Anthony A. Brack Sr.

Security Management Branch (Team Lead)

Senior Information Security Specialist

Office of Intelligence and Analysis

Department of Homeland Security

3801 Nebraska Ave, NW

Washington, D.C. 20528

From: Lacivita, Brendan
To: Boucher, Leo
Subject: RE: Prepublication review request
Date: Tuesday, April 23, 2013 11:14:00 AM

Yes – OCSO already cleared.

Thanks Chip –
Brendan

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Boucher, Leo
Sent: Tuesday, April 23, 2013 9:13 AM
To: Lacivita, Brendan
Subject: RE: Prepublication review request

Hi Brendan
I scanned this and see no legal concerns, so long as the security and subject matter experts are satisfied that it's all releasable.
Chip

Chip Boucher
Assistant General Counsel, Administrative Law Branch
General Law Division
Office of the General Counsel
Department of Homeland Security
Washington, DC 20528
Telephone: (b)(6) (b)(6); Cell: (b)(6) (b)(6)

This communication, along with any attachments, is covered by federal and state law governing electronic communications and may contain confidential and legally privileged information. If you are not the intended recipient, any dissemination, distribution, use or copying of this message and any attachment is strictly prohibited. If you received this message in error, please reply immediately and delete the message. Thank you.

From: Lacivita, Brendan
Sent: Monday, March 25, 2013 4:06 PM
To: Young, John; Lott, Thomas; Boucher, Leo
Cc: (b)(7)(C) (b)(7)(C)
Subject: FW: Prepublication review request

Hi folks – attached for your review is an article on the USA-Canada Beyond the Border declaration

written by a former DHS/OIA employee. Further details about publication is provided in the email below.

The article currently is under review in OIA, and I/A already cleared.

Let me know if you have any questions.

Thanks –
Brendan

Brendan I. LaCivita
Deputy Director of Strategic Communications
Office of Public Affairs
U.S. Department of Homeland Security
(b)(6) (b)(6)
www.DHS.gov

From: Brack, Anthony
Sent: Thursday, March 07, 2013 6:21 AM
To: Lacivita, Brendan
Cc: (b)(6)
Subject: Prepublication review request

Brendan,

(b)(6) is requesting a prepublication review on the following attachment; also (b)(6) would like a estimate of how long it might take to review. She was told by her research team that helped with all of the footnotes are public sources. She informed her research team reference to an article about wikileaks will have to be changed (there are other public sources for information on the pre-Olympics security planning that I have found that have official statements from DHS sources). If you have any questions or concerns, (b)(6) contact information is listed below.

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I can be reached at the number below for any questions you might have or to discuss anything

relating to this article.

Thank you for your prompt attention to this request.

Regards,

(b)(6)

President and CEO

Cardinal North Strategies, LLC

Ph (b)(6)

Em

(b)(6)

URL: www.cardinalnorthstrategies.com

Very respectfully

Anthony A. Brack Sr.

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